

I.

A. RACING COMMISSION

Section 1. Definitions, Greyhound Racing and pari-mutuels.

The following definitions and interpretations shall apply in the rules unless the text specifies otherwise:

1. “*Act*” or “*Racing Act*” the Jefferson County Racing Act according to the provisions of Chapter 11-65 et seq. of the Code of Alabama of 1975 as amended.
2. “*Added Money*” means any money added to the purse for a race.
3. “*Add-In*” means the amount of money retained by the Association for use in a carryover type pari-mutuel pool to be paid under the guidelines of the individual pool rules.
4. “*Age of Greyhound*” means determined as beginning on the day a greyhound is whelped.
5. “*Arrears*” means any money due and owing in connection with fees, fines, stakes, etc.
6. “*Association*” any corporation, partnership, or other business entity licensed by the Racing Commission to conduct greyhound racing and pari-mutuel wagering at the racing facility in accordance with the provisions of the Racing Commission rules.
7. “*Association Grounds*” means all real property approved by the Racing Commission for use by the Association in the conduct of a race meet.
8. “*Barring (eject)*” means the act of expelling from and denying admittance to the grounds of the Association.
9. “*Bertillon Card*” means a greyhound’s identification card which describes physical identifying points for every registered greyhound.
10. “*Bolted*” means when a greyhound leaves the race course during the running of an official race.
11. “*Booking*” means a contract between the Association and a Kennel Owner for the Kennel Owner to provide greyhounds to the Association for a race meet and for the Association to provide kennel buildings to house the greyhounds.
12. “*Bookmaking*” means Alabama Criminal Code Section 13A-12-20(2). Advancing gambling activity by unlawfully accepting bets from members of the public as a business, rather than in a casual or personal fashion, upon the outcome of future or contingent events.
13. “*Breakage*” means the odd cents by which the amount payable on each dollar wagered exceeds a multiple of ten cents.
14. “*Breeder*” means the owner or lessee of the dam at the time of whelping.

15. “*Breeding Place*” means the place of whelping or birthplace of a greyhound.
16. “*Compound*” means an area that includes all kennel buildings and the surrounding property enclosed by security fences.
17. “*Contractual Concessionaire*” means an individual, individuals or corporation leasing or selling products and/or services to the Association.
18. “*Course*” means the track over which the greyhounds run.
19. “*Day*” means a twenty-four (24) hour period ending at 2:00 a.m. which shall be counted as a business or racing day.
20. “*Dead Heat*” means a race in which two or more greyhounds finish at the same time.
21. “*Declaration*” means the withdrawal of an entered greyhound from a stakes race.
22. “*Double Entry*” means any entry of two or more greyhounds in the same race from the same kennel that are separate wagering interests.
23. “*Draw*” means the assignment of post positions by random method. Also the selection of the runners in a race in a manner to conform to the conditions of the race and the rules of racing.
24. “*Eject (barring)*” means the act of expelling from and denying admittance to the grounds of the Association.
25. “*Enclosure*” means the areas of the Association’s grounds to which admission can be obtained only on payment of an admission fee, presentation of official credentials, or upon authorization of the Racing Commission or the Association.
26. “*Entry*” means a greyhound made eligible to run in a race.
27. “*Exotic Pool*” means a pari-mutuel pool that involves wagers on more than one entered greyhound or on entries in more than one race.
28. “*Forfeit*” means money due but lost because of an error, fault, neglect of duty, breach of contract or penalty.
29. “*Greyhound*” means a canine registered with the National Greyhound Association and whelped from a female canine registered with the National Greyhound Association.
30. “*Greyhound Owner*” means the person or persons in whose name the greyhound is registered.
31. “*Guest Association*” is an Association which offers licensed pari-mutuel wagering on contests conducted by another Association (the host) in either the same jurisdiction or another jurisdiction.
32. “*Handbook*” means record, paper, or notebook that a bookmaker keeps his bets in.
33. “*Handle*” means the total amount of all pari-mutuel wagering sales excluding refunds and

cancellations.

34. “*Host Association*” is an Association conducting a licensed pari-mutuel meeting from which authorized contests or entire performances are simulcast.
35. “*Hub or hub system*” is the intermediary computer system through which the terminals or totalisator of one or more guest facilities are linked to the host.
36. “*Kennel Helper*” means a person employed by a kennel owner to assist in the conditioning of greyhounds for racing.
37. “*Kennel Owner*” means the person, persons, partnership or corporation that is booked by the Association to house and race greyhounds.
38. “*Kennel Trainer*” means a person employed by a kennel owner to supervise the conditioning of greyhounds for racing.
39. “*Leadout*” means an individual who handles a greyhound from the lockout kennel to the starting box, or returns said greyhound to the kennel representative.
40. “*Lease*” A written contract between lessee and lessor that shall contain:
 - a) Registered name of greyhound
 - b) Term of lease
 - c) Purse percentage
 - d) Signature of both parties
41. “*Licensee*” means any person granted an occupation permit by the Racing Commission.
42. “*Live Money*” means money placed on wagers and used in calculation of payoffs. Live dollars apply to the actual number of dollars on a specified combination of any pool.
43. “*Lure*” means a mechanical attraction designed to entice the greyhounds around the track.
44. “*Maiden Greyhound*” means a greyhound which has never won an official race.
45. “*Meeting*” means the specified period each year during which the Association is licensed to conduct greyhound racing and pari-mutuel wagering by the Racing Commission.
46. “*Minor*” means in reference to a greyhound racing meet, any person under the age of 19.
47. “*Minus Pool*” occurs when the payoff is in excess of net sales.
48. “*Nomination*” means the subscription or entry of a greyhound in a stakes race or early closing race.
49. “*No Race*” means a greyhound race that is canceled due to a malfunction or any other applicable reason as determined by the Judges.
50. “*Odds*” means a number indicating the amount of profit per dollar wagered to be paid to

holders of winning pari-mutuel tickets.

51. “*Off Time*” means the moment at which the starting box opens.
52. “*Official Race*” means a contest for purse, stakes or entry fees on the course and in the presence of racing officials duly appointed by a regulatory agency.
53. “*Official Time*” means the period of time beginning when the starting box opens and ending when the first greyhound crosses the finish line.
54. “*Outs Tickets*” means outstanding uncashed tickets.
55. “*Paddock*” means the area restricted to Racing Commission, Association Management and Racing Department employees including the lockout kennels, reviewing stand, and offices.
56. “*Pari-mutuel Pool*” means the total amount of money wagered on a particular race or combination of races, to be divided into separate pools.
57. “*Pari-mutuel Wagering*” means a form of wagering on the outcome of an event in which all wagers for each event are pooled and held by an Association for distribution of the total amount, less the deductions authorized by law, to holders of tickets on the winning greyhounds.
58. “*Patron*” means an individual present on Association grounds during a race meeting to wager or to observe the racing.
59. “*Performance*” means the schedule of greyhound races run consecutively as one program.
60. “*Person*” means an individual recognized by law as the subject of rights and duties.
61. “*Place*” means to finish second in a race. In wagering, to finish second or better in a race.
62. “*Post Position*” means the position assigned to a greyhound in the starting box.
63. “*Post Time*” means the time set for the start of the race.
64. “*Post Weight*” means the weight of the greyhound immediately previous to post time.
65. “*Prohibited Substances*” means substances of any type that are not approved by the Racing Commission and/or Federal and State agencies.
66. “*Purse*” means an established percentage of the greyhound racing handle allocated for payment to the kennels.
67. “*Race Card*” means in racing, all entries, grades, and distances for a regularly scheduled race performance, as shown in an official program. In Mutuels, a computer generated report indicating the number of races, the status of all entries, wagering pools, wagering minimums, pool requirements, and add-ins.
68. “*Racing Commission*” means the governing body created under the Racing Act

designated to regulate and oversee all matters pertaining to greyhound racing and pari-mutuel wagering.

70. “*Race Meet*” see meeting.
71. “*Race Track*” means the facility, course and premises of the Association licensed by the Racing Commission to conduct greyhound racing and pari-mutuel wagering.
72. “*Ruled Off*” means the act of denying all racing privileges to a greyhound.
73. “*Rules*” means the Rules and Regulations herein prescribed and any amendments or additions thereto.
74. “*Schooling Race*” means a practice race, used to qualify greyhounds for entry, conducted under actual racing conditions but for which wagering is not permitted.
75. “*Scratch*” means the deletion of a greyhound prior to a race.
76. “*Set Weight*” means the racing weight established by the kennel owner or trainer of the greyhound.
77. “*Show*” means to finish third in a race. In wagering, to finish third or better in a race.
78. “*Stakes Race*” means a race specifically designated as an added money race.
79. “*Starter*” means a greyhound is declared a starter for the race when the starting box opens.
80. “*Suspended*” means the withdrawal of any privilege or occupational permit of a licensee for a stated period of time by the Racing Commission.
81. “*Take-Out*” means an amount or percentage authorized by law to be deducted from gross pari-mutuel sales.
82. “*Test Collection Area*” means the area restricted to Racing Commission employees, Association Management, Racing Department employees and Kennel Owners, trainers and
83. helpers including the cool down area and sample collection area.
84. “*Totalisator*” means a system for registering the wagering and computing the payoffs in pari-mutuel wagering.
85. “*Touting*” means the act of providing another person, for a fee, any information on any race or selection.
86. “*Weigh-In*” means the process by which a greyhound is weighed before being placed in the lockout kennel.
87. “*Weigh-Out*” means the process by which a greyhound is weighed before the race in which it is entered.
88. “*Weight loser*” (“*Sweater*”) means a greyhound known to be a consistent weight loser (in

excess of one and one-half (1½) pounds) while in the lockout kennel.

89. “*Whelped*” means the birth of a greyhound.

90. “*Win*” means to finish first in a race.

Revised August 25, 2003

II.

A. GREYHOUND RACING

Section 1. Greyhound Racing.

The Racing Commission hereby authorizes and permits Greyhound Racing by the Association licensed to operate the greyhound race track in Jefferson County.

Section 2. Racing Officials.

1. The Racing Commission Officials of a greyhound race meet are the Presiding Commission Judge, Commission Judges and the Commission Veterinarians.
2. The Association Officials of a greyhound race meet are the Director of Racing, Association Judge, Racing Secretary, Paddock Judge, Clerk of Scales, Kennel Master, Patrol Judge, Lure Operator, Starter, Field Judge, Chartwriter, and Brakeman, all of whom shall be approved by the Commission.
3. The term "Judge" as used throughout these rules shall refer only to the Racing Commission Judges and the Association Judge. The term "Judges" shall refer to an impartial board comprised of two (2) Racing Commission Judges and one (1) Association Judge in attendance and performing duties of this board during a particular performance.
4. No racing official or Racing Commission employee shall be permitted to wager money or anything else of value on the results of any race on which pari mutuel wagers are accepted under the supervision of the Commission, or provide unpublished information regarding any greyhound entered for any race, in which the racing official or Racing Commission employee will be performing a specific duty held, at or as a part of the racing meet to which said person is assigned as a racing official or Racing Commission employee. Association Racing Officials shall be subject to dismissal by the Association and/or by due process revocation of permit by the Racing Commission if such activity is detected. Racing Commission employees shall be subject to dismissal by the Racing Commission. (Amended July 15, 1993).
5. No person employed or appointed by the Racing Commission nor any designated racing official of the Association shall have or maintain any interest, direct or indirect, in the ownership or leasing of a greyhound participating in any racing meet licensed by the Racing Commission.

Section 3. Director of Racing.

1. The Director of Racing shall have full supervision over kennel owners, greyhound owners, trainers, kennel helpers, leadouts, any persons attending to greyhounds and all Association

Revised August 25, 2003

racing officials and licensed personnel directly involved in the conduct of the actual race process.

2. The Director of Racing shall ascertain that all persons under his direction are properly trained in the discharge of their duties. The Director of Racing shall require all racing officials or other racing personnel to perform any other duty that is assigned to them.
3. The Director of Racing shall submit to the Racing Commission a roster of Association Officials serving for the previous week's race performances.
4. Should a vacancy occur among Association Racing Officials, other than the Judges, the Director of Racing shall immediately fill such vacancy with a qualified replacement subject to approval by the Commission.
5. The Director of Racing shall report to the Judges all violations of the Racing Commission Rules of Greyhound Racing.
6. The Director of Racing shall inspect licenses of kennel owners, greyhound owners, and trainers; and all other related papers and documents, including partnership agreements, authorized agent agreements, and adoption of assumed names of kennel owners, greyhound owners and trainers; and is authorized to demand production of the same to insure compliance with the Racing Commission Rules of Greyhound Racing. All such papers shall be available to the Racing Commission upon request.
7. The Director of Racing shall submit to the Racing Commission the name and current address of all kennels contracted to compete during the racing meet and give notification of any subsequent changes.

Section 4. Judges.

1. A minimum of three (3) licensed Judges are required for each racing performance. The Racing Commission shall appoint two (2) Judges and the Association shall appoint one (1) Judge who shall be approved by the Commission.
2. The three (3) Judges on duty for the performance shall insure the proper conduct of the actual race, shall have general supervision of all facets directly involved in the running of the race, and declare the official results of the race. A Commission Judge shall be present at the weigh-in for all races and shall verify the weight of each greyhound as it passes the scale.
3. The laws of the State of Alabama and the Racing Commission Rules of Greyhound Racing supersede the conditions of a race and in matters pertaining specifically to the race. The orders of the Judges supersede the orders of the officers of the Association in matters directly related to the actual race.
4. Should any instance occur which is not covered by the Racing Commission Rules of

Revised August 25, 2003

Greyhound Racing, the Judges shall make a decision in conformity with justice, fairness the traditions of the sport and in the best interest of Greyhound Racing.

5. All decisions and/or rulings by the Judges shall be determined by a majority vote.
6. The Judges must be duly trained to handle the procedures necessary to conduct the racing performance and to successfully complete the licensing procedure requirements of the Racing Commission, and shall be accredited by an Association approved by the Commission.
7. The Judges must have 20/20 normal or corrected vision and must not be colorblind.
8. The Judges shall submit a written report for all official acts to the Racing Commission within twenty-four (24) hours, with a copy of the rulings and orders to the Director of Racing. All such reports shall be signed by a majority of the Judges.
9. Should the Judges be unable to reach a decision on a matter under their jurisdiction within two (2) racing days, the case shall be reported to the Racing Commission for such action as it deems proper.
10. The Judges shall observe the conduct of the racing officials. They shall have the authority to conduct inquiries into the acts of all racing officials, which directly or indirectly affect the outcome of the actual race. They shall recommend to the Racing Commission the issuance of subpoenas to compel the attendance of witnesses, and the production of all relevant and material reports, books, papers, documents, correspondence and other evidence in connection with the running of the actual race or circumstances that may affect the outcome of the race. One Commission Judge shall administer oaths. The presiding Judge shall moderate the hearing. The Judges shall examine witnesses and submit a report of all proceedings thereon to the Racing Commission and the highest Association official.
11. Licensees entering greyhounds to race under the Racing Commission's jurisdiction agree to accept the decision of the Judges on any questions relating to a race or to racing, subject to their right of appeal to the Racing Commission.
12. The Judges may discipline/suspend kennel personnel, or racing officials, and/or disqualify a greyhound at any time, if, in their judgment, the infraction is serious enough to warrant such action.
13. The Judges shall order the exclusion or ejection from the premises and enclosures of the Association any person who is disqualified for corrupt practices.
14. The Judges shall have access to the racing officials' stands, enclosures, weighing room, kennel compound and any place having an impact on racing the greyhounds.
15. In the event a Commission Judge becomes ill, resigns, or is unable to serve for any reason, then the remaining Judge shall nominate a successor or temporary Judge to the Commission for approval and appointment. The Association shall appoint an alternate

Revised August 25, 2003

Judge, with the approval of the Commission, who shall serve in the event the absent Judge is the designated Association Judge. In emergencies, a single Commissioner by telephone may approve appointment of a successor Judge until the Commission acts on such vacancy.

16. The Judges shall order an examination by any Commission Veterinarian, as they deem appropriate of any greyhound entered for a race, or which has run in a race, at whatever time they deem it is in the best interest of Greyhound Racing.
17. The Judges or the Racing Secretary shall require any greyhound to school at any time they deem it is in the best interest of Greyhound Racing.
18. The Association Judge shall operate the Judges terminal to lock all pari-mutuel ticket issuing terminals at the post time as indicated by the Mutuels Manager.
19. In the event of mechanical failure to the starting box and a greyhound or greyhounds are prevented from starting, the Judges may restart the race at their discretion or declare a "no race". If the decision is to declare a "no race", a full and complete refund will be made on said greyhound or greyhounds in compliance with the Pari-Mutuels Section. The decision as to whether a greyhound or greyhounds were prevented from starting by a mechanical failure shall be made by the Judges after consultation with the starter, and the Judges' decision shall be final and binding.
20. The Judges shall insure that all races are free from unnecessary interference's and/or jams that may be caused by unruly or fighting greyhounds. The Judges may issue rulings as they deem in the best interest of Greyhound Racing to correct or prevent the reoccurrence of such interference and/or jams.
21. If a race is marred by jams, spills or racing circumstances other than malfunctions of the machinery and three (3) or more greyhounds finish, the Judges shall declare the race finished and official; however, if less than three (3) greyhounds finish, the Judges shall declare a "no race" and all monies wagered on said race shall be refunded.
22. The Judges shall decide the order of finish of the greyhounds and assign the respective additional places to as many greyhounds as are required to satisfy the applicable pari-mutuel pool rules of each individual race. The Judges shall immediately notify the Mutuels Department of the numbers of the first four (4) finishing greyhounds and shall reaffirm the order of finish when the results have been declared official.
23. The Judges shall consider only the relative position of the respective muzzles of the greyhounds in determining the places of the greyhounds at the finish of a race. In the event a greyhound loses its muzzle or finishes with a hanging muzzle, the Judges shall then consider only the relative position of the nose of said greyhound and the muzzles of the other greyhounds in the race.
24. The Judges shall use the electronic photofinish device as an aid in determining the order of finish in both schooling races and official races; however, in all cases the photograph is

Revised August 25, 2003

an aid and the decision of the Judges shall be final. The Association shall keep on file for one (1) year each plate or film strip of each race for reference or reproduction upon request of the Racing Commission. The photograph of each photofinish shall be posted promptly after each race in at least one conspicuous place in the General Admission area.

25. Whenever it is considered advisable to consult a picture from the photofinish equipment, the Judges are encouraged to post, without waiting for a photograph, such placements as are in their majority opinion unquestionable, and after consulting the photograph make the other placements. However, in no case shall the race be declared official until the Judges have determined the greyhounds finishing first, second, third and fourth or as required by the various pari-mutuel pool rules of the individual race.
26. The Association Judge shall post the official order of finish.
27. The Judges shall correct an error before the "official" sign is displayed. If the official sign is displayed and the order of finish is in error, the official sign shall be withdrawn and the error corrected before the official sign is redisplayed.
28. The Judges shall declare the official time of the race and the Association Judge shall post the official time.
29. The Judges shall immediately report any inconsistency related to the conditions under which a race is conducted to the Director of Racing, with a Commission Judge reporting verbally to the Racing Commission. They shall submit a written report to the Racing Commission and the Director of Racing within twenty-four (24) hours, of any occurrence that violates a Racing Commission rule.
30. The Judges shall use their discretion to levy punishment on any person under their jurisdiction, for violation of these rules and/or prohibited acts either by suspension of the privilege of attending the races or occupational permit not exceeding sixty calendar (60) days; or by a fine not exceeding \$1,000.00, or both, and may recommend further punishment and/or additional fine to the Racing Commission. They shall submit a written report to the Racing Commission and the Director of Racing within twenty-four (24) hours of their ruling.
31. In the event that an infraction of the Racing Commission Rules of Greyhound Racing is charged against one of the three Judges, a substitute Judge shall fill the vacancy by assignment of either the Racing Commission or the Association as applicable. The Racing Commission shall conduct an independent investigation of the infraction and make a report of its findings. The Racing Commission shall hear the charges and levy a fine, suspension or acquittal as deemed necessary. This rule shall apply regardless of whether the infraction is charged against one of the Judges acting in their official capacity as a Judge, or against one of the Judges acting as an individual and in no official capacity, or against one of the Judges acting in any other official capacity.

Revised August 25, 2003

Section 5. Racing Secretary.

1. The Racing Secretary shall maintain records of any and all races; report any violations of the Racing Commission Rules of Greyhound Racing to the Director of Racing; receive and account for all stakes, entrance monies, and arrears; and pay all such monies collected to the designated officer or person entitled to receive these funds.
2. The Racing Secretary shall collect and submit to the Director of Racing all pertinent papers and documents of kennel owners, greyhound owners and trainers, partnership agreements, appointment of authorized agents and adoption of assumed names. The Racing Secretary shall determine that all qualified kennel owners, owners of the greyhound and trainers have been properly licensed prior to accepting the entry of the greyhound into an official race. The Racing Secretary will also maintain a file of these registration papers in alphabetical order for each kennel booked for the race meeting.
3. The Racing Secretary shall receive all entries and declarations.
4. Prior to the first race of a performance, the Racing Secretary, under the supervision of a Commission Judge, shall draw all entries for all races and insure that the races have been filled in their entirety. Following the draw, each entry will be assigned a post position through a random process including computerized drawing. The completed listing of entries and post positions shall be submitted to the Commission Judge, posted in the Racing Secretary's office and the location designated to inform kennel owners and trainers. The Racing Secretary may draw post positions any time prior to the first race of any performance, provided it is no less than twenty-four (24) hours before the performance the greyhounds are to race. The time of drawing of entries and post positions shall be designated by the Director of Racing and approved by the judges and shall be consistent throughout the meet. The Racing Secretary may also draw substitutions for any race card and use them as conditions necessitate.
5. The Racing Secretary shall properly advertise the time of all draws to enable any kennel owner or trainer to be present if they so desire.
6. The Racing Secretary shall give priority to greyhounds left over after the draw. A "left over" list by grades, distances and dates shall be posted in the Racing Secretary's office and the location designated to inform kennel owners and trainers.
7. The Racing Secretary shall determine the grade and distance for each race.

Section 6. Veterinarians

1. The Racing Commission shall provide the services of at least one (1) graduate veterinary surgeon licensed to practice under the laws of Alabama.
2. The Racing Commission Veterinarian shall be present in the paddock reviewing area

Revised August 25, 2003

during weigh-in time and when requested by a member of the board of judges. The Commission Veterinarian shall make an examination of the physical condition of each greyhound at weigh-in time and shall scratch any greyhound presented at weigh-in which is considered to be in improper physical condition for a race and shall make a written report to the Judges and the Clerk of Scales documenting the specific reason for the scratch.

3. The Commission Veterinarian shall also make a final examination and inspection of any greyhound while they are in the paddock prior to the leadouts escorting them to the track if requested to do so by a judge. (Amended Sept. 3, 1993).
4. The Commission Veterinarian shall scratch any greyhound examined at the final inspection which is considered to be in improper physical condition for a race. The Commission Veterinarian shall make a written report to the Judges documenting the specific reason for the late scratch.
5. The Commission Veterinarian shall not allow female greyhounds in season on the track. Female greyhounds in milk are not eligible to school or enter a race. Female greyhounds in season or in milk must be reported to the Judges and the Commission Veterinarian by the kennel owner or trainer. Kennel owners and trainers failing to report either condition promptly shall be fined, suspended or both.
6. The Commission Veterinarian or his designated representative shall supervise the taking of urine specimens for drug testing. The taking of blood samples, saliva samples tissue samples or other specimens for testing by the official laboratory shall be performed by the Commission Veterinarian. The Commission Veterinarian shall advise the Racing Commission, the Director of Racing and/or the Judges on veterinary matters and animal health matters during the racing performances and at such other times as requested by the Commission or the Judges and shall perform all other duties required by the Judges, the Commission, the Executive Secretary or these rules.
7. All Veterinarians shall provide first aid to any injured greyhound during the time it is under the supervision or care of the Racing Department prior to being returned to the kennel owner or trainer.

Section 7. Paddock Judge.

1. The Paddock Judge must be fully trained in the duties and procedures of the position.
2. The Paddock Judge or a member of the security force under his direction, shall prohibit all persons, other than Association Officials, Racing Department employees, and designated Racing Commission employees, from entering or being present in the paddock area unless these persons are employed by a kennel and display a badge issued by the Racing Commission. All other persons must display a badge issued by the Racing

Revised August 25, 2003

Commission or a temporary pass issued by the Association, and must be accompanied by a licensed kennel employee or a racing official before being admitted to the paddock area.

3. It is the expressed duty of the Paddock Judge to fully identify and check all greyhounds entered in a schooling or purse race against the bertillon card system of identification maintained by the Paddock Judge. Each bertillon card must be legible and thoroughly identifiable for each greyhound. The card must show: the number of the kennel, the color, sex, tattoo identifications located in each ear, and characteristic markings, scars, and other identification features of the greyhound. The bertillon cards will be completed by the Paddock Judge before greyhounds are entered for a schooling or purse race. The Paddock Judge shall fully identify and check against the bertillon card all greyhounds starting in schooling and purse races. He shall report to the Director of Racing or the Racing Secretary any greyhound or greyhounds not conforming to the card identification. For each greyhound removed from the Association grounds, the Paddock Judge shall maintain the bertillon card for the greyhound in an inactive file for the six-month period after the date of the greyhound's removal.
4. The Paddock Judge shall supervise the inspection of the lockout kennel before weigh-in to insure the lockout kennel and crates are clean and in good repair.
5. The Paddock Judge shall insure that there is an identification tag available for each greyhound entered at the time of weighing in, indicating the number of the race in which the greyhound is entered and its post position. This tag shall not be removed until the greyhound has been weighed out and blanketed.
6. The Paddock Judge shall not knowingly permit a greyhound to weigh-in if any person on whose behalf the greyhound is racing is not properly licensed by the Racing Commission.
7. The Paddock Judge shall insure that after the greyhounds are placed in the lockout kennels, no person other than the racing officials, leadouts, or persons approved by the Racing Commission or Association or their designated representatives shall be allowed in or near the lockout kennels. At no time shall there be less than two (2) such authorized persons present in the lockout kennels and one (1) such authorized person must be a racing official or Commission representative.
8. The Paddock Judge shall carefully compare the identification information on the bertillon card with the greyhound while it is on the reviewing stand to verify that it is the correct greyhound prior to post time for the race it is entered. The Paddock Judge shall also inspect each greyhound to insure that each entry is fitted properly with a regulation racing muzzle and racing blanket. Should a greyhound be fitted with a blinker muzzle, the Paddock Judge must insure that approval for such muzzle has been received from the Judges.
9. The Paddock Judge shall submit a written report to the Judges of all verbal objections made regarding a decision of the Clerk of Scales.

Revised August 25, 2003

10. The Paddock Judge shall assign leadouts to post positions before the race and shall maintain a record of the assignments.
11. The Paddock Judge shall maintain records to insure that each leadout under his supervision is fully trained. The training must include: the proper method for leading a greyhound; the handling of blankets, muzzles, and leashes; and the general care and the maintenance of the greyhound while in the leadout's custody.

Section 8. Clerk of Scales.

1. The Clerk of Scales must be fully trained and competent in the performance of the duties of this position.
2. The Clerk of the Scales shall weigh greyhounds in and out. The posting of accurate weights of each greyhound shall be displayed on Association video displays and/or public address announcements made of the post weight data. All greyhounds shall be weighed in before post time of the first race of each program of each day unless a different time is authorized or directed by the Commission. The weigh in process is limited to a thirty (30) minute period, unless an extension has been granted by the Presiding Commission Judge on duty during weigh in. Such an extension shall not relieve a trainer from being in violation of the 30 minute rule. The time of the weigh-in shall be set by the Director of Racing and approved by the Commission as conditions require and shall be posted in a location designated to inform kennel owners and trainers. (Amended Sept. 3, 1993).
3. The Clerk of Scales shall promptly report to the Judges any infraction of the rules applicable to greyhound weight or weighing.
4. Every kennel owner or trainer who does not have his greyhound at the weigh-in room promptly at the time appointed may have his greyhound scratched, and in addition, at the discretion of the Judges, said kennel owner or trainer may be fined or suspended.
5. The kennel owner, or trainer must establish each greyhound's racing weight to the nearest one-half ($\frac{1}{2}$) pound with the Clerk of Scales before said greyhound is allowed to race. At the first weigh-in (pre-post) should there be a variation of more than one and one-half ($1\frac{1}{2}$) pounds either over or under from its established racing weight, the Commission Judge must order the greyhound scratched. At no time, however, shall there be more than a two (2) pound variation between a greyhound's pre-post weight for its present race and the pre-post weight for its last race. Each greyhound shall be weighed out prior to entry into the paddock for its scheduled race. If a greyhound loses weight while in the lock-out kennels in excess of $1\frac{1}{2}$ pounds from its weigh-in weight, the judges shall consult with the Commission's Veterinarian. If, in the opinion of the Commission Veterinarian, the loss of weight while in the lock-out kennels does not impair the racing condition of the greyhound, the judges shall allow the greyhound to race. Otherwise, the greyhound shall

Revised August 25, 2003

be scratched.

6. The Clerk of Scales must be notified in writing four (4) calendar days before the greyhound is allowed to race at a new racing weight. All greyhounds having a weight change of more than one (1) pound must be schooled at least once before being eligible for starting, with certification of such schooling made to the Racing Secretary.
7. The Clerk of Scales shall require a greyhound to remain on the scales until there is a sufficient lack of “jump” in scales action to obtain an accurate weight measurement.
8. The Clerk of Scales shall require the leadout for a greyhound being weighed to step away from the scales to allow at least a six inch (6”) sag in the lead-out leash.
9. The Judges shall have the privilege of requiring the Clerk of Scales to weigh a greyhound entered in a race at any period from the time he is entered until post time of the race.

Section 9. Kennel Master.

1. The Kennel Master shall be fully trained in the duties of the position and will be under the supervision of the Paddock Judge. The Kennel Master duties shall include: unlocking the lockout kennel immediately before weigh-in time; insuring that the crates are in good repair; and guaranteeing that nothing has been deposited in any of the crates for consumption by the greyhounds. The Kennel Master shall also insure that the crates are maintained in a proper sanitary condition.
2. The Kennel Master shall oversee the leadout receiving the greyhound from its trainer, to insure that the greyhound is carefully placed in its appropriate crate for the required period of confinement until it is removed. The Kennel Master or other racing official shall oversee the leadouts when the greyhounds are removed from the lockout kennels and until the greyhounds are sent to post.
3. The Kennel Master shall insure the security of the lockout area from weigh-in time until the greyhounds are removed for the last race of a performance.
4. The Kennel Master shall supervise the unloading of the greyhounds from the lockout area. The Kennel Master shall examine each greyhound to assure it is properly fitted with an official racing muzzle and the assigned racing blanket. A preliminary bertillon card confirmation check shall be performed by the Kennel Master.
5. The Kennel Master shall report to the Paddock Judge, Director of Racing and/or the Racing Secretary identification discrepancies and the persons receiving this report shall promptly inform the Judges of the substance of the report.

Revised August 25, 2003

Section 10. Patrol Judge.

1. The Patrol Judge must be fully trained in the duties and procedures of the position.
2. The Patrol Judge shall supervise the leadouts from paddock to post.
3. The Patrol Judge will carefully inspect the muzzles and blankets of the greyhounds after they have left the paddock either in view of the Judges stand or the Starter.
4. The Patrol Judge shall assist the Starter in his duties upon the arrival of the Leadouts and greyhounds at the starting box.
5. The Patrol Judge, at the start of a race, shall rapidly pass in front of the entire starting box to ascertain that no greyhound remains in its hole. Should a greyhound fail to break, the Patrol Judge shall gain control of the greyhound and remove it from the starting box and promptly secure the greyhound behind the starting box until the race is complete.

Section 11. Lure Operator.

1. The Lure Operator must be fully trained in the duties and procedures of the position.
2. The Lure Operator shall inspect all equipment relating to the lure and affirm that such is in proper operating order thirty (30) minutes prior to each racing performance including official schooling. Proper measures shall be taken to correct a fault and the Judges and the Director of Racing shall be notified immediately.
3. The Lure Operator shall possess adequate eye and hand coordination as is required to properly operate the lure to the satisfaction of the Judges.
4. The Lure Operator must constantly be attentive of the greyhounds on the race course. Whenever an uncommon occurrence develops, he must take the necessary steps to avoid trouble, and attempt to complete the race.

Section 12. Starter.

1. The Starter shall be duly trained and shall issue orders and take necessary measures to insure a fair start. Upon reaching the starting box, all leadouts will report directly to the Starter. The Patrol Judge shall assist the Starter as needed.
2. The Starter shall immediately report the cause of a delayed start to the Judges.
3. There is no start until the starting box opens and there shall be no recall after the starting box has opened.
4. The starter shall insure that each greyhound is properly loaded into the starting box slot

Revised August 25, 2003

corresponding to the number on the greyhound's blanket.

Section 13. Leadouts.

1. Owners, trainers and attendants will not be allowed to lead out the greyhounds.
2. Leadouts must be at least sixteen (16) years of age and shall be fully trained in the performance of their duties. The greyhounds shall be led from the Paddock to the starting box by one leadout per greyhound during official schooling races and official purse races, unless approved by the Judges. Leadouts are required to present a neat appearance, conduct themselves in an orderly manner and be attired in clean uniforms provided by the Association.
3. Each Leadout is assigned the duty of putting the greyhound under their care in its proper starting box slot before the race and then immediately positioning themselves in their assigned area for the running of the race.
4. Each Leadout must immediately report any infirmity or physical problem they observe in the greyhound under their care to the nearest racing official. The racing official will then communicate such information to the Racing Commission Paddock Veterinarian who will then examine the greyhound.
5. Leadouts are prohibited from having contingent beneficial interest in the greyhounds racing.
6. Leadouts on duty are prohibited from holding conversation with the public and with each other, unless it is pertinent to the performance of their duties. This cessation of unnecessary conversation includes: while on the reviewing stand; en-route to the starting box; and/or while returning to the paddock.
7. Leadouts are prohibited from smoking unless they are Nineteen (19) years of age or older and on duly authorized break.
8. Leadouts are prohibited from smoking, drinking beverages, other than water, or eating unless on duly authorized breaks and in a designated area.
9. No Leadout shall wager money or anything else of value on the results of any race on which pari mutuel wagers are accepted under the supervision of the Commission. Leadouts shall not be allowed in the grandstand or pari-mutuel areas at any time after the pari-mutuel windows are open for the first race, and will remain prohibited from these areas until the pari-mutuel windows are closed for the last race of the performance. (Amended July 15, 1993).
10. Leadouts shall not remove racing blankets until the greyhounds are accepted by a licensed kennel representative at the conclusion of each race. It shall be the responsibility of the licensed trainer for each kennel to insure that a licensed representative is available to

Revised August 25, 2003

accept the greyhound from the leadout.

Section 14. Chartwriter.

1. The Chartwriter shall be fully trained in the duties and procedures of the position.
2. The Chartwriter shall compile the information necessary to prepare the official program for each racing performance.

Section 15. Photofinish Operator and Timer.

1. A fully trained photofinish and timer shall maintain the photofinish and timing equipment in proper working order and shall photograph each race.
2. The photofinish equipment will begin recording the time with the opening of the starting box door.
3. The photofinish operator shall use the photofinish equipment to read the time from the film strip and declare it as the official time of the race.
4. One (1) Commission Judge shall use an accurate stopwatch to hand time each race beginning from the opening of the starting box until the winning greyhound crosses the finish line. This procedure is used in addition to the time kept by the photofinish equipment and provides a backup to this equipment. If the Judges determine the photofinish equipment time inaccurate, they shall declare the stopwatch time as the official time.

Section 16. Field Judge.

1. The Field Judge shall be fully trained by the Association in the duties and procedures of the position.
2. The Field Judge shall maintain order and cleanliness in the cool down area and shall insure that only authorized persons are permitted access thereto.
3. The Field Judge is responsible for the supervision, control and assignments of leadouts who are designated to return greyhounds after each race.
4. The Field Judge shall immediately inform the Commission Veterinarian of any greyhound which appears to be injured or in distress and shall render such assistance as the Veterinarian may direct.
5. The Field Judge shall report any such injury or treatment to the Director of Racing.

Section 17. Track Superintendent.

1. The Association shall employ a track superintendent who shall be knowledgeable and possess prior hands on experience in the proper maintenance of a track surface and track equipment and shall be approved in that Position by the Commission. The track superintendent or his Commission approved designee shall:
 - a) be on duty during racing hours;
 - b) have adequate equipment in proper order so as to maintain a uniform track surface and posses proper tools readily available to repair track equipment when necessary.
2. Track Superintendent and all track maintenance personnel are prohibited from wagering on any race for which pari-mutuel wagers are accepted under the supervision of the commission.

Section 18. Brakeman.

1. The Brakeman shall be fully trained by the Association in the duties and procedures of the position.
2. The Brakeman shall be at the brake at the designated time and shall properly perform his/her duties.
3. The Brakeman shall refrain from conversation during the running of the race.
4. The Brakeman shall immediately notify the Judges of any lure malfunctions.

III.

A. KENNELS AND KENNEL OWNERS

Section 1. Kennel Owners.

1. A kennel owner that is booked by the Association is required to purchase a kennel owners license and register the name of the kennel with the Racing Commission.
2. Kennel owners, trainers and their employees are subject to the Laws of Alabama and the Racing Commission Rules and Regulations and the Association rules.
3. Kennel owners, trainers and their employees shall abide by said laws, rules and regulations and accept the decision of the Judges on any and all questions to which their authority extends, subject to their right of appeal to the Racing Commission.
4. A kennel owner must register the actual names of all interests participating in the kennel and the percentage of ownership interest of each, including the interest owned by a corporation, general partnership, limited partnership, trust, estate or individual.
5. A booked kennel's name which has already been registered with the Racing Commission may not be registered by another booked kennel owner.
6. A kennel name may be changed by registering a new kennel name and paying the prescribed fee.
7. All licensed kennel owners or trainers must file with the Association and the Birmingham Racing Commission Permit office a roster of the name and permit number of all persons employed in the kennel. All changes in such roster must be reported to the Association and to the Commission Permit office within twenty-four (24) hours of the change occurring. A kennel employee may be listed on only one (1) kennel roster at any one time. Kennel trainers are responsible for picking up the badge of any discharged employee and promptly returning such badge to the Commission Permit office.
8. If the kennel owner is absent from the Association grounds during a racing performance, the kennel owner shall appoint a trainer to serve as the custodian of the kennel's activities.
9. The trainer is responsible for and shall be the insurer of the condition of the greyhounds entered by him/her for a race. The trainer is expected and is presumed to know the Racing Commission Rules of Greyhound Racing.
10. When a trainer is to be absent from his kennel and/or the Association grounds where his greyhounds are racing, for a period of more than twenty-four (24) hours, he shall request in writing, approval from the Judges with notification to the Director of Racing and the Racing Commission that a licensed trainer, licensed assistant trainer or licensed authorized agent, as listed on the kennel roster, assume complete responsibility for the

Revised August 25, 2003

greyhounds during his absence.

11. No medicine, antiseptic, fluid or any matter containing color that results in the marring of identification marks shall be used on any part of a greyhound entered in an official race.
12. Prior to the first official entry of the race meet the Kennel Owner (or his Designee) shall submit to the Racing Secretary a completed kennel roster. Said list shall be kept current throughout the race meet as changes or additions occur. The Kennel Operator must provide the Racing Secretary, within ten (10) days of the end of the race meet or the greyhounds removal from racing at the race meet, a written notification of the disposition of each greyhound that raced during the race meet. Such notification must include what track the greyhound was moved to, which adoption agency the greyhound was given to, or what veterinarian euthanized the greyhound, or such other information necessary for the Judges to determine disposition of the greyhound.
13. Kennel owners, trainers or their representative, shall only employ veterinarians licensed by the State Board of Veterinary Examiners. Any medications present and used on greyhounds housed in the kennel compound must be accompanied by a valid prescription from a licensed veterinarian.
14. Kennel owners, with permission of the Racing Commission, may designate in writing a person that is suitable and competent as their representative to observe operations in the Paddock area race track maintenance, and unofficial schooling Said request to the Racing Commission must be signed by a majority of the kennel owners and forwarded to the Director of Racing.
15. No person may:
 - a) use any live lure or live bait in a greyhound race or in training a greyhound for entry in any race.
 - b) Enter or permit a greyhound to be entered in a race if that person knows or can reasonably be expected to know that the greyhound was trained with any live lure or live bait.
 - c) Enter or permit a greyhound to be entered in a race if that person knows or can reasonably be expected to know that the greyhound was trained in a state that does not prohibit the knowing use of live lures or live bait in a greyhound race or in training a greyhound for entry in any race.

Section 2. Authorized Agent.

1. Each authorized agent must be duly licensed by the Racing Commission.
2. A notarized written instrument, signed by the kennel owner, which clearly sets forth the powers delegated to the agent, shall accompany the license application.
3. A notarized written instrument, delegating power of attorney, must be filed permanently

Revised August 25, 2003

with the Racing Secretary and the Judges. If, however, the kennel owner delegates the power of attorney on the license application form, then said application shall be in duplicate with both copies signed and sworn to before a Notary Public with one copy of the license application filed permanently with the Racing Secretary.

4. An authorized agent may appoint a sub-agent only when specifically authorized to do so by a written instrument, signed by the kennel owner. To be effective, written notice of such appointment must be filed immediately with the Racing Secretary and the Judges.
5. Any changes in specific powers delegated by the kennel owner to the Authorized Agent must be in writing, sworn to before a Notary Public and filed with the Judges and the Racing Secretary to be effective.
6. A kennel owner's revocation of an Authorized Agent's authority must be in writing, sworn to before a Notary Public and filed with the Judges and the Racing Secretary to be effective.
7. The term of the Authorized Agent's license shall be the race meet unless the Agent's appointment is revoked by the owner or the license is revoked by the Racing Commission.

Revised August 25, 2003

IV.

A. REGISTRATION, SCHOOLING AND ENTRIES

Section 1. Greyhounds, Registration of

1. The National Greyhound Association shall be recognized as the official breeding registry of all greyhounds. No trainer or association shall allow any greyhound to be entered, permitted to race or schooled at any racetrack licensed by the commission unless properly registered by the National Greyhound Association of Abilene, Kansas.
2. No person may enter a greyhound unless it has been tattooed and registered in the National Greyhound Association stud book.
3. A certificate of registration and/or a registered certificate of lease, if applicable, for each greyhound to be schooled, entered or raced shall be filed with the Racing Secretary by the kennel owner or trainer having custody of the greyhound.
4. All certificates of registration must be available at all times for inspection by the Judges.
5. All transfers of any title to, leasehold or other interest in greyhounds schooled, entered or racing at the track under the jurisdiction of the Racing Commission shall be registered and recorded with the National Greyhound Association of Abilene, Kansas.
6. No title, leasehold or other interest in any greyhound will be recognized by the Racing Commission until such title, leasehold or other interest shall be evidenced by written instrument duly filed with and recorded by the National Greyhound Association of Abilene, Kansas, and certified copies thereof filed with the Racing Secretary prior to the greyhound being schooled, entered or raced.

Section 2. Schooling.

1. Greyhounds must be properly schooled. Greyhounds must meet the eligibility criteria as established by the Director of Racing before they can be entered or started. Any greyhound that has not been entered for a period of six (6) racing days, and/or has not been raced officially for a period of ten (10) racing days or more, must be schooled at least once at its racing weight before being eligible for entry. Any greyhound that has been off for a period of thirty (30) calendar days must school a minimum of two (2) schooling races and qualify in one of those schooling races to achieve entrance in an official purse race. No hand schooling will be considered official. This rule may be waived by the Racing Commission for "Special Races" or "Match Races" or under such other circumstances as the Racing Commission shall deem appropriate as requested by

Revised August 25, 2003

the Association.

2. All schooling races must be started from a starting box and run over the prescribed courses in use at the track. At least one (1) Commission and one (1) Association Judge must be present during official schooling races.
3. All greyhounds in schooling races must be raced within the limits of their established racing weight, wearing official racing blankets and official racing muzzles.
4. Any greyhound which transfers from any other licensed greyhound track, under the authority of a governing racing commission in the United States, that has run officially within the last thirty (30) calendar days will only be required to school once provided that it satisfies the eligibility criteria. All other transferring greyhounds must school a minimum of two (2) schooling races and qualify in at least one (1) of those schooling races to achieve entrance in an official race.
5. Each schooling race must consist of at least six (6) greyhounds, unless approved by the Judges.
6. All schooling shall be at a distance not less than 5/16 of a mile.
7. The Association shall provide a photo-finish camera approved by the Commission to be in operation at all official schooling races.
8. Greyhounds will be allowed to race with a blinker muzzle under the following conditions:
 - a) Before any greyhound is authorized to wear a blinker muzzle, in an official race it first must have two (2) or more "official schooling races" wearing blinkers. Once the greyhound has qualified to wear blinkers by the Judges, it must continue to do so in all "official" races at the Birmingham Race Course.
 - b) Blinkers must be claimed on the "official schooling sheets" prior to running in "official" schooling races.
 - c) Greyhounds wearing blinkers must be indicated by the letter "B" on the official program and announced to the public by the public address announcer.
 - d) Bertillon Cards must carry the letter "B" to aid the Paddock Judge during inspection.
 - e) All blinkers must be furnished by the trainer and must be firmly attached to the racing muzzle without the use of glue, tape or wire.
 - f) All blinkers must be black or brown in color and made of leather material.
 - g) All blinkers must be approved by the judges.

Section 3. Entries.

1. Every person or kennel who enters a greyhound, or in any way participates in racing, accepts and agrees to abide by the Racing Commission Rules of Greyhound Racing.

Revised August 25, 2003

2. No greyhound shall be permitted to enter or start unless the true owner(s) and any person(s) having an ownership or interest in its earnings, including absentees, are in possession of a current occupational permit issued by the Racing Commission.
3. The name of the kennel and the name of the true owner(s), together with the greyhound's past performance record must be printed in the official program of each race.
4. Every entry of a greyhound shall be in the name(s) of the true owner(s) or his kennel name (if he has one). Entry must be made in writing and the true name of any person(s) having an ownership or interest in the earnings of a greyhound must be registered with the Racing Secretary before it starts at any race meeting, as shall every change in such ownership or interest thereafter made during that meeting.
5. Employees of the Racing Commission or the Association shall not be allowed to own any interest in any greyhound entered for racing at the race track where they are employed.
6. The greyhound entry into a race shall be free, unless otherwise stipulated in its conditions. If the conditions require an entrance fee, it must accompany the entry.
7. The Judges, Director of Racing and/or Racing Secretary shall have the authority to require any person in whose name a greyhound is entered to produce proof that the greyhound entered is owned by him.
8. Any failure to comply with any provision of this Section shall be punishable by a fine or suspension or both and if any objection because of such default is duly made and sustained against a greyhound that has run in a race, its winnings in that race shall be forfeited to the greyhound that finished next behind it.
9. In the event the number of entries to any stakes or added money purse race are in excess of the number of greyhounds that may, because of track limitations be permitted to start, the starters for the race shall be determined by lot by the Racing Secretary under the supervision of a Commission Judge.
10. The entrance money for a race will not be refunded in the event of the death of a greyhound or his failure to start.
11. A person making a wrong entry or nomination may be liable for stakes and forfeits under the nomination.
12. Arrears include all sums due for entrance money, subscriptions, stakes, fees, fines and/or any default incidental to this chapter.
13. No greyhound under the age of sixteen (16) months shall run in any official race.
14. Only two (2) greyhounds of any registered kennel owner or trainer, shall be allowed to run in any race, except as in stake or sweepstakes races or those races made up by the Racing Secretary. Two (2) double entry may be used in all races except races where "multi-race" exotic wagering is permitted, provided rules of the draw are observed. In all

Revised August 25, 2003

purse races, the following conditions shall apply:

- a) No double entries shall be allowed until all single interests are used and double entries shall be uncoupled for wagering purposes: and
 - b) The racing secretary may use a double entry without the permission of the trainer when no single entry is available.
15. No greyhound shall be permitted to enter an official purse race unless all persons holding any ownership interest are permitted by the Commission.
 16. A greyhound shall not be qualified to be entered or to start in any race if owned in whole or in part or is under the control, directly or indirectly, of a disqualified person. Any purse money won shall be returned and redistributed.
 17. No greyhound shall be eligible to run in an official race unless at least two (2) calendar days have passed since its last official race.
 18. No greyhound shall be eligible for entry in any distance, for which he has not been entered, except by written permission from the trainer.

Section 4. Declarations.

1. The declaration of a greyhound out of an engagement is irrevocable and may only be made by the kennel owner, trainer or authorized agent.
2. Declarations in sweepstakes shall be made to the Racing Secretary by the kennel owner, trainer or authorized agent.

Section 5. Qualifying Criteria.

1. Each association shall establish a qualifying criteria for official schooling and inform the judges in writing of this criteria prior to the first day of the race meet.
2. Any change in the qualifying criteria established during the course of the race meeting shall be reported in writing to the judges.
3. Any greyhound that fails to meet the qualifying criteria as established shall not be permitted to enter an official purse race.

V.

A. GRADING

Section 1. Grading.

1. The Racing Secretary shall assign the proper grades to each greyhound under the provisions of this section. Should the racing meet of the Association be continuous, no opening meet schooling will be required. Should there be a break of more than fourteen (14) days between racing meets, all greyhounds will be required to school, and the Racing Secretary shall, considering their past performance, classify and assign them to their proper grades.
2. There will be five (5) grades of greyhounds indicated as "A", "B", "C", "D", and "M" (Maiden). There may also be an optional Grade "E". Use of the optional Grade "E" shall be approved by the Racing Commission prior to the opening of a meet. Should grade "E" be utilized, it shall be used consistently throughout the meet.
3. The winner of any race is advanced one (1) grade until reaching Grade "A".
4. The winner of a maiden race shall be advanced no higher than Grade "D".
5. If a greyhound fails to finish 1st, 2nd, or 3rd in three (3) consecutive starts, in grade "A", "B", or "C", or fails to earn more than one (1) third place in four (4) consecutive starts in these same grades, that greyhound shall be lowered one (1) grade.
6. In grade "D", if the option of grade "E" is not applicable, should a greyhound fail to finish 1st, 2nd, 3rd, or 4th in four (4) consecutive starts, then such greyhound shall be taken off for a period of thirty (30) days. Said greyhound must be schooled at least two (2) times if this greyhound is to be entered in grade "D" again. If it requalifies, it may be placed back in grade "D", at which time, if said greyhound shall for a second occurrence fail to finish in the top four (4) positions in four (4) consecutive starts it shall be dropped from further racing at that race meet. When grade "E" is applicable, this rule does not apply. In these cases if a grade "D" greyhound fails to finish 1st, 2nd, 3rd, or 4th in four (4) consecutive starts, it will be lowered into grade "E".
7. Grade "E" races will be approved only under the following conditions:
 - a) Only one (1) Grade "E" race per performance may be carded; and
 - b) Not more than five (5) Grade "E" greyhounds may be on the active list of any kennel.
8. If a greyhound is racing in Grade "E", and in four (4) consecutive starts fails to finish 1st, 2nd, 3rd, or 4th, it shall be dropped from further racing at that race meeting.
9. Any greyhound finishing 2nd, 3rd, or 4th in Grade "M" may, at the request of the kennel owner or trainer to the Racing Secretary, be moved into Grade "D" races and then must

Revised August 25, 2003

abide by all the provisions of the grading system. Such greyhound will be referred to as a “Graded Maiden” and shall be designated by the letter (M) on the official program after the name of the greyhound. The letter (M) shall remain on this greyhound until such time as this greyhound wins an official purse race. If a maiden greyhound has not won an official purse race by the end of the month in which the greyhound turns two (2) years of age, it shall be designated as an “Overage Maiden”. The letter (M) shall be placed on the official program next to said greyhound’s name, the greyhound will be placed in grade “D”, and it must then abide by all the provisions of the grading system.

10. If a graded off greyhound transfers to any other licensed greyhound track, under the authority of a governing racing commission in the United States, and runs an official race in any grade, said greyhound may transfer back to the originating facility and may again compete following the established grading process.
11. The Racing Secretary shall assign to a greyhound transferring from another race track the grade which it held at the time of transfer or the next grade, higher or lower.
12. The Racing Secretary may regrade a greyhound that has not raced for at least thirty (30) days.
13. During a greyhound’s first three (3) starts, the Racing Secretary may regrade the greyhound only once and only to a next grade level. All regrades must be noted in the official program with the word “regrade”, in parenthesis (REGRADE).
14. The Racing Secretary may schedule only five (5) mixed grade races each week. A mixed grade must be designated by the letter “T” in the official program. A mixed grade can only incorporate two (2) grades. The additional grade can only be one (1) grade either higher or lower than the majority grade applied. All stake and sweepstake races shall be indicated by the letter “S”. An “S” grade race may incorporate any grade of greyhound. The established policy shall be maintained throughout the meet, and where “T” races are indicated, the regular grade of the greyhound shall be denoted along side the representative “T” in the results and past performance lines.

VI.

A. RUNNING OF THE RACE

Section 1. The Race.

1. A greyhound is declared a “starter” when the starting box door opens even if the greyhound fails to leave the starting box, bolts the course, runs in the opposite direction, or does not run the entire prescribed distance for the race. Such greyhound shall forfeit all rights in the race and no matter where it finishes the Judges shall declare the finish of the race the same as if said greyhound was not a contender.
2. The Judges shall declare the race a “no race” should a greyhound overtake or touch the lure. The race shall not be declared official unless the lure is in advance of the greyhounds at all times.
3. The race will be declared “official” even though a greyhound bolts the course or runs in the opposite direction and it is the Judges’ decision that it did not interfere with the race in any manner.
4. If it appears that a greyhound could interfere with the running of the race because of a failure to leave the box, an accident or for any other reason, a racing official or leadout stationed around the track, and supervised by the Judges, may remove said greyhound from the track. However, for the purpose of the rule, said greyhound shall be a “starter”.
5. After the greyhounds leave the paddock on their way to the starting box, and until the race is completed, no person except racing officials, leadouts, and authorized personnel shall be allowed on the track surface.
6. The lure shall be operated consistently. The Judges shall closely observe the operation of the lure and hold the Lure Operator accountable.

Section 2. Scratches.

1. All preliminary weigh-in scratches and the cause must be reported immediately to the Commission Veterinarian and/or Judge(s) on duty.
2. All other scratches and the cause must be reported immediately to the Judges.
3. The Judges may scratch a greyhound entered in a race at any time should they have sufficient cause.
4. The Racing Commission Veterinarian shall scratch a greyhound upon presentation of sufficient cause by a trainer and/or physical examination determines the greyhound is in improper condition for a race and is not race worthy. Said greyhound shall be ineligible

Revised August 25, 2003

to race until such time as the Commission Veterinarian believes he is fit to race.

5. Scratches that are the result of a violation of a Racing Commission Greyhound Racing Rule must carry a penalty and/or suspension of said greyhound for a period of not less than six (6) racing days. Scratches for other causes may be grounds for disciplinary action at the discretion of the Judges. If any kennel owner or trainer has a greyhound that violates a racing rule and as a result said greyhound is scratched, the Judges may impose a forfeiture and/or suspension on the person responsible.
6. If three (3) or more greyhounds are withdrawn or scratched in any one (1) race, the Judges may cancel said race.
7. After a draw is completed, a kennel owner or trainer must provide sufficient affirmation of an injured or ill greyhound to the Racing Secretary so it may be withdrawn. A substitute may be used in the place of said greyhound if the withdrawal is made prior to the printing of the official program. No greyhound may be withdrawn if a substitute is unavailable or if the official program has gone to press.

Section 3. Dead Heats, Prize Distribution.

1. If two (2) or more greyhounds finish a race in a dead heat, the prize and/or money to which those greyhounds would have been entitled had they not finished in a dead heat shall be divided equally among the greyhounds.
2. If the dividing owners cannot agree as to which of them is to receive the cup or other prize which cannot be divided, the question shall be determined by lot by the Judges.

Section 4. Objections.

1. All objections must be made to the Judges in writing and be signed by the objector. The Judges shall immediately send a copy of the objection to the Racing Commission.
2. Objections shall be filed with the Judges within forty-eight (48) hours from the time the race is run in which the greyhound that prompted the objection participated.
3. Permission of the Judges must be granted before an objection can be withdrawn.
4. Any person or persons lodging an objection must pay all costs and expenses incurred in determining the objection in such proportions as the Judges rule, unless such expense is relinquished by the Racing Commission.
5. The Judges may require a cash deposit before considering an objection. The deposit may be forfeited if the objection should prove to be frivolous or without foundation.
6. The Judges must rule on every objection pertaining to the race. A written appeal may be

Revised August 25, 2003

made to the Racing Commission within five (5) days of the time the objector has been officially notified of said decision of the Judges.

7. Objection to a greyhound engaged in a race must be made to the Judges by a racing official or by the kennel owner or trainer of some other greyhound engaged in the same race.
8. Objection to any decision of the Clerk of Scales must be made before the greyhounds leave the paddock for the start of the race.
9. Any prize which the greyhound receiving the objection may have won or may win in the race shall be withheld until the objection is ruled on by the Judges.
10. In all cases of fraud or willful deception, the time limitations shall not apply provided the Judges are satisfied that the allegations are authentic.
11. If an objection to a greyhound which has won or which has been placed in a race is declared valid, that greyhound is disqualified and the other greyhounds in the race are entitled to place in the order in which they finish.

Section 5. Greyhounds To be Tested.

1. The Judges shall order the winning greyhound of every race to a designated location for the purpose of collecting samples of urine, blood or other bodily fluids for use in testing for prohibited substances and may also order the testing of urine and other samples from any greyhound entered in any race or housed in the kennel compound.
2. If a greyhound fails to provide a sample for testing three consecutive times after winning a race and if no pre-race sample can be obtained prior to the running of what would be considered its fourth race the greyhound may be scratched. The greyhound can continue to be entered but will not be allowed to race until a pre-race sample is collected.

VII.

A. KENNEL COMPOUND AND GREYHOUND CARE.

Section 1. Kennel Compound.

1. Kennel Owners booked for a meet licensed by the Racing Commission must house all greyhounds that will be participating in official races at the meet in an individually leased building within the Association compound.
2. Kennel housing shall be of building standards that provide for the general well being of all greyhounds housed within. Established minimum standards of the greyhound industry will be used as guidelines in construction of the kennel buildings.
3. The Association shall provide temporary housing of a greyhound until a specimen can be obtained at the request of the Racing Commission Veterinarian.
4. Kennels shall be secured at all times when unattended and shall be kept secured both before, during or after a performance unless the Kennel Owner, trainer or authorized representative is present.
5. Kennels shall be kept clean and reasonably uncluttered at all times and shall be subject to inspection by the Commission Veterinarian and/or other representative of the Racing Commission.

Section 2. Greyhound Care.

1. All kennel personnel shall take measures necessary to assure the humane treatment of all greyhounds.
2. A person is guilty of inhumane treatment for any of, but not limited to, the following acts: if the person tortures, torments, deprives of necessary sustenance, drink or shelter, cruelly beats, mutilates any animal, or causes or procures any animal to be so tortured, tormented, deprived of necessary sustenance, drink, shelter, or to be cruelly beaten or mutilated; and whoever having charge of custody of any animal, either as owner or otherwise, subjects any animal to needless suffering, or inflicts unnecessary cruelty upon the animal, or in any manner abuses any animal, or fails to provide the animal with proper food, drink or who uses an animal unfit for racing, training, or other labor.
3. A person proven guilty of inhumane treatment to a greyhound, as described herein; shall be prohibited from holding any racing related license or permit under the jurisdiction of the Racing Commission for a minimum five (5) year period.
4. Each crate installed in kennels will be the minimum dimensions approved by the

Commission and consistent with the industry standards. Only one greyhound may be housed in each crate. Each kennel will be limited to a number of crates that will allow adequate runway space, airflow and the general well-being of the greyhounds being housed.

5. The Association shall provide continuous security service in the kennel area any time a greyhound is housed in this area. The Association shall insure that the kennel area is fenced in a manner that prohibits a person from unlawful or unauthorized ingress.
6. The Association shall prohibit a person, other than Association Officials and designated Racing Commission employees, from entering or being present in the kennel area unless the person is employed by a kennel and displays a badge issued by the Racing Commission. All other persons who are given access to the kennel area must display a badge issued by the Racing Commission or a temporary pass issued by the Association and must be accompanied by a licensed kennel employee/representative of the Commission, the Racing Secretary or his designated representative.
7. No person shall possess or consume alcoholic beverages while inside the kennel compound.
8. No person shall be in possession or control of any animal except a registered greyhound while inside the kennel compound. The Director of Racing shall be responsible for seeing that stray animals which are inside the kennel compound are humanely captured and taken to a local animal shelter.

Section 3. Euthanasia.

1. All persons subject to the jurisdiction of the Racing Commission shall only use a lethal injection of barbiturates to euthanize a greyhound:
 - a) Only a licensed veterinarian shall administer such barbiturates.
 - b) Such barbiturates shall be administered in a manner sufficient to ensure that the animal feels no pain or discomfort.
2. Violation of this rule shall subject the violator to severe penalties prescribed by the Racing Commission.

Section 4. Vaccinations.

1. Within 72 hours of arriving at the kennel compound each greyhound must be vaccinated against rabies, and immunized against canine distemper, hepatitis, adenovirus type 2, parainfluenza, parvovirus, leptospirosis and bordetella bronchiseptica. Such inoculations and vaccinations shall be done by a veterinarian licensed to practice in Alabama and at

the cost and expense of the kennel owner. These vaccinations and inoculations shall not be required if the kennel owner can produce proof that the greyhound has been given these inoculations under the supervision of a licensed veterinarian within the previous 12 months, in which case these inoculations shall be required to be readministered by a licensed veterinarian not later than one year after the previous inoculation or vaccination. Greyhounds that have not been so vaccinated may be quarantined in a manner recommended by the Commission Veterinarian and shall be ineligible for racing until such vaccinations have been administered.

2. No greyhound shall be vaccinated within 24 hours of post time for the first race of the day said greyhound is to race.

VIII.

A. DUTIES OF THE ASSOCIATION

Section 1. Duty to Cooperate.

The Association, its officers and employees shall cooperate fully with the Racing Commission and its staff in the regulation of Greyhound Racing and pari-mutuel wagering and shall report any violations of racing commission rules to the Judges.

Section 2. Reports Required.

1. The Association shall immediately notify the Racing Commission Executive Secretary in writing of any and all changes in stockholder information.
2. The Association shall maintain an accounting system under the supervision of a certified public accountant.
3. A report detailing the amount of money wagered in each pari-mutuel pool and the pari-mutuel tax payable to the Racing Commission for each racing performance shall be submitted to the Racing Commission daily.
4. The Association shall submit a detailed annual audit of the admissions and pari-mutuel pools and pari-mutuel tax paid within sixty (60) days after the conclusion of its accounting year pertaining to such information, certified by a public accountant licensed to practice in Alabama. Such annual audit shall also include the names of the Officers, Directors, Administrative Officials, Pari-Mutuel Officials, and Racing officials of the Association conducting the race meeting.
5. The Racing Commission Treasurer or an auditor employed by the Commission may audit and check these Association records upon the request of the Racing Commission.
6. The Association shall make all purses available to kennel owners not later than 5:00 p.m. on the Wednesday following the end of the week in which the purse money has been earned unless the Judges, the Commission or the Executive Secretary order that a particular purse to be withheld pending an investigation or redistributed as the result of an official ruling. Nothing in this rule shall be construed to prohibit the Association from making purse payments directly to greyhound owners unless said purse has been ordered withheld or redistributed as described above.

Section 3. Association Grounds and Facilities.

1. The Association shall provide and operate adequate facilities for Greyhound Racing and pari-mutuel wagering.
2. The Association shall provide a racetrack surface, which is safe and humane for greyhounds. The racing surface shall be constructed, elevated and maintained in a manner that is fair and even for all contestants. The cushion sub-surface, base and drainage shall be constructed of materials and to a depth that adequately provides for the safety of the greyhounds. One (1) hour prior to each performance a Commission Judge Association Director of Racing and the Commission Veterinarian shall inspect the racing surface and sign off on the condition of the track. If the racing surface is deemed unsafe in their majority opinion, the Association shall have until post time of the first race to correct the problem or racing shall be canceled by the Judges.
3. The Association shall file with the Racing Commission existing maps and plans of Association grounds, showing all structures, piping, fixed equipment racing course, noting elevation as filled, and composition of track base and cushion. The Association shall file revised maps and plans of Association grounds upon any material change as may occur from time to time.
4. In the event of inclement weather causing unsafe racing conditions, the Judges, upon consultation with the General manager and Commission Veterinarian may suspend the remainder of the racing program.
5. In the event of a mechanical malfunction, (lure, rail, tractor etc.), track degradation or computer malfunction, the Judges shall allow the Association one (1) hour in order for the necessary repairs to be made. If repairs are not made-within the prescribed time - period, racing shall be canceled for the remainder of that program and until such repairs are satisfactorily completed.
6. The Association shall file with the Racing Commission existing maps and plans of Association grounds, showing all structures, piping, fixed equipment racing course, noting elevation as filled, and composition of track base and cushion. The Association shall file revised maps or plans of Association grounds upon any material change as may occur from time to time.
7. The Association shall provide adequate lighting on Association grounds to insure the safety of the greyhounds, employees and patrons. The Association shall maintain a back-up lighting system to serve in an emergency situation.
8. The Association shall provide and maintain a suitable area commanding an uninterrupted view of the entire racing course for the Judges, the Lure operator, the Chartwriter and the Photofinish operator.

Section 4. Communications.

1. The Association shall install and maintain a communication system throughout the facility.
2. Except as otherwise provided in this section, the Association may have telephone or telegraph systems on the premise during a race meeting for the benefit of the public media or for transacting ordinary business.
3. No person may use the telephone or telegraph systems or equipment to direct the placing of a wager on the results of a race.
4. The telephone or telegraph systems may not be used to transmit or communicate to another, the results, changing odds, track conditions or any other information relating to any greyhound race between the period of time beginning one (1) hour prior to the first race of the day and ending thirty (30) minutes after the posting of the official results of each race, as to that particular race, except this period may be reduced to permit the immediate transmission by radio, television or media wire of any pertinent information concerning feature races.
5. The Association shall file a request containing the name and address of the representative of the public media radio or television desiring to broadcast, televise or transmit by media wire particular feature races at least five (5) days prior to the particular feature races to the Racing Commission for its approval.
6. No patron or employee, unless in the performance of duties, is permitted to have in their possession any radio transmitter, video camera, camera equipment with flashbulb, or any transmitting device while present at the track during any racing program except Citizens Band radios or cellular telephones. A portable telephone, transmitter or other instrument of communication that can be used for transmitting messages off Association grounds is subject to confiscation by Association security personnel.
7. The Association shall provide and maintain a public address system capable of transmitting announcements to the patrons and the compound area.
8. The Association shall place closed circuit television throughout the facilities for the benefit of the patrons.
9. The television camera used for tape replays of the races is not set upon the finish line; its pictures are not official and are to be provided solely for the enjoyment of patrons.
10. The Association shall make all reasonable efforts to insure that the race information disseminated by it to the news media is accurate. The Association shall make all reasonable efforts to correct any erroneous information transmitted to a publisher.
11. The Association shall provide a display board to inform the patrons of the results of the previous race performance.

Section 5. Comfort and Safety.

1. The Association shall insure that the public areas of the Association grounds are designed and maintained for the comfort and safety of the patrons.
2. The Association shall maintain all facilities on its grounds to insure its safety and cleanliness.
3. The Association shall be responsible for maintaining an adequate program for fire prevention and fire suppression.
4. The Association shall provide, equip and maintain a first aid room within its enclosure; provide the attendance of a Registered Nurse, Emergency Medical Technician or Licensed Practical Nurse when admission gates are opened to the general public and such first aid room shall remain open during racing hours.
5. The Association shall maintain records regarding all accidents that occur on Association grounds during a race meeting and that result in an injury to a person or race animal and shall provide copies of all such records to the Racing Commission.
6. The Association shall provide an adequate supply of concession items for purchase by patrons and free drinking water for use by the patrons.
7. The Association shall provide and maintain clean and adequate restroom facilities for the patrons.
8. The Judges and the Chief Security Officer shall immediately investigate any known impending threat of dangerous weather conditions to determine if conditions exist which warrant cancellation of a performance and/or the notification to the public of such threatening weather conditions. It shall be the decision of the Chief Security Officer and the highest ranking available Association Official as to what immediate measure or course of action is to be taken. The first priority of all decisions made shall be the well-being and safety of all persons and animals. Immediate notification shall be made to the Racing Commission.
9. A written report of any such incident shall be filed within twenty-four (24) hours to the Racing Commission Executive Secretary by the highest ranking Association official.

Section 6. General Security.

1. The Association shall provide and maintain competent security and watchman services, night and day, in and about Association grounds, and shall eject therefrom all unauthorized persons, inebriated persons, touts, bookmakers or persons connected with

bookmakers, persons under suspension or barred, persons of lewd or immoral character, and persons guilty of boisterous or disorderly conduct or other conduct detrimental to racing or the public welfare. The Association shall furnish a report within twenty-four (24) hours to the Racing Commission Chief Investigator of any person ejected from the Association grounds.

2. The Association shall secure the peaceful use of the Association grounds.
3. The Association shall provide the Racing Commission with proof of adequate public liability insurance for the racing facility.
4. The Association shall take such measures needed to maintain the security of the greyhounds while on Association grounds to protect them from injury due to frightening and/or tampering. The Association shall exclude all persons from the compound area who have no designated duty or authority with the greyhounds entered and are not representatives of the Racing Commission, racing officials, duly authorized licensed employees, or escorted guests with Association approved passes.
5. Alabama law prohibits persons under nineteen (19) years of age from being in or near the pari-mutuel wagering areas.
6. The Association may elect or exclude any persons, licensed or unlicensed, from Association grounds or a part thereof, for violation of the laws of the State of Alabama, Racing Commission Rules and Regulations, or Association Rules.
7. Any person or persons soliciting winning tickets for redemption shall be barred from the grounds by the Association.
8. The Association shall not permit the making of handbooks on its grounds. If such practices are found to exist, the Association shall take immediate steps to eliminate the same. Any kennel owner, kennel employee or greyhound owner who shall bet with or through any such handbook shall be ejected from the Association grounds and refused future admission.
9. No person who is a bookmaker, or who is known or reputed to be a bookmaker, or who is a fugitive from justice, or whose conduct on or off a race track in Alabama, or elsewhere, now or heretofore, has been improper, obnoxious, unbecoming or detrimental to the best interest of racing, shall enter or remain upon the premises of the Association and all such persons shall upon discovery or recognition be forthwith ejected from the Association grounds.
10. If any licensee solicits bets from the public by correspondence or other methods, on any greyhound under the Racing Commission jurisdiction, such licensee shall be fined, suspended, or ejected or any combination thereof.
11. If any kennel owner, trainer, helper or other licensed person is guilty of carrying or exhibiting a deadly weapon, or otherwise disturbing the peace within the Association

grounds, such person may be subject to ejection by the Association. Such action will be immediately reported to the Racing Commission Chief Investigator.

12. If a person is suspended or ejected from the Association grounds, every greyhound wholly or partly owned by such person shall also be suspended or ejected for the duration of such suspension or ejection. If any entry from any person, or of any greyhound that stands suspended or expelled is received, such entry shall be void.
13. The violator of any rule shall be subject to ejection from the grounds and a licensed person shall be subject to revocation of such license.
14. It shall be the duty of the Association to obtain the license identification badge of any terminated, suspended, or ejected licensed person and return it to the Racing Commission Chief Investigator.
15. The Racing Commission shall keep a record of the name and address of all persons ejected by the Association, together with the offense or offenses alleged against them, and any other material information relating thereto. It shall be the duty of the Association to notify the Racing Commission Chief Investigator of any and all ejections.
16. The Association may issue a temporary pass to a person to enter the compound area and/or the facilities of the track. The method used by the Association for issuing temporary passes must be approved by the Racing Commission. A temporary pass does not entitle the person to whom the pass is issued to participate in racing in any form other than as a patron.

Section 7. Greyhound Adoption Program.

1. The Association shall provide a program to receive and maintain greyhounds for adoption.
2. All facilities used to maintain greyhounds are subject to inspection and approval by the Commission and shall be maintained in a manner to promote the health, safety and welfare of the greyhounds.
3. The Association shall provide its employees in charge of the adoption program with sufficient training and funding to operate and maintain an adoption program consistent with directions and orders of the Commission.
4. Crates housing the greyhounds available for adoption shall meet the same specifications as those used for housing greyhounds in the racing kennels.
5. Only one greyhound shall be housed per crate at any one time.
6. A feeding program for all greyhounds in the adoption center, shall be established and maintained by the Association subject to the review and approval of the Chief

Commission Veterinarian. Fresh water shall be available for the greyhounds in the adoption center at all times unless otherwise directed by a veterinarian for medical reasons.

7. Greyhounds placed in the adoption program are not to be utilized as blood donors or for research.
8. The Association shall insure the following veterinarian services have been provided to each greyhound which is in the adoption program:
 - a) fecal examination.
 - b) heartworm test.
 - c) vaccination series including DHLPP and rabies.
 - d) surgical sterilization.

IX.

A. PERMITS

Section 1. Permits Required.

No person, legal entity, or association shall conduct pari-mutuel wagering or any horse or greyhound race for any stakes, purse, or reward in Birmingham, Alabama without first securing a permit therefor from the Commission. No person shall participate in pari-mutuel racing in the City of Birmingham, Alabama as an animal owner, trainer, agent, leadout, kennel helper, racing official, association employee, or veterinarian, or supplier of food, tack, medication, meal or feed, without first securing a permit therefor from the Commission. This rule shall not apply to an employee of a person or concern contracting with the association to provide a service or commodity and which employment requires their presence on association grounds during a race meeting. That person or concern so contracting with the association shall secure a permit and shall be responsible for the conduct of their unpermitted personnel.

Section 2. Conditions Precedent to Issuance of Permit.

Pari-mutuel racing and participation therein in the City of Birmingham, Alabama are privileges, not rights, granted only by the Commission by permit subject to the hereinafter set out conditions precedent. Acceptance of a permit shall be construed as consent and agreement to the following conditions precedent by the permittee and failure to comply therewith shall be grounds for immediate voidance or revocation of such permit:

1. Representations made with permit application are complete and correct.
2. Permittees shall abide by all rulings, and decisions of the Judges and all such decisions by the Judges shall remain in force unless reversed or modified only by the Commission upon proper appeal thereto. All rulings and decisions of the Judges may be appealed to the Commission except those made by the Judges as to findings of fact as occurred during and incident to the running of a race and as to determination of the extent of interference of runners in a race or the suspension of racing for interference committed during such race, and all such excepted rulings and decisions by the Judges shall be final with no right of review thereof by the Commission.
3. Permittees shall consent to a reasonable search of his person and property in his possession by the Commission or its representatives, such property being restricted to that on association grounds and including, without limiting thereby, tack rooms, kennels, motor vehicles, trunks, boxes, and containers of any sort, and permittee shall consent to seizure of any object which may be evidence indicating a rule violation. A permit holder shall cooperate in every way with the Commission or its representatives during the

conduct of an investigation, to include responding correctly under oath to the best of his knowledge all questions asked by the Commission or its representatives.

4. Each permittee shall keep the Permit Administrator informed of the address to which he wishes all official notices and correspondence to be sent.
5. A permitted trainer shall be responsible for the condition of greyhounds in his charge and shall be held to a high standard of care in taking all precautions as are reasonable and necessary to safeguard such greyhounds from tampering. Upon a finding of a positive for a prohibited medication, drug, or substance in a saliva, sweat, urine, blood, plasma or serum specimen taken from a greyhound, the trainer of such greyhound shall have the burden of proof showing freedom from negligence in the exercise of a high degree of care in safeguarding such greyhound from tampering.

Section 3. Standards for Granting Permits.

The Commission may issue a permit to any person who applies for same to participate in pari-mutuel racing in the City of Birmingham as a kennel owner, trainer, greyhound owner, agent, leadout, racing official, kennel helper, association employee, or employee of a person or concern contracting with the association to provide a service or commodity and which requires their presence on association grounds during a race meeting, or veterinarian, or supplier of food, tack, medication, or meal feed, unless the Commission finds that the financial responsibility, age, experience, reputation, competence, or general fitness of the applicant to perform the activity permitted, is inconsistent with the best interest of racing and the maintenance of the honesty, integrity, and high quality thereof.

Section 4. Ineligible to File Application for Permit.

No person who has been convicted of a felony crime within the previous two (2) years shall be eligible to apply for a permit. Any person who has been convicted of a felony more than two (2) years but less than ten (10) years from the date of the application is eligible to apply for a permit but such application shall be forwarded to the Commission for final action. The Judges may submit any recommendation they feel is appropriate regarding the issue or denial of the permit. No person who has been convicted of any misdemeanor involving the use, sale or distribution of a controlled substance shall be eligible to apply for a permit for a period of twelve (12) months from the date of such conviction or until the expiration of any probationary period whichever is longer.

Section 5. Restrictions on Permits of Minors.

1. Restrictions on permits applied for by, or issued to, minors under age 19.
 - a) In compliance with Section 11-65-44, Code of Alabama 1975, no permit shall be issued to any person under the age of nineteen (19) years except to grooms, animal exercisers, kennel helpers, parking attendants, lead outs or office workers or for jobs that do not allow or require contact with members of the public engaged in wagering activities, and all such under nineteen (19) permit holders shall be subject to the applicable restrictions of this rule.
 - b) At all times when wagering is being conducted at the racing facility, kennel helpers and other persons under the age of nineteen (19) bringing greyhounds to the paddock or returning greyhounds to the kennel compound shall be restricted to the kennel compound, the paddock, cool down area and the roadway thereto, and under no circumstances shall go to or remain in any area serving the wagering public, and shall go beyond the kennel compound only escorting greyhounds to the paddock or from the track to the kennel compound.
 - c) Persons under the age of nineteen (19) issued permits to work in office areas of the grandstand structure shall be restricted to the areas of their employment, and under no circumstances shall go into any area where wagering activities are being conducted.
 - d) Persons under the age of nineteen (19) issued permits to perform the functions of gate or parking attendants shall be restricted to their work areas, and shall not enter any part of the racing facility while wagering is being conducted.
 - e) Unless permitted by this rule, all persons under the age of nineteen (19) who are issued permits by the Commission shall be restricted to the kennel compound and office areas, and under no circumstances shall be allowed to go into any area serving the wagering public at any time that wagering is being conducted at the racing facility.
 - f) The permit of any person under the age of nineteen (19) who violates this rule shall be subject to revocation, and such permit holder shall be excluded from the racing facility pending hearing on any violation.
 - g) Any permit holder who aids, abets, assists or directs any person under the age of nineteen (19) to do anything which would violate this rule, or to engage in any wagering activities, directly or indirectly, or, having knowledge of any violation of this rule fails to promptly report such violation to Commission security personnel, shall be in violation of this rule and subject to appropriate disciplinary action, including suspension or revocation of permit, fined up to

One Thousand Dollars (\$1,000.00), or other disciplinary action deemed appropriate by the Judges.

Section 6a. Grounds for Refusal, Suspension, or Revocation of a Permit.

The Commission in its discretion may refuse to issue a permit to an applicant, or may suspend or revoke a permit issued, or order disciplinary measures, on the following grounds:

1. Denial of a permit to an applicant, or suspension or revocation of a permit in another racing jurisdiction; the Commission may require reinstatement in the original racing jurisdiction where applicant was denied a permit or where his permit was suspended or revoked.
2. Conviction of a crime or violation of any regulation dealing with a controlled substance; or conviction of any felony or any crime involving moral turpitude.
3. Falsification, misrepresentation, or omission of required information in permit application to the Commission; failure to disclose to the Commission complete ownership or beneficial interest in a greyhound entered to be raced; misrepresentation or attempted misrepresentation in connection with the sale of a greyhound or other matter pertaining to racing or registration of greyhounds.
4. Violations of any prohibited act.

Section 6b. Prohibited Acts

1. Making false or misleading statements to the Commission, its representatives, and/or the Judges in the course of an investigation.
2. Failure to comply with any order or ruling of the Commission or Judges.
3. Refuse to obey reasonable orders or directions of a racing official, or security personnel of the Commission or Association.
4. Submit or knowingly allow to be submitted to the Commission (including security personnel), judges, racing secretary, or National Greyhound Association any report or documents, which contains false or misleading information.
5. Have any interest in more than one kennel racing greyhounds in this jurisdiction.
6. Ownership of any interest in, or participation by any manner in, any bookmaking, pool-selling, touting, bet solicitation, or illegal enterprise, or association with any person so engaged in such activity.
7. Person less than nineteen (19) years of age, unless the position does not allow or require

contact with members of the public engaged in wagering activities, such as the job of leadout, animal exerciser, kennel helper, parking attendant or office worker, and unless the position is one deemed appropriate by the Commission for persons age 16-18.

8. Person unqualified by experience or competence to perform the activity permitted as determined by the Judges.
9. Intoxication, use of profanity, fighting or any conduct of a disorderly nature, on association grounds.
10. Employment or harboring of unpermitted persons required by these rules to be permitted.
11. Discontinuance of or ineligibility for activity for which permit was issued.
12. Possession on association grounds, without written permission therefor from the Commission or Judges, of:
 - a) Firearms;
13. Possession on association grounds without written permission from the Commission or judges by a person other than a licensed veterinarian of:
 - a) Hypodermic needle, or hypodermic syringe, or other device which could be used to administer any substance to a greyhound;
 - b) Any injectable substance;
 - c) Narcotics, or medication, or drug, or substance which could be used to alter the speed of a greyhound in a race;
 - d) Any illegal or controlled substance. (Amended Sept. 3, 1993).
14. Use of profane, abusive or insulting language to or interference with a Commissioner, a Judge, any member of the Commission staff, or racing official, while such persons are in the discharge of their duties.
15. Cruelty to a greyhound or neglect of a greyhound entrusted to a permittee's care.
16. Offering, promising, giving, accepting, or soliciting a bribe in any form, directly or indirectly, to or by a person having any connection with the outcome of a race, or failure to report knowledge of same immediately to the Judges.
17. Causing, or attempting to cause, or participation in any way in any attempt to cause the prearrangement of a race result, or failure to report knowledge of same immediately to the Judges.
18. Drug addiction, bad moral character, intemperate habits, bad reputation for honesty, truth and veracity, conviction, pending indictment, or pending arrest on a probable cause warrant for any crime involving moral turpitude, or involving drugs or controlled substances, or involvement in a subject of public notice as involved in any activity which, in the opinion of the Commission, would be inconsistent with the best interests of racing

by reflection on the honesty and integrity of the sport of racing, or association with person so characterized.

19. Is or has been guilty of corrupt or fraudulent practices or conduct in connection with any Greyhound Racing activity in the State or any other state.
20. Any Commission licensee who purchases food, shelter, medications, transportation, veterinary services, supplies or like things, for use in the licensee's racing operations and who fails to pay for the services or goods, or fails to honor a contractual agreement entered into within the realm of racing, or writes a bad check at a licensed racing facility for one hundred dollars or more shall be guilty of conduct detrimental to the best interest of racing and may be subject to license suspension or revocation. The burden of proof that debts are owed is on the person bringing the charges.
21. Failure or refusal to obtain any business license or permit required by the City of Birmingham, Jefferson County, or the State of Alabama pertaining to his or her racing activities.
22. Violation of any rule of the Commission or aiding or abetting any person in the violation of any such rule.
23. Conviction within the previous five (5) years of any offense deemed by the Judges to be detrimental to the integrity of racing.
24. Reporting for or on duty while impaired by the consumption of alcohol, which shall be evidenced by a Blood Alcohol Content (BAC) of 0.05% by volume or greater.
25. Use or the presumed use of a controlled substance, the presence of which in a urine sample shall be prima facie evidence of use of such substance.
26. Use of live animals of any kind in the training of a greyhound or the use of an animal, which has been destroyed for the purpose of training a greyhound.
27. Allow or cause a scratch to become necessary, which could have been avoided by the exercise of reasonable care.
28. Fail to request a scratch immediately upon learning a scratch is necessary.
29. Fail to report to the judges promptly upon request.
30. Lodge a frivolous complaint.
31. Fail to pay a fine within the time allowed.
32. Smoke in any designated "No Smoking" area on the premises.

Section 7. Permit Application for Participants in Racing.

1. Any person other than an Association required to be permitted by Section 1 and desiring

to participate in racing in the City of Birmingham, Alabama, may apply to the Commission for a permit, Such application shall be made in writing on application forms prescribed by the Commission and filed at the Commission general office or with the Commission Chief Inspector at the Association not later than twenty-four (24) hours after applicant has arrived on association grounds. Application for permit must be accompanied by a waiver agreeing to participate in drug testing programs as deemed appropriate by the Commission.

2. Applications from persons not previously permitted in Birmingham, Alabama, shall include the names of two (2) reputable persons who will attest to the good reputation of the applicant and to the capability and general fitness of the applicant to perform the activity permitted.
3. Applications from persons whose age is not readily ascertainable by the licensing committee shall be accompanied by an attested copy of birth certificate or work permit showing applicant is sixteen (16) years or older.
4. Applications from persons, corporations, partnerships, lessors, or other legal entities involving more than one individual person desiring to race greyhounds in the city of Birmingham, Alabama, shall, in addition to designating the person or persons to represent the entire ownership of such greyhounds, be accompanied by documents which fully disclose the identity and degree and type of ownership held by all individual persons who own or control a present or reversionary interest of ten percent (10%) or more in such greyhounds. No application shall be acted upon until the Commission is satisfied a full disclosure has been made.
5. Applications from persons desiring to treat, or prescribe for, or attend any greyhound on Association grounds as a practicing veterinarian, shall be accompanied by evidence that such person is currently licensed as a veterinarian by the State of Alabama. An accredited practicing veterinarian not licensed by the Commission or the State of Alabama, however, may with permission of the Judges, in an emergency be called in as a consultant, or to serve as a veterinarian for one (1) kennel on a temporary basis, and shall not thereby be considered as participating in racing in this state.
6. Applications from person desiring to treat, or prescribe for, or attend any greyhound on Association grounds as a dental technician shall be accompanied by the name of a veterinarian holding a Commission permit who will attest to the technical competence of such applicant and under whose sponsorship and direction such applicant will work on association grounds.
7. An applicant for a Commission permit must act only in the capacity stipulated on the permit application. Permit identification badges are the property of Birmingham Racing Commission and must be surrendered when the applicant is no longer acting in the capacity for which issued.
8. Department heads or supervisors are accountable and shall be responsible for promptly

returning all permits identification badges of discharged or suspended employees to the Commission Permit Office.

9. The following annual permit fees shall accompany the application and shall not be refundable:
 1. Accountant - \$15.00
 2. Administrative - \$15.00
 3. Admissions - \$15.00
 4. Assistant General Manager - \$40.00
 5. Assistant Mutual Manager - \$40.00
 6. Announcer - \$15.00
 7. Assistant Racing Secretary - \$40.00
 8. Assistant Starter - \$15.00
 9. Assistant Trainer - \$40.00
 10. Attorney - \$55.00
 11. Chief Financial Officer - \$55.00
 12. Clerk of Scales - \$15.00
 13. Commission Auditor - \$15.00
 14. Commissioner - \$0.00
 15. Concessions/Food Service - \$15.00
 16. Deputy Executive Secretary - \$40.00
 17. Department Head - \$55.00
 18. Director of Racing - \$55.00
 19. Duplicate - \$5.00
 20. Executive Director/Secretary - \$55.00
 21. Field Judge - \$15.00
 22. General Manager - \$55.00
 23. General Superintendent - \$55.00
 24. Identifier - \$15.00
 25. Investigator - \$15.00
 26. Judge - \$55.00

27. Kennel Helper - \$15.00
28. Kennel Master - \$15.00
29. Kennel Owner - \$55.00
30. Leadout - \$15.00
31. License Clerk - \$15.00
32. Lure Operator - \$15.00
33. Media Relations - \$15.00
34. Miscellaneous - \$15.00
35. Marketing - \$15.00
36. Maintenance Employee - \$15.00
37. Mutuel Employee - \$15.00
38. Mutuel Manager - \$55.00
39. Owner - \$55.00
40. Owner/Trainer - \$55.00
41. Paddock Judge - \$15.00
42. Parking - \$15.00
43. Patrol Judge - \$15.00
44. Racing Secretary - \$55.00
45. Security - \$15.00
46. Starter - \$15.00
47. Totalizator Technician - \$15.00
48. Track Chartwriter - \$15.00
49. Track Superintendent - \$15.00
50. Trainer - \$55.00
51. Utility Employee - \$15.00
52. Vendor - \$55.00
53. Vendor Employee - \$15.00
54. Veterinarian - \$55.00
55. Assistant Veterinarian - \$15.00

56. Veterinarian Technician - \$15.00
57. Video/Photo Finish - \$15.00

Section 8. Temporary Permits.

The Chief Inspector may issue a temporary permit without charge to persons listed above employed by concerns doing business with the association or persons lawfully on the grounds and whose presence on the grounds will be for a restricted purpose and for a period of less than two (2) hours. They may also issue identification cards to the spouses and minor children of owners and trainers at a fee of \$5.00

Section 9. Term of Permit.

Permits issued by the Commission after October 1, 1992 shall expire on December 31, 1993 and permits issued by the Commission after January 1, 1993 for participation in racing shall be valid from the date of issuance until December 31 of the year in which issued, or such earlier date as shown on such permit, unless sooner suspended, revoked, or voided. The fee for a one-year permit shall be that set out in these rules, all of which fees must be paid upon submission of a permit application and prior to the permit being issued. The Commission may renew any permit and any such renewal shall not be construed to be a waiver or condonement of any violation which occurred prior to such renewal and shall not prevent subsequent proceedings against the permittee therefor. The validity of a permit does not preclude or infringe upon the common law right of associations to eject or exclude any persons, permitted or unpermitted, from association grounds.

Section 10. Possession of Permit Required.

No person required to secure a permit by these rules may participate in any activity for which a permit is required on association grounds during a race meeting without having been issued a valid permit therefor and having same in his possession. When in an area not open to the public, the permit must be visibly displayed on his person. All permits specified in these rules shall include a color photograph of the permittee. The Judges, in their discretion, may waive the display of the permit by lead outs, patrol judge and starter who regularly wear distinctive uniforms while in areas which are not open to the public.

Section 11. Applicability of Rules and Rulings to Household.

Rules pertaining to, and rulings against, permittees shall apply in like force to the spouse and members of the immediate family or households of the permit holder, unless there is a showing on the part of an affected spouse, or affected member of the immediate family or household of

the permit holder, and the Judges in their discretion so find, that the continuation of participation in racing by such affected person will in no way circumvent the intent of the rule, or effect of the ruling, by permitting a person under the control or direction of the permittee to serve in essence as a substitute for a suspended permittee, or person ineligible to participate in a particular activity.

Section 12. Permits for Law Enforcement Personnel.

Members of federal, state and local law enforcement agencies, and staff of the Alabama Attorney General's Office, and of the District Attorney of Jefferson County, may be issued permits in the category, "Law Enforcement" on direction of the Executive Secretary or the Chief Inspector, without fee.

Section 13. Human Drug Testing

1. Controlled Substances

a) Reasonable Cause Testing:

All permit holders shall be subject to urine testing for the presence of controlled substances upon order of the Stewards/Judges or the Executive Secretary of the Commission acting upon reasonable cause at any time while on the grounds of the racing association licensed by the Commission.

b) Random Testing:

In addition all permit holders are subject to random testing at any time.

c) Procedure For Taking Samples:

All persons to be tested shall submit urine samples in the containers provided by the commission or the testing laboratory and shall provide the sample in the presence of a security officer/Commission Representative of the same sex as the person who is being tested. The person providing the sample may also have a witness present at the time the sample is given and sealed if requested and the witness is available at that time. All samples shall be transferred to the laboratory or person actually performing the testing in a manner which will not reveal the identity of the person who provided the sample to the laboratory or tester.

d) Substances Tested For:

Testing will be conducted for controlled substances as defined by Alabama Law including the following substance:

Cannabis, Opiates, Amphetamines, Benzodiazepines, Barbiturates, Cocaine or its derivatives and synthetic drugs of similar nature.

e) Sanctions and Penalties: Controlled Substance

If a permit holder is found to have a controlled substance in his or her system:

1. The permit holder shall be immediately suspended upon confirmation of a positive test from the lab.
2. On the first occurrence a minimum suspension of 30 calendar days shall be imposed. The permit holder shall enroll in a substance abuse treatment program approved by the Commission within 10 days and submit proof of enrollment to the Birmingham Racing Commission Board of Judges. The permit holder shall sign a release with the facility allowing the Birmingham Racing Commission Board of Judges to receive information from the clinic regarding enrollment, attendance and progress. The permit holder shall attend and complete a substance abuse treatment program approved by the Commission and submit written proof of completion within (3) three days of such completion.
3. On the second occurrence, within 1 year, a minimum suspension of 180 calendar days shall be imposed. The permit holder shall enroll in a substance abuse treatment program approved by the Commission within 10 days and submit proof of enrollment to the Birmingham Racing Commission Board of Judges. The permit holder shall sign a release with the facility allowing the Birmingham Racing Commission Board of Judges to receive information from the clinic regarding enrollment, attendance and progress. The permit holder shall attend and complete a substance abuse treatment program approved by the Commission and submit written proof of completion within (3) three days of such completion.
4. On the third occurrence, within 2 years, the person's permit shall be revoked for life and the person shall not be eligible to apply for another permit in this jurisdiction for a period of five (5) years from

the date of the third positive test, and with such application must submit proof of successful completion of a certified substance abuse treatment program approved by the Commission.

f) Medical Expenses:

If, prior to or at the time of testing, the permit holder has informed the person in charge of the collection of the sample he/she is currently under the care of a physician and is taking a prescription medication prescribed by that physician such information shall be recorded on the test record retained by the Commission and within a reasonable time after testing the permit holder, provides proof thereof to the Judges/Stewards this information shall be considered by the Stewards/Judges who may excuse the person from any penalty which may result from a positive test finding.

g) Medical History To Be Confidential:

Unless introduced as evidence at a public hearing by the permit holder, or required to be released by court order, all statements filed by permit holders pertaining to their medical treatment shall be considered confidential in nature and shall not be available for inspection or review by any other person other than Commission Officials and Board of Judges directly involved in the administration of the program and the permit holder, his physician, or legal counsel. Unless introduced as evidence at a hearing, all individual drug test results shall be considered confidential and shall not be available for inspection or review by any person except Commission officials and Board of Judges directly involved in the administration of this program unless approved by the person tested. However, the Commission may from time to time make public reports of a statistical and financial nature regarding the administration of this program.

h) Prima Facia Evidence:

A confirmed positive test will be prima facia evidence of the presence of a controlled substance in the permit holder's system unless excused by reason of medical treatment as outlined above.

i) Refusal To Submit Urine Sample For Testing

Refusal to submit a urine sample for drug testing shall constitute cause for the revocation of the person's permit. Any person who refuses to submit a urine sample when so requested by the Judges/Stewards, representative of the Commission or other persons authorized to request such samples under these procedures shall be

referred by the Judges/Stewards to the Commission for revocation of his/her permit. Failure or refusal to submit a urine test when requested by proper authority shall result in automatic and immediate exclusion from grounds of the association pending a hearing before the Commission.

2. Alcohol:

All permit holders shall be subject to testing for alcohol impairment prior to and during any racing performance in which they will be in actual physical contact with any horse/greyhound entered to race or otherwise performing duties directly affecting the running of any race.

a) Sanctions and Penalties: Blood Alcohol Content

If a permit holder whose blood alcohol content is determined by Breathalyzer to be (.05) percent by volume that individual shall be presumed to be impaired and shall be relieved of his/her duties for the balance of that racing day by the Stewards/Judges.

1. For the first occurrence of presumed impairment a minimum penalty of \$100.00 shall be imposed by the Judges.

2. For the second occurrence, within 1 year, of presumed impairment a minimum penalty of \$250.00 and 14 day suspension shall be imposed by the Judges.

3. For the third occurrence, within 2 years, of presumed impairment a minimum penalty of \$500.00 and 30 day suspension shall be imposed by the Judges. The permit holder shall also be required to enroll, attend and complete a rehabilitation program approved by the Commission.

4. For the Fourth occurrence, within 2 years, of presumed impairment a penalty of \$1000.00 and 90 day suspension shall be imposed and the matter referred to the Commission for revocation.

b) Refusal To Submit For Breath Testing:

Any permit holder who has been asked by a proper authority to submit for alcohol testing shall cooperate with testing officials. Failure or refusal to provide a breath

sample for testing shall result in a summary suspension.

Revised January 28, 2003

X.

PARI-MUTUEL WAGERING

A. GENERAL PROVISIONS

Section 1. General

Each association shall conduct wagering in accordance with applicable laws and these rules. Such wagering shall employ a pari-mutuel system approved by the Commission. The totalisator shall be tested prior to and during the meeting as required by the Commission.

Section 2. Records

The association shall maintain records of all wagering so the Commission may review such records for any contest including the opening line, subsequent odds fluctuation, the amount and at which window wagers were placed on any betting interest and such other information as may be required. Such wagering records shall be retained by each association and safeguarded for a period of time specified by the Commission. The Commission may require that certain of these records be made available to the wagering public at the completion of each contest.

The association shall provide the Commission with a list of the licensed individuals afforded access to pari-mutuel records and equipment at the wagering facility.

Section 3. Pari-Mutuel Tickets

A pari-mutuel ticket is evidence of a contribution to the pari-mutuel pool operated by the association and is evidence of the obligation of the association to pay to the holder thereof such portion of the distributable amount of the pari-mutuel pool as is represented by such valid pari-mutuel ticket. The association shall cash all valid winning tickets when presented for payment within six (6) months from the date of purchase.

1. To be deemed a valid pari-mutuel ticket, such ticket shall have been issued by a pari-mutuel ticket machine operated by the association and recorded as a ticket entitled to a share of the pari-mutuel pool, and contain imprinted information as to:
 - a) the name of the association operating the meeting.
 - b) a unique identifying number or code.
 - c) identification of the terminal at which the ticket was issued.
 - d) a designation of the performance for which the wagering transaction was

Revised January 28, 2003

issued.

- e) the contest number for which the pool is conducted.
 - f) the type or types of wagers represented.
 - g) the number or numbers representing the betting interests for which the wager is recorded.
 - h) the amount or amounts of the contributions to the pari-mutuel pool or pools for which the ticket is evidence.
2. No pari-mutuel ticket recorded or reported as previously paid, canceled or non-existent shall be deemed a valid pari-mutuel ticket by the association. The association may withhold payment and refuse to cash any pari-mutuel ticket deemed not valid, except as provided in rule D (5) of these general provisions.

Section 4. Pari-Mutuel Ticket Sales

1. Pari-mutuel tickets shall not be sold by anyone other than an association licensed to conduct pari-mutuel wagering.
2. No pari-mutuel ticket may be sold on a contest for which wagering has already been closed and no association shall be responsible for ticket sales entered into but not completed by issuance of a ticket before the totalisator is closed for wagering on such contest.
3. Claims pertaining to a mistake on an issued ticket or a mistake involving failure to issue a ticket must be made by the bettor prior to leaving the seller's window. Cancellation or exchange of tickets issued shall not be permitted after a patron has left a seller's window except in accordance with written policies established by the association and approved by the Commission.
4. Payment on winning pari-mutuel wagers shall be made on the basis of the order of finish as purposely posted and declared "official." Any subsequent change in the order of finish or award of purse money as may result from a subsequent ruling by the stewards or Commission shall in no way affect the pari-mutuel payout. If an error in the posted order of finish or payout figures is discovered, the official order of finish or payout prices may be corrected and an announcement concerning the change shall be made to the public.
5. The association shall not satisfy claims on lost, mutilated or altered pari-mutuel tickets without authorization of the Commission.
6. The association shall have no obligation to enter a wager into a betting pool if unable to do so due to equipment failure.

Revised January 28, 2003

Section 5. Advance Performance Wagering

No association shall permit wagering to begin more than one hour before scheduled post time of the first contest of a performance unless it has first obtained the authorization of the Commission.

Section 6. Claims for Payment from Pari-Mutuel Pool

At a designated location, a written, verified claim for payment from a pari-mutuel pool shall be accepted by the association in any case where the association has withheld payment or has refused to cash a pari-mutuel wager. The claim shall be made on such form as approved by the Commission, and the claimant shall make such claim under penalty of perjury. The original of such claim shall be forwarded to the Commission within 48 hours.

1. In the case of a claim made for payment of a mutilated pari-mutuel ticket which does not contain the total imprinted elements required in rule C (1) of these general provisions, the association shall make a recommendation to accompany the claim forwarded to the Commission as to whether or not the mutilated ticket has sufficient elements to be positively identified as a winning ticket.
2. In the case of a claim made for payment on a pari-mutuel wager, the Commission shall adjudicate the claim and may order payment thereon from the pari-mutuel pool or by the association, or may deny the claim or may make such other order as it may deem proper.

Section 7. Payment for Errors

If an error occurs in the payment amounts for pari-mutuel wagers which are cashed or entitled to be cashed; and as a result of such error the pari-mutuel pool involved in the error is not correctly distributed among winning ticket holders, the following shall apply:

1. Verification is required to show that the amount of the commission, the amount in breakage and the amount in payouts is equal to the total gross pool. If the amount of the pool is more than the amount used to calculate the payout, the underpayment shall be added to the corresponding pool of the next contest. If underpayments are discovered after the close of the meeting, the underpayment shall be held in an interest-bearing account approved by the Commission until being added, together with accrued interest, to the corresponding pool of the next meet.
2. Any claim not filed with the association within 30 days, inclusive of the date on which the underpayment was publicly announced, shall be deemed waived; and the association

Revised January 28, 2003

shall have no further liability therefore.

3. In the event the error results in an overpayment to winning wagers, the association shall be responsible for such payment.

Section 8. Betting Explanation

A summary explanation of pari-mutuel wagering and each type of betting pool offered shall be published in the program for every wagering performance. The rules of racing relative to each type of pari-mutuel pool offered must be prominently displayed on association grounds and available upon request through association representatives.

Section 9. Display of Betting Information

1. Approximate odds for Win pool betting shall be posted on display devices within view of the wagering public and updated at intervals of not more than 90 seconds.
2. The probable payout or amounts wagered, in total and on each betting interest, for other pools may be displayed to the wagering public at intervals and in a manner approved by the Commission.
3. Official results and payouts must be displayed upon each contest being declared official.

Section 10. Canceled Contests or Changes in Wagering Format.

If a contest is canceled or declared “no contest”, refunds shall be granted on valid wagers in accordance with these rules. In the event a wagering format in a race is altered due to late scratches, an announcement of such change shall be made over the public address system prior to the running of the race.

Section 11. Refunds

1. Notwithstanding other provisions of these rules, refunds of the entire pool shall be made on:
 - a) Win pools, Exacta pools and first-half Double pools offered in contests in which the number of betting interests has been reduced to fewer than two (2).
 - b) Place pools, Quinella pools, Trifecta pools, first-half Quinella Double pools, first-half Twin Quinella pools, first-half Twin Trifecta pools and first-half

Revised January 28, 2003

Tri-Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than three (3).

- c) Show pools, Superfecta pools and first-half Twin Superfecta pools offered in contests in which the number of betting interests has been reduced to fewer than four (4).
2. Authorized refunds shall be paid upon presentation and surrender of the affected pari-mutuel ticket.

Section 12. Coupled Entries and Mutuel Fields

- 1. Contestants coupled in wagering as a coupled entry or mutuel field shall be considered part of a single betting interest for the purpose of price calculations and distribution of pools. Should any contestant in a coupled entry or mutuel field be officially withdrawn or scratched, the remaining contestants in that coupled entry or mutuel field shall remain valid betting interests and no refunds will be granted. If all contestants within a coupled entry or mutuel field are scratched, then tickets on such betting interests shall be refunded, notwithstanding other provisions of these rules.
- 2. For the purpose of price calculations only, coupled entries and mutuel fields shall be calculated as a single finisher, using the finishing position of the leading contestant in that coupled entry or mutuel field to determine order of placing. This rule shall apply to all circumstances, including situations involving a dead heat, except as otherwise provided by these rules.

Section 13. Pools Dependent Upon Betting Interests.

Unless the Commission otherwise provides, at the time the pools are opened for greyhound wagering, the association:

- 1. May offer win, or place, wagering on all contests with two (2) or more starters.
- 2. May offer show wagering on any contest with three (3) or more starters.
- 3. May offer Quinella wagering on any contest with three (3) or more starters.
- 4. May offer Quinella Double wagering on any contests with three (3) more starters.
- 5. May offer Exacta wagering on any contest with two (2) or more starters.
- 6. May offer Trifecta wagering on any contest with three (3) or more starters.
- 7. May offer Superfecta wagering on any contest with four (4) or more starters.

Revised January 28, 2003

8. May offer Twin Quinella wagering on any contests with three (3) or more starters.
9. May offer Twin Trifecta wagering on any contests with three (3) or more starters.
10. May offer Tri-Superfecta wagering on any contests with four (4) or more starters.
11. May offer Twin Superfecta wagering on any contests with four (4) or more starters.
12. The provisions of (1) through (11) above shall apply to live greyhound racing only.

Section 14. Prior Approval Required For Betting Pools

1. An association that desires to offer new forms of wagering must apply in writing to the Commission and receive written approval prior to implementing the new betting pool.
2. The association may suspend previously-approved forms of wagering with the prior approval of the Commission. Any carryover shall be held until the suspended form of wagering is reinstated. An association may request approval of a form of wagering or separate wagering pool for specific performances.

Section 15. Closing of Wagering in a Contest

1. A Commission representative shall close wagering for each contest after which time no pari-mutuel tickets shall be sold for that contest.
2. The association shall maintain, in good order, a system approved by the Commission for closing wagering.

Section 16. Complaints Pertaining to Pari-Mutuel Operations

1. When a patron makes a complaint regarding the pari-mutuel department to an association, the association shall immediately issue a complaint report, setting out:
 - a) the name of the complainant;
 - b) the nature of the complaint;
 - c) the name of the persons, if any, against whom the complaint was made;
 - d) the date of the complaint;
 - e) the action taken or proposed to be taken, if any, by the association.
2. The association shall submit every complaint report to the Commission within 48 hours

Revised January 28, 2003

after the complaint was made.

Section 17. Licensed Employees

All licensees shall report any known irregularities or wrong doings by any person involving pari-mutuel wagering immediately to the Commission and cooperate in subsequent investigations.

Section 18. Unrestricted Access

The association shall permit the Commission unrestricted access at all times to its facilities and equipment and to all books, ledgers, accounts, documents and records of the association that relate to pari-mutuel wagering.

Section 19. Emergency Situations

In the event of an emergency in connection with the pari-mutuel department not covered in these rules, the pari-mutuel manager representing the association shall report the problem to the stewards and the association and the stewards shall render a full report to the Commission within 48 hours.

B. ACCOUNT WAGERING

Section 1. General

The association may offer a system of account wagering to its patrons whereby wagers are debited and payouts credited to a sum of money, deposited in an account by the patron, that is held by the association. The association shall notify the patron, at the time of opening the account, of any rules the association has made concerning deposits, withdrawals, average daily balance, user fees, interest payments and any other aspect of the operation of the account. The association shall notify the patron whenever the rules governing the account are changed, such notification occurring before the new rules are applied to the account and including the opportunity for the patron to close or cash-in the account. The patron shall be deemed to have accepted the rules of account operation upon opening or not closing the account. The association shall request authorization from the Commission before a system of account wagering is offered.

Revised January 28, 2003

Section 2. Account Opening

The association may offer to open for its patrons:

1. short-term accounts that are operational only for the performance during which they were opened and only at the site where they were opened, whereby wagers are placed by the account holder at a self-service terminal;
2. long-term accounts that are operational for any performance offered by the association, whereby wagers are placed by the account holder at a self-service terminal or by telephone; and
3. voucher accounts that are operational for any performance offered by the association, whereby wagers are placed by the account holder at any ticket issuing terminal operated by the association. The patron may choose to credit winning payouts in cash and may choose to close or cash-in the account at any time.

Section 3. Refusals

The association may reserve the right at any time to refuse to open an account, to accept a wager or to accept a deposit.

Section 4. Patron Information

Each short-term or long-term account holder shall provide such personal information as the association and the Commission require, including an address to which communications are to be delivered. The association shall provide, for each short-term or long-term account holder, a confidential account number and password to be used by the patron to confirm validity of every account transaction.

Section 5. Deposits

Deposits may be made in cash or by check, whereby the proceeds of the check may first need banker's clearance. Holding periods will be determined by the association and advised to the account holder. A receipt for the deposit must be issued to the account holder, but does not need to reflect the current account balance.

Section 6. Sufficient Account Balance

Revised January 28, 2003

Each account holder shall be deemed to be aware of the status of that account at all times. Wagers will not be accepted which would exceed the available balance of that account. Any account not updated when a transaction is completed shall be inoperable until the transaction is posted and the account balance updated.

Section 7. Account Credits

When an account is entitled to a payout or refund, said moneys will be credited to the respective accounts, thus increasing the credit balance. It is the responsibility of the account holder to verify proper credits and, if in doubt, notify the association within the agreed upon time-frame for consideration. Unresolved disputes may be forwarded to the Commission by the association or the account holder. No claim will be considered by the Commission unless submitted in writing and accompanied by supporting evidence.

Section 8. Account Operation

1. The association must maintain complete records of every deposit, withdrawal, wager and winning payout for each short- and long-term account. Voucher accounts shall be recorded in a manner similar to a ticket. These records shall be made available to the Commission upon request.
2. For wagers made for an account by telephone, the association shall make a voice recording of the entire transaction and shall not accept any such wager if the voice recording system is inoperable.
3. Any account wagering system must provide for the account holder's review and finalization of a wager before it is accepted by the association. Neither the account holder nor the association shall change a wager after the account holder has reviewed and finalized the wager. In the case of a wager made by telephone, the voice recording of the transaction shall be deemed to be the actual wager, regardless of what was recorded by the pari-mutuel system.

Section 9. Account Closure

The association may close any account when the holder thereof attempts to operate with an insufficient balance or when the account is dormant for a period approved by the Commission. In either case, the association shall refund the remaining balance of the account.

C. SIMULCAST WAGERING

Section 1. General.

1. The Commission may appoint at least one designee to supervise all approved simulcast facilities and may require additional designees as is reasonably necessary for the protection of the public interest.
2. Unless otherwise permitted by the Commission, every simulcast will contain in its video content a digital display of actual time of day, the name of the host facility from where it emanates, the number of the contest being displayed, and any other relevant information available to patrons at the host facility.
3. All contracts governing participation in interjurisdiction common pools shall be submitted to the Commission for approval.
4. Individual wagering transactions are made at the point of sale in the state where placed. Pari-mutuel pools are combined for computing odds and calculating payouts but will be held separate for auditing and all other purposes.
5. Any surcharges or withholdings in addition to the takeout shall only be applied in the jurisdiction otherwise imposing such surcharges or withholdings.
6. In determining whether to approve an interjurisdiction common pool which does not include the host track or which includes contests from more than one association, the Commission shall consider and may approve use of a bet type which is not utilized at the host track, application of a takeout rate not in effect at the host track, or other factors which are presented to the Commission.
7. The content and format of the visual display of racing and wagering information at facilities in other jurisdictions where wagering is permitted in the interjurisdiction common pool need not be identical to the similar information permitted or required to be displayed under these rules.

Section 2. Duties of a Simulcast Host.

1. Every host association simulcasting its performance, if requested, may contract with an authorized receiver for the purpose of providing authorized users its simulcast.
2. A host association is responsible for content of the simulcast and shall use all reasonable effort to present a simulcast which offers the viewers an exemplary depiction of each performance.
3. The host association shall maintain such security controls including encryption over its

Revised January 28, 2003

uplink and communications systems as directed or approved by the Commission.

4. The host track shall ensure that the primary means of data transmission is through a leased dedicated data line. There shall be back-up data lines in the event of primary line failure. Back-up lines may be dial-up data lines.
5. An early closing of wagering shall be imposed on a betting site that is continuously unable to transmit wagering data to the host in a timely manner. The host mutuels manager shall be responsible for enforcing the provisions of this subsection.
6. The cycle of the change of odds, and the display of such, shall not be more than 90 seconds. An explanation shall be provided in the official program of the host and posted prominently on each level of the host facility indicating that final odds may be delayed due to the accumulation and transfer of intertrack or interstate wagering data.
7. For common pool wagering there shall be a facsimile machine located in each mutuels area or totalizator room and a direct dial telephone line to be located in the totalizator room. Phone access to the totalizator room.
8. Scratched horses and greyhounds, or other program changes must be communicated via facsimile machine by the host mutuels manager to all guest mutuel departments except when the operating totalisator protocol performs these functions automatically.
9. Except for completing the manual merge process and totalisator emergencies relating to the exchange provisions of certain wagers, hosts shall not delay post times for purposes of accumulating additional wagers from guests. Post times displayed at host locations and guest locations for a contest shall be the same.

Section 3. Duties of Simulcast Guests.

An authorized receiver conducts and operates a pari-mutuel wagering system on the results of contests being held or conducted and simulcast from the enclosures of one or more host associations and with the approval of the Commission. The guest, hub or remote totalizator server shall be responsible for the timely transmission of wagering data to the host for the purpose of combining pools.

There shall be television monitors in each guest totalizator room to provide totalizator employees a view of all starters in each host contest being wagered on.

As a condition for commingling into the pools of the Birmingham Race Course, any guest must have available for use during such commingling; direct dial line telephones; facsimile machines and telephone modems.

An authorized receiver shall provide:

1. Adequate transmitting and receiving equipment of acceptable broadcast quality, which

Revised January 28, 2003

shall not interfere with the closed circuit TV system of the host association for providing any host facility patron information.

2. pari-mutuel terminals, pari-mutuel odds displays, modems and switching units enabling pari-mutuel data transmissions, and data communications between the host and guest associations.
3. The guest association and all authorized receivers shall conduct pari-mutuel wagering pursuant to the applicable Commission rules.
4. Not less than 30 minutes prior to the commencement of transmission of the performance of pari-mutuel contests for each day or night, the guest association shall initiate a test program of its transmitter, encryption and decoding, and data communication to assure proper operation of the system.
5. The guest association shall, in conjunction with the host association or associations for which it operates pari-mutuel wagering, provide the Commission with a certified report of its pari-mutuel operations as directed by the Commission.
6. Every authorized receiver shall file with the Commission an annual report of its simulcast operations and an audited financial statement.

Section 4. Hub Systems Requirements.

1. Each hub shall have leased dedicated data lines to communicate with each track linked to the system. In addition the following equipment shall be in place and serve as back up in case of emergencies:
 - a) direct dial line;
 - b) facsimile machine;
 - c) back up lines; and
 - d) telephone modem.
2. All equipment shall be in place and in working order prior to commencement of wagering activity.
3. The hub site manager, in coordination with each guest site and its related host, shall test the system and communication equipment. Whenever a new guest is added, a manual merge drill will be conducted with the new guest. The hub manager shall ensure that all guest sites are familiar with manual merge procedures. The system test shall occur not earlier than 10 days and not later than 6 hours before the following events take place:
 - a) the first performance of the host wagering period;
 - b) implementation of changes in computer systems affecting data transmission;

Revised January 28, 2003

- c) implementation of changes in data communication;
 - d) the Commission shall be notified of emergency changes within 48 hours of the change.
 - e) known programming errors affecting pari-mutuel accounting, including the content of accounting reports, shall be corrected prior to commencement of the intertrack wagering activity.
4. The authority of each permitholder's mutuels manager is limited only to the mutuels activity conducted by his employer and does not extend to transactions and activities conducted by any other permitholder who may be using a common totalisator or hub system.
- a) the hub managers shall receive instructions on the status of wagers only from the host mutuels manager.
 - b) each guest mutuels manager (or designee) shall monitor the wagering activity at his/her facility and promptly communicate any problems to the host mutuels manager for a decision. Where computer problems are being experienced, both the hub manager and the host mutuels manager shall be promptly informed.
 - c) with respect to wagers with exchange provisions, if computer problems exist at any guest facility, the guest mutuels manager shall promptly notify the track mutuels manager and the Hub Manager, and request additional time for the exchange process.
5. The hub system shall be programmed to communicate with the host totalisator and must be able to receive and relay commands from the host to start and stop betting periods.
6. The guest totalisator personnel shall monitor the broadcasts and ensure that the stop bet command functions are applied. For this purpose, the guest totalisator company shall have in place and in working condition one video display monitor for each pari-mutuel facility who transmits stop bet commands through the hub site. The hub totalisator room shall be properly staffed for each operating shift. In the event the hub system fails to receive or relay the stop bet command, the following procedures shall apply:
- a) where the hub receives wagering data from the guest tracks' totalisators, the mutuels manager of each guest track shall apply the stop bet command not later than the start of the contest. Corrective action will begin immediately and a report shall be submitted to the commission auditor at the host track within 48 hours of the failure.
 - b) where the hub receives wagering data from the guest tracks through terminals linked to the system, the hub site manager shall apply the stop bet command when requested to do so by the guest site.

Revised January 28, 2003

7. Any wager accepted by any guest track after the contest has started shall be disallowed and the bettor will not receive any winnings related to the disallowed wager.
8. In the event of a hub system error or malfunction, the hub manager shall submit to the affected commission auditor a written report within 48 hours of the occurrence explaining the circumstances. The report shall include:
 - a) cause of error or malfunction;
 - b) corrective measures taken;
 - c) duration of down time; and
 - d) amount of lost wagers.
9. Where the hub site is at a pari-mutuel facility which is conducting wagering on live contests and the totalisator has the dual roles of hub system and totalisator for the track, or is a totalisator system serving remote pari-mutuel facilities which are conducting live contests, all wagering data not related to the live contests being conducted at the pari-mutuel facility or facilities shall be kept separate and the wagering data for each pari-mutuel facility conducting live events shall be kept logically separate from one another as if the system for each guest was located at the track.
10. Under no circumstances will the ability of the computers to record, classify and accumulate wagering data, perform calculations or produce the required reports for the transactions related to the live contests be compromised.
11. The hub system shall provide the following end of performance or end of day pari-mutuel accounting reports. All reports shall clearly identify the host and, where applicable, guest.
 - a) terminal Activity or Machine Sales Report. The window numbers on this report shall be grouped by guest track. If this report cannot be issued separately for each host, recaps for each host performance or day are acceptable provided that detailed data can be provided upon request.
 - b) future bets report (by host).
 - c) tax withholding report (by guest and host).
 - d) outs account balance report (by guest and host).
 - e) list of tickets cancelled via totalisator operator intervention (for each guest identifiable by host).
 - f) list of tickets cashed via key board entries (for each guest identifiable by host).
 - g) list of tickets cancelled via teller key board entries (by guest). This report will be printed only upon request.
 - h) a log of tickets purchased by the totalisator licensee (for each site, identifiable

Revised January 28, 2003

by host and guest).

- i) outstanding Vouchers Report (by guest and host). This report will be printed only upon request.
- j) a liability report for each guest whose terminals are linked to the hub. The report shall identify the guest and host and shall contain the date, the generation time, and the guest's share of each gross pool and related refunds, commissions, winnings, and breaks. In the event that the guest is maintaining separate intertrack wagering pools there shall be separate liability summaries for both the commingled and non-commingled pools. Copies of these reports shall be provided to the commission auditor at the hub, host and guest facilities.
- k) where the terminal/window activity report includes cross cashing of pari-mutuel tickets, the system shall print a supplementary report summarizing the cashing activity. The supplementary report must provide the amount paid for tickets issued by each pari-mutuel facility by cashing site and issuing site and totals shall be segregated by meet if previous meets outs are included. The reporting of pari-mutuel tickets and credit vouchers shall not be commingled.

Section 5. Emergency Situations.

1. In the event that the signal from the host association cannot be established ten minutes prior to the scheduled post time for the first race of the program, wagers shall not be accepted until the signal is established to the satisfaction of the Commission designee.
2. In the event that the signal from the host association is lost or interrupted, wagers may continue to be placed the remainder of that wagering program so long as the odds are being continuously displayed at the guest site. The video replay and call of the races run during the period when the signal was lost or interrupted, shall be shown to the public as soon as it becomes available.
3. If the video signal cannot be re-established ten minutes prior to the commencement of the next wagering program, wagering shall not be permitted at the guest site until such time that the signal is re-established to the satisfaction of the commission representative.
4. In the event information regarding the races from a host track is printed incorrectly in the program available at the guest facility the commission representative may order the cancellation of wagering until such time that the correct wagering information is made available to the public without charge.
5. In the event the host totalisator system fails, all wagering at the host site and all transmission of data from guest totalisators shall cease until the host system is fully

Revised January 28, 2003

operational.

6. If the host system becomes fully operational before the start of the contest and wagering data is available, both the wagering and the transmission of data from guests shall resume until the stop bet command is issued by the host.
7. If the host system is not fully operational 2 minutes before the start of the contest, the host mutuel manager may order a refund of all wagers at all guest sites on the affected contests.
8. In the event the guest site totalisator system fails, all money wagered on the contest that was entered into the host track's pools prior to the time of failure shall remain in the host track's pools. All wagering shall cease at the guest site and may not resume until the guest totalizator system is fully operational.
9. During the systems or communications malfunctions, the host mutuels and totalisator managers may decide to manually merge the wagering data, provided that such an attempt does not jeopardize the integrity of the host's pools. The manual merge procedures described herein shall apply to both intertrack and interstate wagering.
10. A manual merge of wagering data shall be supported with both a printed table of wagers and a report providing all data necessary to facilitate the manual merge for each pool prepared by the guest or hub totalisator operator. The manual merge report shall be signed by the affected totalizator manager and the mutuels manager. This report shall be sent to the host at the request of the host mutuel manager regardless of whether there is a continued effort to transmit the pools electronically.
11. Immediately subsequent to a manual merge, the host totalisator shall print a table of wagers for the affected pool(s).
12. Subsequent to the event, the host mutuel manager and totalizator representative, and the mutuel manager and totalizator representative of each affected guest shall prepare and submit to the commission auditor a report indicating why the transfer of data could not be completed electronically and that the pools were merged manually. For interstate wagering, the host mutuels manager shall require this report as support documentation of the merging of the pools. Each report shall include a copy of the table of wagers prior to the merge. Additionally, the host report shall include:
 - a) a copy of the table of wagers printed subsequent to the manual merger;
 - b) a brief statement as to where the failure occurred and for what time period prices were delayed;
 - c) a worksheet signed by the host totalizator representative, the host mutuel manager, and the host commission auditor showing total dollars bet in each pool and the final prices;
13. If the host mutuels manager determines that a guest has not transmitted its pools to the

Revised January 28, 2003

host in a timely manner or if a manual merge jeopardizes the integrity of the host's pools, significantly delays the host program, or a manual merge is attempted and failed, the guest site may be excluded from the wagering pools.

14. If the guest is an Alabama permitholder and it is not possible to successfully merge the wagers into the common pool and the out of state host track does not retain any portion of a specific pool, the pool of the Alabama permitholder may be treated as a separate pool. All Alabama guest wagers shall be combined and treated as a separate pool for pay out purposes in accordance with the following options:
 - a) Pay host track prices for pools where odds or will-pays have been displayed;
or
 - b) refund all of the pools in their entirety;
15. All Alabama guests must agree on the same option prior to January 1st of each calendar year. Each Alabama guest must disclose in the official racing program or post prominently on each level of the facility which option listed in paragraph 13 that is elected and an announcement shall be made whenever the implementation of the policy is triggered.
16. If the Alabama permitholder is a guest, and it is not possible or permitted to successfully merge the wagers into the common pool and the out of state host track retains a portion of a specific pool, the Alabama permitholder shall pay the out of state host track prices. Where there is a primary guest and secondary guest tracks, all the wagers shall be combined.
17. Each track shall publicly display or explain in the racing program the status of the wagering pools in the event of wagering data transmission failure, video transmission failure and audio transmission failure. In the event a system or communications malfunction occurs, an announcement shall be made through the public address system prior to the running of the race explaining the circumstances to the betting public.

Revised January 28, 2003

D. INTERJURISDICTION COMMON POOL WAGERING

Section 1. General

1. All contracts governing participation in interjurisdiction common pools shall be submitted to the Commission for approval.
2. Individual wagering transactions are made at the point of sale in the jurisdiction where placed. Pari-mutuel pools are combined for computing odds and calculating payouts but will be held separate for auditing and all other purposes.
3. Any surcharges or withholdings in addition to the takeout shall only be applied in the jurisdiction otherwise imposing such surcharges or withholdings.
4. In determining whether to approve an interjurisdiction common pool which does not include the host track or which includes contests from more than one association, the Commission shall consider and may approve use of a bet type which is not utilized at the host track, application of a takeout rate not in effect at the host track or other factors which are presented to the Commission.
5. The content and format of the visual display of racing and wagering information at facilities in other jurisdictions where wagering is permitted in the interjurisdiction common pool need not be identical to the similar information permitted or required to be displayed under these rules.

Section 2. Guest Jurisdiction Participation in Interjurisdiction Common Pools

1. With the prior approval of the Commission, pari-mutuel wagering pools may be combined with corresponding wagering pools in the host jurisdiction or with corresponding pools established by one or more other jurisdictions.
2. The Commission may permit adjustment of the takeout from the pari-mutuel pool so that the takeout rate in this jurisdiction is identical to that at the host track or identical to that of other jurisdictions participating in a merged pool.
3. Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.
4. Rules established in the jurisdiction of the host association designated for a pari-mutuel pool shall apply.
5. The commission shall approve agreements made between the association and other participants in interjurisdiction common pools governing the distribution of breakage

Revised January 28, 2003

between the jurisdictions.

6. If, for any reason, it becomes impossible to successfully merge the bets placed into the interjurisdiction common pool, the association shall make payouts in accordance with payout prices that would have been in effect if prices for the pool of bets were calculated without regard to wagers placed elsewhere; except that, with permission of the Commission, the association may alternatively determine to either pay winning tickets at the payout prices at the host track or declare such accepted bets void and make refunds in accordance with the applicable rules.

Section 3. Host Jurisdiction Participation in Merged Pools

1. With the prior approval of the Commission, an association licensed to conduct pari-mutuel wagering may determine that one or more of its contests be utilized for pari-mutuel wagering at guest facilities in other jurisdictions, and may also determine that pari-mutuel pools in guest states be combined with corresponding wagering pools established by it as the host track or comparable wagering pools established by two or more states.
2. Where takeout rates in the merged pool are not identical, the net price calculation shall be the method by which the differing takeout rates are applied.
3. Rules of racing established for races held in this jurisdiction shall also apply to interjurisdiction common pools unless the Commission shall have specifically otherwise determined.
4. The Commission shall approve agreements made between the association and other participants in interjurisdiction common pools governing the distribution of breakage between the jurisdictions.
5. Any contract for interjurisdiction common pools entered into by the association shall contain a provision to the effect that if, for any reason, it becomes impossible to successfully merge the bets placed in another jurisdiction into the interjurisdiction common pool formed by the association, or if, for any reason, the Commission's or the association's representative determines that attempting to effect transfer of pool data from the guest jurisdiction may endanger the association's wagering pool, the association shall have no liability for any measures taken which may result in the guest's wagers not being accepted into the pool.

Revised January 28, 2003

Section 4. Takeout Rates in Interjurisdiction Common Pools

1. With the prior approval of the Commission, an association wishing to participate in an interjurisdiction common pool may change its takeout rate (within the limits permitted by law) so as to achieve a common takeout rate with all other participants in the interjurisdiction common pool.
2. An association wishing to participate in an interjurisdiction common pool may request that the Commission approve a methodology whereby host and guest states with different takeout rates for corresponding pari-mutuel pools may effectively and equitably combine wagers from the different states into an interjurisdiction common pool.

Revised January 28, 2003

E. CALCULATION OF PAYOUTS AND DISTRIBUTION OF POOLS

Section 1. General

1. All permitted pari-mutuel wagering pools shall be separately and independently calculated and distributed. Takeout shall be deducted from each gross pool as stipulated by law. The remainder of the moneys in the pool shall constitute the net pool for distribution as payout on winning wagers.
2. Either the standard or net price calculation procedure may be used to calculate single commission pools, while the net price calculation procedure must be used to calculate multi-commission pools.

a. Standard Price Calculation Procedure

SINGLE PRICE POOL (WIN POOL)

Gross Pool	=	Sum of Wagers on all Betting Interests - Refunds
Takeout	=	Gross Pool x Percent Takeout
Net Pool	=	Gross Pool - Takeout
Profit	=	Net Pool - Gross Amount Bet on Winner
Profit Per Dollar	=	Profit / Gross Amount Bet on Winner
\$1 Unbroken Price	=	Profit Per Dollar + \$1
\$1 Broken Price	=	\$1 Unbroken Price Rounded Down to the Break Point
Total Payout	=	\$1 Broken Price x Gross Amount Bet on Winner
Total Breakage	=	Net Pool - Total Payout

PROFIT SPLIT (PLACE POOL)

Profit is net pool less gross amount bet on all place finishers. Finishers split profit one-half (1/2) and one-half (1/2) (place profit), then divide by gross amount bet on each place finisher for two unique prices.

Revised January 28, 2003

PROFIT SPLIT (SHOW POOL)

Profit is net pool less gross amount bet on all show finishers. Finishers split profit one-third (1/3) and one-third (1/3) and one-third (1/3) (show profit), then divide by gross amount bet on each show finisher for three unique prices.

b. Net Price Calculation Procedure

SINGLE PRICE POOL (WIN POOL)

Gross Pool	=	Sum of Wagers on all Betting Interests - Refunds
Takeout	=	Gross Pool x Percent Takeout * for Each Source:
Net Pool	=	Gross Pool - Takeout
Net Bet on Winner	=	Gross Amount Bet on Winner x (1 - Percent Takeout)
Total Net Pool	=	Sum of All Sources Net pools
Total Net Bet on Winner	=	Sum of All Sources Net Bet on Winner
Total Profit	=	Total Net Pool - Total Net Bet on Winner
Profit Per Dollar	=	Total Profit / Total Net Bet on Winner
\$1 Unbroken Base Price	=	Profit Per Dollar + \$1 * for each source:
\$1 unbroken price	=	\$1 Unbroken Base Price x (1 - Percent Takeout)
\$1 Broken Price	=	\$1 Unbroken Price Rounded Down to the Break Point
Total Payout	=	\$1 Broken Price x Gross Amount Bet on Winner
Total Breakage	=	Net Pool - Total Payout

PROFIT SPLIT(PLACE POOL)

Total profit is the total net pool less the total net amount bet on all place finishers. Finishers split total profit one-half (1/2) and one-half (1/2) (place profit), then divide by total net amount bet on each place finisher for two unique unbroken base prices.

Revised January 28, 2003

PROFIT SPLIT (SHOW POOL)

Total profit is the total net pool less the total net amount bet on all show finishers. Finishers split total profit one-third (1/3) and one-third (1/3) and one-third one-third (1/3) (show profit), then divide by total net amount bet on each show finisher for three unique unbroken base prices.

1. If a profit split results in only one covered winning betting interest or combinations it shall be calculated the same as a single price pool.
2. Minimum payout and the method used for calculating breakage shall be established by the Commission.
3. The individual pools outlined in these rules may be given alternative names by each association, provided prior approval is obtained from the Commission.

Section 2. Win Pools

1. The amount wagered on the betting interest which finishes first is deducted from the net pool, the balance remaining being the profit; the profit is divided by the amount wagered on the betting interest finishing first, such quotient being the profit per dollar wagered to Win on that betting interest.
2. The net Win pool shall be distributed as a single price pool to winning wagers in the following precedence, based upon the official order of finish:
 - a) To those whose selection finished first; but if there are no such wagers, then
 - b) To those whose selection finished second; but if there are no such wagers, then
 - c) To those whose selection finished third; but if there are no such wagers, then
 - d) The entire pool shall be refunded on Win wagers for that contest.
3. If there is a dead heat for first involving:
 - a) contestants representing the same betting interest, the Win pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Win pool shall be distributed as a profit split.

Revised January 28, 2003

Table 1: WIN POOL

(Standard Price Calculation)

Sum of Wagers on All Betting Interests = \$194,230.00

Refunds = \$1,317.00

Gross Pool:

Sum of Wagers on All Betting Interests - Refunds = \$192,913.00

Percent Takeout = 18%

Takeout:

Gross Pool x Percent Takeout = \$34,724.34

Net Pool:

Gross Pool - Takeout = \$158,188.66

Gross Amount Bet on Winner = \$23,872.00

Profit:

Net Pool - Gross Amount Bet on Winner = \$134,316.66

Profit Per Dollar:

Profit / Gross Amount Bet on Winner = \$5.6265357

\$1 Unbroken Price:

Profit Per Dollar + \$1 = \$6.6265357

Revised January 28, 2003

Section 3. Place Pools

1. The amounts wagered to Place on the first two betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into two equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Place on that betting interest, the resulting quotient is the profit per dollar wagered to Place on that betting interest.
2. The net Place pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) If contestants of a coupled entry or mutuel field finished in the first two places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise
 - b) As a profit split to those whose selection is included within the first two finishers; but if there are no such wagers on one of those two finishers, then
 - c) As a single price pool to those who selected the one covered betting interest included within the first two finishers; but if there are no such wagers, then
 - d) As a single price pool to those who selected the third-place finisher; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Place wagers for that contest.
3. If there is a dead heat for first involving:
 - a) contestants representing the same betting interest, the Place pool shall be distributed as a single price pool.
 - b) contestants representing two or more betting interests, the Place pool shall be distributed as a profit split.
4. If there is a dead heat for second involving:
 - a) contestants representing the same betting interest, the Place pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Place pool is divided with one-half (1/2) of the profit distributed to Place wagers on the betting interest finishing first and the remainder is distributed equally amongst Place wagers on those betting interests involved in the dead heat for second.

Revised January 28, 2003

Table 2: PLACE POOL

(Standard Price Calculation)

Sum of Wagers on All Betting Interests = \$194,230.00

Refunds = \$1,317.00

Gross Pool:

Sum of Wagers on All Betting Interests - Refunds = \$192,913.00

Percent Takeout = 18%

Takeout:

Gross Pool x Percent Takeout = \$34,724.34

Net Pool:

Gross Pool - Takeout = 158,188.66

Gross Amount Bet on 1st place finisher = \$23,872.00

Gross amount Bet on 2nd place finisher = \$12,500.00

Profit:

Net Pool - Gross Amount Bet on 1st place finisher

Gross Amount Bet on 2nd place finisher = \$121,816.66

Place Profit:

Profit / 2 = \$60,908.33

Revised January 28, 2003

Profit Per Dollar for 1st place:

Place Profit / Gross Amount Bet on 1st place finisher = \$2.5514548

\$1 Unbroken Price for 1st place:

Profit Per Dollar for 1st place + \$1 = \$3.5514548

Profit Per Dollar for 2nd place:

Place Profit / Gross Amount Bet on 2nd place finisher = \$4.8726664

\$1 Unbroken Price for 2nd place:

Profit Per Dollar for 2nd place + \$1 = \$5.8726664

Revised January 28, 2003

Section 4. Show Pools

1. The amounts wagered to Show on the first three betting interests to finish are deducted from the net pool, the balance remaining being the profit; the profit is divided into three equal portions, one being assigned to each winning betting interest and divided by the amount wagered to Show on that betting interest, the resulting quotient being the profit per dollar wagered to Show on that betting interest. The net Show pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) If contestants of a coupled entry or mutuel field finished in the first three places, as a single price pool to those who selected the coupled entry or mutuel field; otherwise
 - b) If contestants of a coupled entry or mutuel field finished as two of the first three finishers, the profit is divided with two-thirds ($2/3$) distributed to those who selected the coupled entry or mutuel field and one-third ($1/3$) distributed to those who selected the other betting interest included within the first three finishers; otherwise
 - c) As a profit split to those whose selection is included within the first three finishers; but if there are no such wagers on one of those three finishers, then
 - d) As a profit split to those who selected one of the two covered betting interests included within the first three finishers; but if there are no such wagers on two of those three finishers, then
 - e) As a single price pool to those who selected the one covered betting interest included within the first three finishers; but if there are no such wagers, then
 - f) As a single price pool to those who selected the fourth-place finisher; but if there are no such wagers, then
 - g) The entire pool shall be refunded on Show wagers for that contest.
2. If there is a dead heat for first involving:
 - a) two contestants representing the same betting interest, the profit is divided with two-thirds ($2/3$) distributed to those who selected the first-place finishers and one-third ($1/3$) distributed to those who selected the betting interest finishing third.
 - b) three contestants representing a single betting interest, the Show pool shall be distributed as a single price pool.
 - c) contestants representing two or more betting interests, the Show pool shall be distributed as a profit split.

Revised January 28, 2003

3. If there is a dead heat for second involving:
 - a) contestants representing the same betting interest, the profit is divided with one-third ($1/3$) distributed to those who selected the betting interest finishing first and two-thirds ($2/3$) distributed to those who selected the second-place finishers.
 - b) contestants representing two betting interests, the Show pool shall be distributed as a profit split.
 - c) contestants representing three betting interests, the Show pool is divided with one-third ($1/3$) of the profit distributed to Show wagers on the betting interest finishing first and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for second.

4. If there is a dead heat for third involving:
 - a) contestants representing the same betting interest, the Show pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Show pool is divided with two-thirds ($2/3$) of the profit distributed to Show wagers on the betting interests finishing first and second and the remainder is distributed equally amongst Show wagers on those betting interests involved in the dead heat for third.

Revised January 28, 2003

Table 3: SHOW POOL

(Standard Price Calculation)

Sum of Wagers on All Betting Interests = \$194,230.00

Refunds = \$1,317.00

Gross Pool:

Sum of Wagers on All Betting Interests - Refunds = \$192,913.00

Percent Takeout = 18%

Takeout:

Gross Pool x Percent Takeout = \$34,724.34

Net Pool:

Gross Pool - Takeout = \$158,188.66

Gross Amount Bet on 1st place finisher = \$23,872.00

Gross Amount Bet on 2nd place finisher = \$12,500.00

Gross Amount Bet on 3rd place finisher = \$4,408.00

Profit:

Net Pool - Gross Amount Bet on 1st place finisher

Gross Amount Bet on 2nd place finisher

Gross Amount Bet on 3rd place finisher = \$117,408.66

Show Profit:

Revised January 28, 2003

Profit / 3 = \$39,136.22

Profit Per Dollar for 1st place:

Show Profit / Gross Amount Bet on 1st place finisher = \$1.6394194

\$1 Unbroken Price for 1st place:

Profit Per Dollar for 1st place + \$1 = \$2.6394194

Profit Per Dollar for 2nd place:

Show Profit / Gross Amount Bet on 2nd place finisher = \$3.1308976

\$1 Unbroken Price for 2nd place:

Profit Per Dollar for 2nd place + \$1 = \$4.1308976

Profit Per Dollar for 3rd place:

Show Profit / Gross Amount Bet on 3rd place finisher = \$8.8784528

\$1 Unbroken Price for 3rd place

Profit Per Dollar for 3rd place + \$1 = 9.8784528

Revised January 28, 2003

Table 4: SHOW POOL

Single Takeout Rate & Single Betting Source

(Net Price Calculation)

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of Wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Takeout:	
Gross Pool x Percent Takeout =	\$34,724.34
Total Net Pool:	
Gross Pool - Takeout =	\$158,188.66
Gross Amount Bet on 1 st place finisher =	\$23,872.00
Net Amount Bet on 1 st place finisher =	\$19,575.04
Gross Amount Bet on 2 nd place finisher =	\$12,500.00
Net Amount Bet on 2 nd place finisher =	\$10,250.00
Gross Amount Bet on 3 rd place finisher =	\$4,408.00
Net Amount Bet on 3 rd place finisher =	\$3,614.56
Total Net Bet on Winners:	
Net Amount Bet on 1 st place finisher + Net Amount Bet on 2 nd place finisher + Net Amount Bet on 3 rd place finisher =	\$33,439.60
Total Profit:	
Total Net Pool - Total Net Bet on Winners =	\$124,749.06
Show Profit:	
Total Profit / 3 =	\$41,583.02
Profit Per Dollar for 1 st place:	
Show Profit / Net Amount Bet on 1 st place finisher =	\$2.1242879

Revised January 28, 2003

\$1 Unbroken Base Price for 1 st place:	
Profit Per Dollar for 1 st place + \$1 =	\$3.1242879
\$1 Unbroken Price for 1 st place:	
\$1 Unbroken Base Price for 1 st	
place x (1 - percent takeout) =	\$2.5619161
Profit Per Dollar for 2 nd place:	
Show Profit / Net Amount Bet on 2 nd place finisher =	\$4.0568800
\$1 Unbroken Base Price for 2 nd place:	
Profit Per Dollar for 2 nd place + \$1 =	\$5.0568800
\$1 Unbroken Price for 2 nd place:	
\$1 Unbroken Base Price for 2 nd	
place x (1 - percent takeout) =	\$4.1466416
Profit Per Dollar for 3 rd place:	
Show Profit / Net Amount Bet on 3 rd place finisher =	\$11.504310
\$1 Unbroken Base Price for 3 rd place:	
Profit Per Dollar for 3 rd place + \$1 =	\$12.504310
\$1 Unbroken Price for 3 rd place:	
\$1 Unbroken Base Price for 3 rd	
place x (1 - percent takeout) =	\$10.253534

Revised January 28, 2003

Section 5. Double Pools

1. The Double requires selection of the first-place finisher in each of two specified contests.
2. The net Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) As a single price pool to those whose selection finished first in each of the two contests; but if there are no such wagers, then
 - b) As a profit split to those who selected the first-place finisher in either of the two contests; but if there are no such wagers, then
 - c) As a single price pool to those who selected the one covered first-place finisher in either contest; but if there are no such wagers, then
 - d) As a single price pool to those whose selection finished second in each of the two contests; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Double wagers for those contests.
3. If there is a dead heat for first in either of the two contests involving:
 - a) Contestants representing the same betting interest, the Double pool shall be distributed as if no dead heat occurred.
 - b) Contestants representing two or more betting interests, the Double pool shall be distributed as a profit split if there is more than one covered winning combination.
4. Should a betting interest in the first-half of the Double be scratched prior to the first Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.
5. Should a betting interest in the second-half of the Double be scratched prior to the close of wagering on the first Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Double pool and refunded.
6. Should a betting interest in the second-half of the Double be scratched after the close of wagering on the first Double contest, all wagers combining the winner of the first contest with the scratched betting interest in the second contest shall be allocated a consolation payout. In calculating the consolation payout the net Double pool shall be divided by the total amount wagered on the winner of the first contest and an unbroken consolation price obtained. The broken consolation price is multiplied by the dollar value of wagers on the winner of the first contest combined with the scratched betting interest to obtain the consolation payout. Breakage is not declared in this calculation. The consolation payout is deducted from the net Double pool before calculation and distribution of the winning Double payout. Dead heats including separate betting interests in the first contest shall result in a consolation payout calculated as a profit split.

Revised January 28, 2003

7. If either of the Double contests are canceled prior to the first Double contest or the first Double contest is declared “no contest” the entire Double pool shall be refunded on Double wagers for those contests.
8. If the second Double contest is canceled or declared “no contest” after the conclusion of the first Double contest, the net Double pool shall be distributed as a single price pool to wagers selecting the winner of the first Double contest. In the event of a dead heat involving separate betting interests, the net Double pool shall be distributed as a profit split.

Revised January 28, 2003

Table 5: DOUBLE POOL

(Standard Price Calculation)

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool:	
Sum of Wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Takeout:	
Gross Pool x Percent Takeout =	\$34,724.34
Net Pool:	
Gross Pool - Takeout =	\$158,188.66
Gross Amount Bet on Winning Combination =	\$23,872.00
Profit:	
Net Pool - Gross Amount Bet on	
Winning Combination =	\$134,316.66
Profit Per Dollar:	
Profit / Gross Amount Bet on Winning Combination =	\$5.6265357
\$1 Unbroken Price:	
Profit Per Dollar + \$1 =	\$6.6265357

Revised January 28, 2003

**Table 6: DOUBLE POOL
CONSOLATION PRICING**

Sum of Wagers on All Betting Interests =	\$194,230.00
Refunds =	\$1,317.00
Gross Pool: Sum of Wagers on All Betting Interests - Refunds =	\$192,913.00
Percent Takeout =	18%
Takeout: Gross Pool x Percent Takeout =	\$34,724.34
Net Pool: Gross Pool - Takeout =	\$158,188.66
Consolation Pool: Sum Total Amount Bet on winner of the first contest with all second contest betting interests =	\$43,321.00
\$1 Consolation Unbroken Consolation Price: Net Pool / Consolation Pool =	\$3.6515468
\$1 Consolation Broken Price =	\$3.65
Amount Bet on winner of the first contest with scratched betting interests:	\$1,234.00
Consolation Liability: \$1 Consolation Broken Price x (Amount Bet on the winner of the first contest with scratched betting interests) =	\$4,504.10

Revised January 28, 2003

Adjusted Net Pool:

Net Pool - Consolation Liability = \$153,684.56

Gross Amount Bet on the Winning Combination = \$23,872.00

Profit:

Adjusted Net Pool - Gross Amount Bet
on the Winning Combination = \$129,812.56

Profit Per Dollar:

Profit / Gross Amount Bet on
the Winning Combination = \$5.4378586

\$1 Unbroken Price: Profit Per Dollar + \$1 = \$6.4378586

Revised January 28, 2003

Section 6. Win Three Pools

1. The Win Three requires selection of the first-place finisher in each of three specified contests.
2. The net Win Three pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) As a single price pool to those whose selection finished first in each of the three contests; but if there are no such wagers, then
 - b) As a single price pool to those who selected the first-place finisher in any two of the three contests; but if there are no such wagers, then
 - c) As a single price pool to those who selected the first-place finisher in any one of the three contests; but if there are no such wagers, then
 - d) The entire pool shall be refunded on Win Three wagers for those contests.
3. If there is a dead heat for first in any of the three contests involving:
 - a) contestants representing the same betting interest, the Win Three pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Win Three pool shall be distributed as follows:
 1. as a profit split to those whose selections finished first in each of the three contests; but if there are no such wagers, then
 2. as a single price pool to those who selected the first place finisher in any two of the three contests; but if there are no such wagers, then
 3. as a single price pool to those who selected the first place finisher in any one of the three contests; but if there are no such wagers, then
 4. the entire Win Three pool shall be refunded.
4. Should a betting interest in any of the three Win Three contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.
5. If all three Win Three contests are canceled or declared "no contest" the entire pool shall be refunded on Win Three wagers for those contests.

Revised January 28, 2003

6. If one or two of the Win Three contests are canceled or declared “no contest” the Win Three pool will remain valid and shall be distributed in accordance with subsection 2 of this rule.
7. If the Win Three pool is distributed according to subparagraphs 3 b) ii) or 3 b) iii) a public announcement shall be made as to the possible winning Win Three combinations.

Revised January 28, 2003

Section 7. Pick (n) Pools

1. The Pick (n) requires selection of the first-place finisher in each of a designated number of contests. The association must obtain written approval from the Commission concerning the scheduling of Pick (n) contests, the designation of one of the methods prescribed in Part (2), and the amount of any cap to be set on the carryover. Any changes to the approved Pick (n) format require prior approval from the Commission.
2. The Pick (n) pool shall be apportioned under one of the following methods:
 - a) Method 1, Pick (n) with Carryover: The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the remainder shall be added to the carryover.
 - b) Method 2, Pick (n) with Minor Pool and Carryover: The major share of the net Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the minor share of the net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests; and the major share shall be added to the carryover.
 - c) Method 3, Pick (n) with No Minor Pool and No Carryover: The net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.
 - d) Method 4, Pick (n) with Minor Pool and No Carryover: The major share of the net Pick (n) pool shall be distributed to those who selected the first place finisher in the greatest number of Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If the greatest number of first-place finishers

Revised January 28, 2003

selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

- e) Method 5, Pick (n) with Minor Pool and No Carryover: The major share of net Pick (n) pool shall be distributed to those who selected the first-place finisher in each of the Pick (n) contests, based upon the official order of finish. The minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher in the second greatest number of Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first-place finisher in all Pick (n) contests, the entire net Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher in the greatest number of Pick (n) contests. If there are no wagers selecting the first-place finisher in a second greatest number of Pick (n) contests, the minor share of the net Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first-place finisher in each of the Pick (n) contests. If there are no winning wagers, the pool is refunded.
- f) Method 6, Pick (n) with Minor Pool, Jackpot Pool, Major Carryover and Jackpot Carryover: Predetermined percentages of the net Pick (n) pool shall be set aside as a Major pool, Minor pool and Jackpot pool. The Major share of the net Pick (n) pool and the Major carryover, if any, shall be distributed to those who selected the first-place finisher of each of the Pick (n) contests, based on the official order of finish. If there are no tickets selecting the first-place finisher in each of the Pick (n) contests, the Major net pool shall be added to the Major carryover. If there is only one single ticket selecting the first-place finisher of each of the Pick (n) contests, based on the official order of finish, the Jackpot share of the net Pick (n) pool and the Jackpot carryover, if any, shall be distributed to the holder of that single ticket, along with the Major net pool and the Major carryover, if any. If more than one ticket selects the first-place finisher of each of the Pick (n) contests the Jackpot net pool shall be added to the Jackpot carryover. The Minor share of the net Pick (n) pool shall be distributed to those who selected the first-place finisher of the second greatest number of Pick (n) contests, based on the official order of finish. If there are no wagers selecting the first-place finisher of all Pick (n) contests, the Minor net pool of the Pick (n) pool shall be distributed as a single price pool to those who selected the first-place finisher of the greatest number of Pick (n) contests.
- g) Method 7, Pick (n) with No Minor Pool and Carryover: The net Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first place finisher in each of the Pick (n) contests, based on the official order of finish. If there are no such wagers, the net Pick (n) pool shall be added to the carryover.

3. If there is a dead heat for first in any of the Pick (n) contests involving:

Revised January 28, 2003

- a) contestants representing the same betting interest, the Pick (n) pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Pick (n) pool shall be distributed as a single price pool with each winning wager receiving an equal share of the profit.
4. Should a betting interest in any of the Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the host association for the contest at the close of wagering on that contest, shall be substituted for the scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.
5. The Pick (n) pool shall be canceled and all Pick (n) wagers for the individual performance shall be refunded if:
- a) all three contests included as part of a Pick 3 are canceled or declared “no contest”.
 - b) at least three contests included as part of a Pick 4, Pick 5 or Pick 6 are canceled or declared “no contest”.
 - c) at least four contests included as part of a Pick 7, Pick 8 or Pick 9 are canceled or declared “no contest”.
 - d) at least five contests included as part of a Pick 10 are canceled or declared “no contest”.
6. If at least one contest included as part of a Pick (n) is canceled or declared “no contest” but not more than the number specified in subsection 5 of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Pick (n) carryover but not the carryover from previous performances.
7. The Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Pick (n) carryover equals or exceeds the designated cap, the Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Pick (n) carryover is frozen, 100 percent of the net pool, part of which ordinarily would be added to the Pick (n) carryover, shall be distributed to those whose selection finished first in the greatest number of Pick (n) contests for that performance.

Revised January 28, 2003

8. A written request for permission to distribute the Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.
9. Should the Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first-place finisher in each of the Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first in the greatest number of Pick (n) contests. The Pick (n) carryover shall be designated for distribution on a specified date and performance only under the following circumstances:
 - a) Upon written approval from the Commission as provided in subsection 8 of this rule.
 - b) Upon written approval from the Commission when there is a change in the carryover cap, a change from one type of Pick (n) wagering to another or when the Pick (n) is discontinued.
 - c) On the closing performance of the meet or split meet.
10. If, for any reason, the Pick (n) carryover must be held over to the corresponding Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Pick (n) carryover plus accrued interest shall then be added to the net Pick (n) pool of the following meet on a date and performance so designated by the Commission.
11. With the written approval of the Commission, the association may contribute to the Pick (n) carryover a sum of money up to the amount of any designated cap.
12. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.
13. The association may suspend previously-approved Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Pick (n) wagering is reinstated. An association may request approval of a Pick (n) wager or separate wagering pool for specific performances.

Revised January 28, 2003

Table 7: PICK 7 POOL

Multiple Takeout Rates & Multiple Betting Sources

(Net Price Calculation)

	Percent Takeout	Gross Pool	Gross Amt. Bet on Win.	Net Pool	Net Amt. Bet on Win.
Source 1:	16%	\$ 190,000.00	\$ 44.00	\$ 159,600.00	\$ 36.96
Source 2:	18.5%	\$ 10,000.00	\$ 18.00	\$ 8,150.00	\$ 14.67
Source 3:	21%	\$ 525,730.00	\$ 124.00	\$ 415,326.70	\$ 97.96
TOTALS:		\$ 725,730.00	\$ 186.00	\$ 583,076.70	\$ 149.59

Total Profit: Total Net Pool – Total Net Bet on

the Winning Combination = \$582,927.11

Profit Per Dollar:

Total Profit / Total Net Bet on the

Winning Combination = \$3,896.8321

\$1 Unbroken Base Price:

Profit Per Dollar + \$1 = \$3,897.8321

\$1 Unbroken Price for Source 1:

\$1 Unbroken Base Price x (1 – Percent Takeout) = \$3,274.1789

\$1 Unbroken Price for Source 2:

\$1 Unbroken Base Price x (1 – Percent Takeout) = \$3,176.7331

\$1 Unbroken Price for Source 3:

Revised January 28, 2003

\$1 Unbroken Base Price x (1 – Percent Takeout) = \$3,079.2873

Revised January 28, 2003

Section 8. Place Pick (n) Pools

3. The Place Pick (n) requires selection of the first or second-place finisher in each of a designated number of contests. The association must obtain written approval from the Commission concerning the scheduling of Place Pick (n) contests, the designation of one of the methods prescribed in Part (2), the distinctive name identifying the pool and the amount of any cap to be set on the carryover. Any changes to the approved Place Pick (n) format require prior approval from the Commission.
4. The Place Pick (n) pool shall be apportioned under one of the following methods:
 - a) Method 1, Place Pick (n) with Carryover: The net Place Pick (n) pool and carryover, if any, shall be distributed as a single price pool to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. If there are no such wagers, then a designated percentage of the net pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests; and the remainder shall be added to the carryover.
 - b) Method 2, Place Pick (n) with Minor Pool and Carryover: The major share of the net Place Pick (n) pool and the carryover, if any, shall be distributed to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher of all Place Pick (n) contests, the minor share of the net Place Pick (n) pool shall be distributed as a single price pool to those who elected the first or second-place finisher in the greatest number of Place Pick (n) contests; and the major share shall be added to the carryover
 - c) Method 3, Place Pick (n) with No Minor Pool and No Carryover: The net Place Pick (n) pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no winning wagers, the pool is refunded.
 - d) Method 4, Place Pick (n) with Minor Pool and No Carryover: The major share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher in a

Revised January 28, 2003

second greatest number of Place Pick (n) contests, the minor share of the net Place Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests. If the greatest number of first or second-place finishers selected is one (1), the major and minor shares are combined for distribution as a single price pool. If there are no winning wagers, the pool is refunded.

- e) Method 5, Place Pick (n) with Minor Pool and No Carryover: The major share of net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in each of the Place Pick (n) contests, based upon the official order of finish. The minor share of the net Place Pick (n) pool shall be distributed to those who selected the first or second-place finisher in the second greatest number of Place Pick (n) contests, based upon the official order of finish. If there are no wagers selecting the first or second-place finisher in all Place Pick (n) contests, the entire net Place Pick (n) pool shall be distributed as a single price pool to those who selected the first or second-place finisher in the greatest number of Place Pick (n) contests. If there are no wagers selecting the first or second-place finisher in a second greatest number of Place Pick(n) contests, the minor share of the net Place Pick (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first or second-place finisher in each of the Place Pick (n) contests. If there are no winning wagers, the pool is refunded.
- 3. If there is a dead heat for first in any of the Place Pick (n) contests involving:
 - a) contestants representing the same betting interest, the Place Pick (n) pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Place Pick (n) pool shall be distributed as a single price pool with a winning wager including each betting interest participating in the dead heat.
 - 4. If there is a dead heat for second in any of the Place Pick (n) contests involving:
 - a) contestants representing the same betting interest, the Place Pick (n) pool shall be distributed as if no dead heat occurred.
 - b) contestants representing two or more betting interests, the Place Pick (n) pool shall be distributed as a single price pool with a winning wager including the betting interest which finished first or any betting interest involved in the dead heat for second.
 - 5. Should a betting interest in any of the Place Pick (n) contests be scratched, the actual favorite, as evidenced by total amounts wagered in the Win pool at the host association for the contest at the close of wagering on that contest, shall be substituted for the

Revised January 28, 2003

scratched betting interest for all purposes, including pool calculations. In the event that the Win pool total for two or more favorites is identical, the substitute selection shall be the betting interest with the lowest program number. The totalisator shall produce reports showing each of the wagering combinations with substituted betting interests which became winners as a result of the substitution, in addition to the normal winning combination.

6. The Place Pick (n) pool shall be canceled and all Place Pick (n) wagers for the individual performance shall be refunded if:
 - a) at least two contests included as part of a Place Pick 3 are canceled or declared "no contest".
 - b) at least three contests included as part of a Place Pick 4, Place Pick 5 or Place Pick 6 are canceled or declared "no contest".
 - c) at least four contests included as part of a Place Pick 7, Place Pick 8 or Place Pick 9 are canceled or declared "no contest".
 - d) at least five contests included as part of a Place Pick 10 are canceled or declared "no contest".
7. If at least one contest included as part of a Place Pick (n) is canceled or declared "no contest" but not more than the number specified in subsection 6 of this rule, the net pool shall be distributed as a single price pool to those whose selection finished first or second in the greatest number of Place Pick (n) contests for that performance. Such distribution shall include the portion ordinarily retained for the Place Pick (n) carryover but not the carryover from previous performances.
8. The Place Pick (n) carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Place Pick (n) carryover equals or exceeds the designated cap, the Place Pick (n) carryover will be frozen until it is won or distributed under other provisions of this rule. After the Place Pick (n) carryover is frozen, 100 percent of the net pool, part of which ordinarily would be added to the Place Pick (n) carryover, shall be distributed to those whose selection finished first or second in the greatest number of Place Pick (n) contests for that performance.
9. A written request for permission to distribute the Place Pick (n) carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.
10. Should the Place Pick (n) carryover be designated for distribution on a specified date and performance in which there are no wagers selecting the first or second-place finisher in each of the Place Pick (n) contests, the entire pool shall be distributed as a single price pool to those whose selection finished first or second in the greatest number of Place Pick

Revised January 28, 2003

(n) contests. The Place Pick (n) carryover shall be designated for distribution on a specified date and performance under any of the following circumstances:

- a) Upon written approval from the Commission as provided in subsection 9 of this rule.
 - b) Upon written approval from the Commission when there is a change in the carryover cap, a change from one type of Place Pick (n) wagering to another or when the Place Pick (n) is discontinued.
 - c) On the closing performance of the meet or split meet.
11. If, for any reason, the Place Pick (n) carryover must be held over to the corresponding Place Pick (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Place Pick (n) carryover plus accrued interest shall then be added to the net Place Pick (n) pool of the following meet on a date and performance so designated by the Commission.
 12. With the written approval of the Commission, the association may contribute to the Place Pick (n) carryover a sum of money up to the amount of any designated cap.
 13. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold or number of live tickets remaining is strictly prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.
 14. The association may suspend previously-approved Place Pick (n) wagering with the prior approval of the Commission. Any carryover shall be held until the suspended Place Pick (n) wagering is reinstated. An association may request approval of a Place Pick (n) wager or separate wagering pool for specific performances.

Section 9. Quinella Pools

1. The Quinella requires selection of the first two finishers, irrespective of order, for a single contest.
2. The net Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
 - b) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then
 - c) As a profit split to those whose combination included either the first- or second-

Revised January 28, 2003

place finisher; but if there are no such wagers on one of the those two finishers, then

- d) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Quinella wagers for that contest.
3. If there is a dead heat for first involving:
- a) contestants representing the same betting interest, the Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.
 - b) contestants representing two betting interests, the Quinella pool shall be distributed as if no dead heat occurred.
 - c) contestants representing three or more betting interests, the Quinella pool shall be distributed as a profit split.
4. If there is a dead heat for second involving contestants representing the same betting interest, the Quinella pool shall be distributed as if no dead heat occurred.
5. If there is a dead heat for second involving contestants representing two or more betting interests, the Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:
- a) As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then
 - b) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then
 - c) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then
 - d) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Quinella wagers for that contest.

Section 10. Quinella Double Pools

- 1. The Quinella Double requires selection of the first two finishers, irrespective of order, in each of two specified contests.

Revised January 28, 2003

2. The net Quinella Double pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) If a coupled entry or mutuel field finishes as the first two contestants in either contest, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest, as well as the first two finishers in the alternate Quinella Double contest; otherwise
 - b) As a single price pool to those who selected the first two finishers in each of the two Quinella Double contests; but if there are no such wagers, then
 - c) As a profit split to those who selected the first two finishers in either of the two Quinella Double contests; but if there are no such wagers on one of those contests, then
 - d) As a single price pool to those who selected the first two finishers in the one covered Quinella Double contest; but if there were no such wagers, then
 - e) The entire pool shall be refunded on Quinella Double wagers for those contests.
3. If there is a dead heat for first in either of the two Quinella Double contests involving:
 - a) contestants representing the same betting interest, the Quinella Double pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish for that contest.
 - b) contestants representing two betting interests, the Quinella Double pool shall be distributed as if no dead heat occurred.
 - c) contestants representing three or more betting interests, the Quinella Double pool shall be distributed as a profit split.
4. If there is a dead heat for second in either of the Quinella Double contests involving contestants representing the same betting interest, the Quinella Double pool shall be distributed as if no dead heat occurred.
5. If there is a dead heat for second in either of the Quinella Double contests involving contestants representing two or more betting interests, the Quinella Double pool shall be distributed as profit split.
6. Should a betting interest in the first-half of the Quinella Double be scratched prior to the first Quinella Double contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella Double pool and refunded.
7. Should a betting interest in the second-half of the Quinella Double be scratched prior to the close of wagering on the first Quinella Double contest, all money wagered on combinations including the scratched betting interest shall be deducted from the Quinella

Revised January 28, 2003

Double pool and refunded.

8. Should a betting interest in the second-half of the Quinella Double be scratched after the close of wagering on the first Quinella Double contest, all wagers combining the winning combination in the first contest with a combination including the scratched betting interest in the second contest shall be allocated a consolation payout. In calculating the consolation payout the net Quinella Double pool shall be divided by the total amount wagered on the winning combination in the first contest and an unbroken consolation price obtained. The unbroken consolation price is multiplied by the dollar value of wagers on the winning combination in the first contest combined with a combination including the scratched betting interest in the second contest to obtain the consolation payout. Breakage is not declared in this calculation. The consolation payout is deducted from the net Quinella Double pool before calculation and distribution of the winning Quinella Double payout. In the event of a dead heat involving separate betting interests, the net Quinella Double pool shall be distributed as a profit split.
9. If either of the Quinella Double contests is canceled prior to the first Quinella Double contest or the first Quinella Double contest is declared "no contest" the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests.
10. If the second Quinella Double contest is canceled or declared "no contest" after the conclusion of the first Quinella Double contest, the net Quinella Double pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Quinella Double contest. If there are no wagers selecting the winning combination in the first Quinella Double contest, the entire Quinella Double pool shall be refunded on Quinella Double wagers for those contests.

Section 11. Show Quinella Pools

1. The Show Quinella requires selection of two (2) of the first three (3) finishers, irrespective of order, for a single contest.
2. The Show Quinella pool shall be apportioned under one of the following methods:
 - a) Method 1, Single Price and Refund: The net Show Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 1. As a single price pool to those whose combinations finished as the first two betting interests, the first and third betting interests and/or the second and third betting interests; but if there are no such wagers, then
 2. The entire pool shall be refunded on Show Quinella wagers for that contest.

Revised January 28, 2003

- b) Method 2, Single Price and Carryover: The net Show Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - 1. As a single price pool to those whose combinations finished as the first two betting interests, the first and third betting interests and/or the second and third betting interests; but if there are no such wagers, then
 - 2. The net pool will be carried forward and added to the next Show Quinella pool.
- c) Method 3, Profit Split and Refund: The net Show Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - 1. As a profit split to those whose combinations finished as the first two betting interests, the first and third betting interests and/or the second and third betting interests; but if there are no such wagers, then
 - 2. The entire pool shall be refunded on Show Quinella wagers for that contest.
- d) Method 4, Profit Split and Carryover: The net Show Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - 1. As a profit split to those whose combinations finished as the first two betting interests, the first and third betting interests and/or the second and third betting interests; but if there are no such wagers, then
 - 2. The net pool will be carried forward and added to the next Show Quinella pool.
- 3. If there is a dead heat for first involving:
 - a) contestants representing two or three betting interests, the Show Quinella pool shall be distributed as if no dead heat occurred.
 - b) contestants representing four or more betting interests, the Show Quinella pool shall be distributed between all possible winning combinations based upon the method selected in subparagraph 2.
- 4. If there is a dead heat for second involving:
 - a) contestants representing two betting interests, the Show Quinella pool shall be distributed as if no dead heat occurred.
 - b) contestants representing three or more betting interests, the Show Quinella pool shall be distributed between all possible winning combinations based upon the

Revised January 28, 2003

method selected in subparagraph 2.

5. If there is a dead heat for third involving contestants representing two or more betting interests, the Show Quinella pool shall be distributed between all possible winning combinations, including those betting interests in the dead heat, based upon the method selected in subparagraph 2.
6. Should any betting interest entered in the Show Quinella pool be scratched or excused from the contest, wagers including such betting interest shall be deducted from the Show Quinella pool and money refunded.
7. If, for any reason, the Show Quinella carryover must be held over to the corresponding Show Quinella pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Show Quinella carryover plus accrued interest shall be added to the net Show Quinella pool of the following meet on a date and performance so designated by the Commission.

Section 12. Exacta Pools

1. The Exacta requires selection of the first two finishers, in their exact order, for a single contest.
2. The net Exacta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) If contestants of a coupled entry or mutuel field finish as the first two finishers, as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
 - b) As a single price pool to those whose combination finished in correct sequence as the first two betting interests; but if there are no such wagers, then
 - c) As a profit split to those whose combination included either the first-place betting interest to finish first or the second-place betting interest to finish second; but if there are no such wagers on one of those two finishers, then
 - d) As a single price pool to those whose combination included the one covered betting interest to finish first or second in the correct sequence; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Exacta wagers for that contest.
3. If there is a dead heat for first involving:
 - a) contestants representing the same betting interest, the Exacta pool shall be distributed as a single price pool to those selecting the coupled entry or mutuel

Revised January 28, 2003

field combined with the next separate betting interest in the official order of finish.

- b) contestants representing two or more betting interests, the Exacta pool shall be distributed as a profit split.
4. If there is a dead heat for second involving contestants representing the same betting interest, the Exacta pool shall be distributed as if no dead heat occurred.
 5. If there is a dead heat for second involving contestants representing two or more betting interests, the Exacta pool shall be distributed to ticket holders in the following precedence, based upon the official order of finish:
 - a) As a profit split to those combining the first-place betting interest with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then
 - b) As a single price pool to those combining the first-place betting interest with one covered betting interest involved in the dead heat for second; but if there are no such wagers, then
 - c) As a profit split to those wagers correctly selecting the winner for first-place and those wagers selecting any of the dead-heated betting interests for second-place; but if there are no such wagers, then
 - d) The entire pool shall be refunded on Exacta wagers for that contest.

Section 13. Trifecta Pools

1. The Trifecta requires selection of the first three finishers, in their exact order, for a single contest.
2. The net Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - d) The entire pool shall be refunded on Trifecta wagers for that contest.
3. If less than three betting interests finish and the contest is declared official, payouts will

Revised January 28, 2003

be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.

4. If there is a dead heat for first involving:
 - a) contestants representing three or more betting interests, all of the wagering combinations selecting three betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.
 - b) contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place betting interest shall share in a profit split.
5. If there is a dead heat for second, all of the combinations correctly selecting the winner combined with any of the betting interests involved in the dead heat for second shall share in a profit split.
6. If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any of the betting interests involved in the dead heat for third shall share in a profit split.
7. Coupled entries and mutuel fields may be permitted in trifecta contests with the prior written approval of the racing commission.

Section 14 Superfecta Pools

1. The Superfecta requires selection of the first four finishers, in their exact order, for a single contest.
2. The net Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish:
 - a) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - d) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Superfecta wagers for that contest.

Revised January 28, 2003

3. If less than four betting interests finish and the contest is declared official, payouts will be made based upon the order of finish of those betting interests completing the contest. The balance of any selection beyond the number of betting interests completing the contest shall be ignored.
4. If there is a dead heat for first involving:
 - a) contestants representing four or more betting interests, all of the wagering combinations selecting four betting interests which correspond with any of the betting interests involved in the dead heat shall share in a profit split.
 - b) contestants representing three betting interests, all of the wagering combinations selecting the three dead-heated betting interests, irrespective of order, along with the fourth-place betting interest shall share in a profit split.
 - c) contestants representing two betting interests, both of the wagering combinations selecting the two dead-heated betting interests, irrespective of order, along with the third-place and fourth-place betting interests shall share in a profit split.
5. If there is a dead heat for second involving:
 - a) contestants representing three or more betting interests, all of the wagering combinations correctly selecting the winner combined with any of the three betting interests involved in the dead heat for second shall share in a profit split.
 - b) contestants representing two betting interests, all of the wagering combinations correctly selecting the winner, the two dead-heated betting interests, irrespective of order, and the fourth-place betting interest shall share in a profit split.
6. If there is a dead heat for third, all wagering combinations correctly selecting the first two finishers, in correct sequence, along with any two of the betting interests involved in the dead heat for third shall share in a profit split.
7. If there is a dead heat for fourth, all wagering combinations correctly selecting the first three finishers, in correct sequence, along with any of the betting interests involved in the dead heat for fourth shall share in a profit split.
8. Coupled entries and mutuel fields shall be prohibited in Superfecta contests.

Section 15. Twin Quinella Pools

1. The Twin Quinella requires selection of the first two finishers, irrespective of order, in each of two designated contests. Each winning ticket for the first Twin Quinella contest must be exchanged for a free ticket on the second Twin Quinella contest in order to remain eligible for the second-half Twin Quinella pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Quinella contest. There will be

Revised January 28, 2003

no monetary reward for winning the first Twin Quinella contest. Both of the designated Twin Quinella contests shall be included in only one Twin Quinella pool.

2. In the first Twin Quinella contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Quinella contest:
 - a) If a coupled entry or mutuel field finishes as the first two finishers, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners; otherwise
 - b) Those whose combination finished as the first two betting interests shall be winners; but if there are no such wagers, then
 - c) Those whose combination included either the first- or second-place finisher shall be winners; but if there are no such wagers on one of those two finishers, then
 - d) Those whose combination included the one covered betting interest included within the first two finishers shall be winners; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Twin Quinella wagers for that contest.
3. In the first Twin Quinella contest only, if there is a dead heat for first involving:
 - a) contestants representing the same betting interest, those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish shall be winners.
 - b) contestants representing two betting interests, the winning Twin Quinella wagers shall be determined as if no dead heat occurred.
 - c) contestants representing three or more betting interests, those whose combination included any two of the betting interests finishing in the dead heat shall be winners.
4. In the first Twin Quinella contest only, if there is a dead heat for second involving contestants representing two or more betting interests, the Twin Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:
 - a) As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then
 - b) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then
 - c) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then

Revised January 28, 2003

- d) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second; but if there are no such wagers, then
 - e) The entire pool shall be refunded on Twin Quinella wagers for that contest.
5. In the second Twin Quinella contest only, the entire net Twin Quinella pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Quinella contest:
- a) If a coupled entry or mutuel field finishes as the first two finishers, as a single price pool to those who selected the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish; otherwise
 - b) As a single price pool to those whose combination finished as the first two betting interests; but if there are no such wagers, then
 - c) As a profit split to those whose combination included either the first- or second-place finisher; but if there are no such wagers on one of those two finishers, then
 - d) As a single price pool to those whose combination included the one covered betting interest included within the first two finishers; but if there are no such wagers, then
 - e) As a single price pool to all the exchange ticket holders for that contest; but if there are no such tickets, then
 - f) In accordance with subsection 2 of the Twin Quinella rules.
6. In the second Twin Quinella contest only, if there is a dead heat for first involving:
- a) contestants representing the same betting interest, the net Twin Quinella pool shall be distributed to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish.
 - b) contestants representing two betting interests, the net Twin Quinella pool shall be distributed as if no dead heat occurred.
 - c) contestants representing three or more betting interests, the net Twin Quinella pool shall be distributed as a profit split to those whose combination included any two of the betting interests finishing in the dead heat.
7. In the second Twin Quinella contest only, if there is a dead heat for second involving contestants representing two or more betting interests, the Twin Quinella pool shall be distributed to wagers in the following precedence, based upon the official order of finish:
- a) As a profit split to those combining the winner with any of the betting interests involved in the dead heat for second; but if there is only one covered combination, then

Revised January 28, 2003

- b) As a single price pool to those combining the winner with the one covered betting interest involved in the dead heat for second; but if there are no such wagers, then
 - c) As a profit split to those combining the betting interests involved in the dead heat for second; but if there are no such wagers, then
 - d) As a profit split to those whose combination included the winner and any other betting interest and wagers selecting any of the betting interests involved in the dead heat for second, then
 - e) As a single price pool to all the exchange ticket holders for that contest; but if there are no such tickets, then
 - f) In accordance with subsection 2 of the Twin Quinella rules.
8. If a winning ticket for the first-half of the Twin Quinella is not presented for exchange prior to the close of betting on the second-half Twin Quinella contest, the ticket holder forfeits all rights to any distribution of the Twin Quinella pool resulting from the outcome of the second contest, except where expressly provided in subsection 12.
 9. Should a betting interest in the first-half of the Twin Quinella be scratched, those Twin Quinella wagers including the scratched betting interest shall be refunded.
 10. Should a betting interest in the second-half of the Twin Quinella be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest.
 11. If either of the Twin Quinella contests is canceled prior to the first Twin Quinella contest or the first Twin Quinella contest is declared "no contest," the entire Twin Quinella pool shall be refunded on Twin Quinella wagers for that contest.
 12. If the second-half Twin Quinella contest is canceled or declared "no contest" all exchange tickets and outstanding first-half winning Twin Quinella tickets shall be entitled to the net Twin Quinella pool. If there are no such tickets, the net Twin Quinella pool shall be distributed as described in subsection 2 of the Twin Quinella rules.

Revised January 28, 2003

Section 16. Twin Trifecta Pools

1. The Twin Trifecta requires selection of the first three finishers, in their exact order, in each of two designated contests. Each winning ticket for the first Twin Trifecta contest must be exchanged for a free ticket on the second Twin Trifecta contest in order to remain eligible for the second-half Twin Trifecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Trifecta contest. Winning first-half Twin Trifecta wagers will receive both an exchange and a monetary payout. Both of the designated Twin Trifecta contests shall be included in only one Twin Trifecta pool.
2. After wagering closes for the first-half of the Twin Trifecta and commissions have been deducted from the pool, the net pool shall then be divided into separate pools: the first-half Twin Trifecta pool and the second-half Twin Trifecta pool.
3. In the first Twin Trifecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Trifecta contest:
 - a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - d) The entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be canceled.
4. If no first-half Twin Trifecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Trifecta pool. In such case, the second-half Twin Trifecta pool shall be retained and added to any existing Twin Trifecta carryover pool.
5. Winning tickets from the first-half of the Twin Trifecta shall be exchanged for tickets selecting the first three finishers of the second-half of the Twin Trifecta. The second-half Twin Trifecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Trifecta contest:
 - a) As a single price pool, including any existing carryover moneys, to those whose combination finished in correct sequence as the first three betting interests; but if there are no such tickets, then
 - b) The entire second-half Twin Trifecta pool for that contest shall be added to any existing carryover moneys and retained for the corresponding second-half Twin Trifecta pool of the next consecutive performance.

Revised January 28, 2003

6. If a winning first-half Twin Trifecta ticket is not presented for cashing and exchange prior to the second-half Twin Trifecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Trifecta pool but forfeits all rights to any distribution of the second-half Twin Trifecta pool, except where expressly provided in subsection 13 of the Twin Trifecta rules.
7. Coupled entries and mutuel fields may be permitted in Twin Trifecta contests with the prior written approval of the Commission.
8. Should a betting interest in the first-half of the Twin Trifecta be scratched, those Twin Trifecta wagers including the scratched betting interest shall be refunded.
9. Should a betting interest in the second-half of the Twin Trifecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest.
10. If, due to a late scratch, the number of betting interests in the second-half of the Twin Trifecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Trifecta pool for that contest as a single price pool, but not the Twin Trifecta carryover.
11. If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Trifecta, all Twin Trifecta wagers selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:
 - a) the first-half of the Twin Trifecta, the payout shall be calculated as a profit split.
 - b) the second-half of the Twin Trifecta, the payout shall be calculated as a single price pool.
12. If either of the Twin Trifecta contests are canceled prior to the first Twin Trifecta contest or the first Twin Trifecta contest is declared "no contest" the entire Twin Trifecta pool shall be refunded on Twin Trifecta wagers for that contest and the second-half shall be canceled.
13. If the second-half Twin Trifecta contest is canceled or declared "no contest" all exchange tickets and outstanding first-half winning Twin Trifecta tickets shall be entitled to the net Twin Trifecta pool for that contest as a single price pool, but not Twin Trifecta carryover. If there are no such tickets, the net Twin Trifecta pool shall be distributed as described in subsection 3 of the Twin Trifecta rules.
14. The Twin Trifecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Trifecta carryover equals or exceeds the designated cap, the Twin Trifecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the Twin Trifecta carryover is frozen, 100 percent of the net Twin Trifecta pool for each individual contest

Revised January 28, 2003

shall be distributed to winners of the first-half of the Twin Trifecta pool.

15. A written request for permission to distribute the Twin Trifecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.
16. Should the Twin Trifecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Trifecta after completion of the first-half of the Twin Trifecta:
 - a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - d) As a single price pool to holders of valid exchange tickets.
 - e) As a single price pool to holders of outstanding first-half winning tickets.
17. Contrary to subsection 4 of the Twin Trifecta rules, during a performance designated to distribute the Twin Trifecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Trifecta. If there are no wagers correctly selecting the first-, second- and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Trifecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Trifecta pool and any existing Twin Trifecta carryover .
18. The Twin Trifecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:
 - a) Upon written approval from the Commission as provided in subsection 15 of the Twin Trifecta rules.
 - b) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Trifecta is discontinued.
 - c) On the closing performance of the meet or split meet.

Revised January 28, 2003

19. If, for any reason, the Twin Trifecta carryover must be held over to the corresponding Twin Trifecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Trifecta carryover plus accrued interest shall then be added to the second-half Twin Trifecta pool of the following meet on a date and performance so designated by the Racing Commission.
20. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.
21. The association must obtain written approval from the Commission concerning the scheduling of Twin Trifecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Trifecta format require prior approval from the Commission.

Section 17. Tri-Superfecta Pools

1. The Tri-Superfecta requires selection of the first three finishers, in their exact order, in the first of two designated contests and the first four finishers, in exact order, in the second of the two designated contests. Each winning ticket for the first Tri-Superfecta contest must be exchanged for a free ticket on the second Tri-Superfecta contest in order to remain eligible for the second-half Tri-Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Tri-Superfecta contest. Winning first-half Tri-Superfecta tickets will receive both an exchange and a monetary payout. Both of the designated Tri-superfecta contests shall be included in only one Tri-Superfecta pool.
2. After wagering closes for the first-half of the Tri-Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Tri-Superfecta pool and the second-half Tri-Superfecta pool.
3. In the first Tri-Superfecta contest only, winning tickets shall be determined using the following precedence, based upon the official order of finish for the first Tri-Superfecta contest:
 - a) As a single price pool to those whose combination finished in correct sequence as the first three betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination correctly selected the first-

Revised January 28, 2003

place betting interest only; but if there are no such wagers, then

- d) The entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be canceled.
4. If no first-half Tri-Superfecta ticket selects the first three finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Tri-Superfecta pool. In such case, the second-half Tri-superfecta pool shall be retained and added to any existing Tri-Superfecta carryover pool.
5. Winning tickets from the first-half of the Tri-Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Tri-Superfecta. The second-half Tri-Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Tri-Superfecta contest:
 - a) As a single price pool, including any existing carryover moneys, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then
 - b) The entire second-half Tri-Superfecta pool for that contest shall be added to any existing carryover moneys and retained for the corresponding second-half Tri-Superfecta pool of the next performance.
6. If a winning first-half Tri-Superfecta ticket is not presented for cashing and exchange prior to the second-half Tri-Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Tri-Superfecta pool but forfeits all rights to any distribution of the second-half Tri-Superfecta pool, except where expressly provided in subsection 13 of the Tri-Superfecta rules.
7. Coupled entries and mutuel fields may be permitted in Tri-Superfecta contests with the prior written approval of the Racing Commission.
8. Should a betting interest in the first-half of the Tri-Superfecta be scratched, those Tri-Superfecta tickets including the scratched betting interest shall be refunded.
9. Should a betting interest in the second-half of the Tri-Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest.
10. If, due to a late scratch, the number of betting interests in the second-half of the Tri-Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover.
11. If there is a dead heat or multiple dead heats in either the first- or second-half of the Tri-Superfecta, all Tri-Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:

Revised January 28, 2003

- a) the first-half of the Tri-Superfecta, the payout shall be calculated as a profit split.
 - b) the second-half of the Tri-Superfecta, the payout shall be calculated as a single price pool.
12. If either of the Tri-Superfecta contests are canceled prior to the first Tri-Superfecta contest or the first Tri-Superfecta contest is declared "no contest" the entire Tri-Superfecta pool shall be refunded on Tri-Superfecta wagers for that contest and the second-half shall be canceled.
13. If the second-half Tri-Superfecta contest is canceled or declared "no contest" all exchange tickets and outstanding first-half winning Tri-Superfecta tickets shall be entitled to the net Tri-Superfecta pool for that contest as a single price pool, but not the Tri-Superfecta carryover. If there are no such tickets, the net Tri-Superfecta pool shall be distributed as described in subsection 3 of the Tri-Superfecta rules.
14. The Tri-Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Tri-Superfecta carryover equals or exceeds the designated cap, the Tri-Superfecta carryover will be frozen until it is won or distributed under other provisions of this rule. After the second-half Tri-Superfecta carryover is frozen, the Association, with the approval of the Commission may distribute the net Tri-Superfecta pool in one of the following methods:
- a) After the second-half of the Tri-Superfecta is frozen, 100 percent of the net Tri-Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Tri-Superfecta pool.
 - b) After the second-half of the Tri-Superfecta is frozen, 100 percent of the net Tri-Superfecta pool for each individual contest shall be placed in a reserve pool, until the designated level is met or exceeded, at which time the reserve pool shall be capped. Monies above capped pools will begin yet another new and separate reserve pool.

The method of distribution must be approved in advance by the Commission, and shall appear in all official programs.

15. A written request for permission to distribute the Tri-Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.
16. Should the Tri-Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Tri-Superfecta after completion of the first-half of the Tri-Superfecta:
- a) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - d) As a single price pool to those whose combination included, in correct sequence, the first-place betting interest only; but if there are no such wagers, then
 - e) As a single price pool to holders of valid exchange tickets; but if there are no such wagers, then
 - f) As a single price pool to holders of outstanding first-half winning tickets.
17. Contrary to subsection 4 of the Tri-Superfecta rules, during a performance designated to

Revised January 28, 2003

distribute the Tri-Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Tri-Superfecta. If there are no wagers correctly selecting the first-, second- and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Tri-Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Tri-Superfecta pool and any existing Tri-Superfecta carryover as a single price pool.

18. The Tri-Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:

- a) Upon written approval from the Commission as provided in subsection 15 of the Tri-Superfecta rules.
- b) Upon written approval from the Commission when there is a change in the carryover cap or when the Tri-Superfecta is discontinued.
- c) On the closing performance of the meet or split meet.

19. If, for any reason, the Tri-Superfecta carryover must be held over to the corresponding Tri-Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Tri-Superfecta carryover plus accrued interest shall then be added to the second-half Tri-superfecta pool of the following meet on a date and performance so designated by the Commission.

20. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.

21. The association must obtain written approval from the Commission concerning the scheduling of Tri-Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Tri-Superfecta format require prior approval from the Commission.

Section 18. Twin Superfecta Pools

1. The Twin Superfecta requires selection of the first four finishers, in their exact order, in

Revised January 28, 2003

each of two designated contests. Each winning ticket for the first Twin Superfecta contest must be exchanged for a free ticket on the second Twin Superfecta contest in order to remain eligible for the second-half Twin Superfecta pool. Such tickets may be exchanged only at attended ticket windows prior to the second Twin Superfecta contest. Winning first-half Twin Superfecta tickets will receive both an exchange and a monetary payout. Both of the designated Twin Superfecta contests shall be included in only one Twin Superfecta pool.

2. After wagering closes for the first-half of the Twin Superfecta and commissions have been deducted from the pool, the net pool shall then be divided into two separate pools: the first-half Twin Superfecta pool and the second-half Twin Superfecta pool.
3. In the first Twin Superfecta contest only, winning wagers shall be determined using the following precedence, based upon the official order of finish for the first Twin Superfecta contest:
 - a) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - d) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - e) The entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be canceled.
4. If no first-half Twin Superfecta ticket selects the first four finishers of that contest in exact order, winning ticket holders shall not receive any exchange tickets for the second-half Twin Superfecta pool. In such case, the second-half Twin Superfecta pool shall be retained and added to any existing Twin Superfecta carryover pool.
5. Winning tickets from the first-half of the Twin Superfecta shall be exchanged for tickets selecting the first four finishers of the second-half of the Twin Superfecta. The second-half Twin Superfecta pool shall be distributed to winning wagers in the following precedence, based upon the official order of finish for the second Twin Superfecta contest:
 - a) As a single price pool, including any existing carryover moneys, to those whose combination finished in correct sequence as the first four betting interests; but if there are no such tickets, then
 - b) The entire second-half Twin Superfecta pool for that contest shall be added to any existing carryover moneys and retained for the corresponding second-half Twin

Revised January 28, 2003

Superfecta pool of the next performance.

6. If a winning first-half Twin Superfecta ticket is not presented for cashing and exchange prior to the second-half Twin Superfecta contest, the ticket holder may still collect the monetary value associated with the first-half Twin Superfecta pool but forfeits all rights to any distribution of the second-half Twin Superfecta pool, except where expressly provided in subsection 13 of the Twin Superfecta rules.
7. Coupled entries and mutuel fields may be permitted in Twin Superfecta contests with the prior written approval of the Racing Commission.
8. Should a betting interest in the first-half of the Twin Superfecta be scratched, those Twin Superfecta tickets including the scratched betting interest shall be refunded.
9. Should a betting interest in the second-half of the Twin Superfecta be scratched, an announcement concerning the scratch shall be made and a reasonable amount of time shall be provided for exchange of tickets that include the scratched betting interest.
10. If, due to a late scratch, the number of betting interests in the second-half of the Twin Superfecta is reduced to fewer than the minimum, all exchange tickets and outstanding first-half winning tickets shall be entitled to the second-half Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover.
11. If there is a dead heat or multiple dead heats in either the first- or second-half of the Twin Superfecta, all Twin Superfecta tickets selecting the correct order of finish, counting a betting interest involved in a dead heat as finishing in any dead-heated position, shall be a winner. In the case of a dead heat occurring in:
 - a) the first-half of the Twin Superfecta, the payout shall be calculated as a profit split.
 - b) the second-half of the Twin Superfecta, the payout shall be calculated as a single price pool.
12. If either of the Twin Superfecta contests are canceled prior to the first Twin Superfecta contest or the first Twin Superfecta contest is declared "no contest" the entire Twin Superfecta pool shall be refunded on Twin Superfecta wagers for that contest and the second-half shall be canceled.
13. If the second-half Twin Superfecta contest is canceled or declared "no contest" all exchange tickets and outstanding first-half winning Twin Superfecta tickets shall be entitled to the net Twin Superfecta pool for that contest as a single price pool, but not the Twin Superfecta carryover. If there are no such tickets, the net Twin Superfecta pool shall be distributed as described in subsection 3 of the Twin Superfecta rules.
14. The Twin Superfecta carryover may be capped at a designated level approved by the Commission so that if, at the close of any performance, the amount in the Twin Superfecta equals or exceeds the designated cap, the Twin Superfecta carryover will be

Revised January 28, 2003

frozen until it is won or distributed under other provisions of this rule. After the second-half Twin Superfecta carryover is frozen, 100 percent of the net Twin Superfecta pool for each individual contest shall be distributed to winners of the first-half of the Twin Superfecta pool.

15. A written request for permission to distribute the Twin Superfecta carryover on a specific performance may be submitted to the Commission. The request must contain justification for the distribution, an explanation of the benefit to be derived and the intended date and performance for the distribution.
16. Should the Twin Superfecta carryover be designated for distribution on a specified date and performance, the following precedence will be followed in determining winning tickets for the second-half of the Twin Superfecta after completion of the first-half of the Twin Superfecta:
 - a) As a single price pool to those whose combination finished in correct sequence as the first four betting interests; but if there are no such wagers, then
 - b) As a single price pool to those whose combination included, in correct sequence, the first three betting interests; but if there are no such wagers, then
 - c) As a single price pool to those whose combination included, in correct sequence, the first two betting interests; but if there are no such wagers, then
 - d) As a single price pool to those whose combination correctly selected the first-place betting interest only; but if there are no such wagers, then
 - e) As a single price pool to holders of valid exchange tickets, but if there are no such wagers, then
 - f) As a single price pool to holders of outstanding first-half winning tickets.
17. Contrary to subsection 4 of the Twin Superfecta rules, during a performance designated to distribute the Twin Superfecta carryover, exchange tickets will be issued for those combinations selecting the greatest number of betting interests in their correct order of finish for the first-half of the Twin Superfecta. If there are no wagers correctly selecting the first-, second-, third- and fourth-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-, second- and third-place betting interests. If there are no wagers correctly selecting the first-, second- and third-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first- and second-place betting interests. If there are no wagers correctly selecting the first- and second-place finishers, in their exact order, then exchange tickets shall be issued for combinations correctly selecting the first-place betting interest only. If there are no wagers selecting the first-place betting interest only in the first-half of the Twin Superfecta, all first-half tickets will become winners and will receive 100 percent of that day's net Twin Superfecta pool and any existing Twin

Revised January 28, 2003

Superfecta carryover as a single price pool.

18. The Twin Superfecta carryover shall be designated for distribution on a specified date and performance only under the following circumstances:
 - a) Upon written approval from the Commission as provided in subsection 15 of the Twin Superfecta rules.
 - b) Upon written approval from the Commission when there is a change in the carryover cap or when the Twin Superfecta is discontinued.
 - c) On the closing performance of the meet or split meet.
19. If, for any reason, the Twin Superfecta carryover must be held over to the corresponding Twin Superfecta pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Twin Superfecta carryover plus accrued interest shall then be added to the second-half Twin Superfecta pool of the following meet on a date and performance so designated by the Commission.
20. Providing information to any person regarding covered combinations, amounts wagered on specific combinations, number of tickets sold or number of valid exchange tickets is prohibited. This shall not prohibit necessary communication between totalisator and pari-mutuel department employees for processing of pool data.
21. The association must obtain written approval from the Commission concerning the scheduling of Twin Superfecta contests, the percentages of the net pool added to the first-half pool and second-half pool, and the amount of any cap to be set on the carryover. Any changes to the approved Twin Superfecta format require prior approval from the Commission.

Section 19. Exacta (n) Pools

1. The Exacta (n) requires selection of the first two finishers, in their exact order, in each of a designated number of contests. The association must obtain written approval from the Commission concerning the scheduling of Exacta (n) contests, the designation of one of the methods prescribed in Part 4, and the amount of any cap to be set on the carryover. Any changes to the approved Exacta (n) format require prior approval from the commission.
2. The Exacta (n) pool shall be apportioned under one of the following methods:
 - a) Method 1, Exacta (n) With No Minor Pool and No Carryover: The net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the net

Revised January 28, 2003

Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in the greatest number of Exacta (n) contests. If there are no winning wagers, the entire pool shall be refunded on Exacta (n) wagers for those contests'

- b) Method 2, Exacta (n) With No Minor Pool and Carryover Instead of a Refund The net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the net Exacta (n) pool and the carryover shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in the greatest number of Exacta (n) contests. If there are no winning wagers, the net Exacta (n) pool shall be added to the carryover.
- c) Method 3, Exacta (n) With No Minor Pool and Carryover The net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. If there are no winning wagers, the net Exacta (n) pool shall be added to the carryover.
- d) Method 4, Exacta (n) With Minor Pool and No Carryover: The major share of the net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in the second greatest number of Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the major share of the net Exacta (n) pool shall be combined with the minor share for distribution as a single price pool to those who selected the first and second place finishers, in exact order, in the greatest number of Exacta (n) contests. If there are no wagers selecting the first and second place finishers, in exact order, in a second greatest number of Exacta (n) contests, the minor share of the net Exacta (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests. If there are no winning wagers, the pool shall be refunded on Exacta (n) wagers for those contests.
- e) Method 5, Exacta (n) With Minor Pool and Carryover: The major share of the net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those

Revised January 28, 2003

who selected the first and second place finishers, in exact order, in the second greatest number of Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the minor share of the net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in the greatest number of Exacta (n) contests, and the major share shall be added to the carryover. If there are no wagers selecting the first and second place finishers, in exact order, in a second greatest number of Exacta (n) contests, the minor share of the net Exacta (n) pool shall be combined with the major share for distribution as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, or if there are no such winning wagers, added to the carryover.

- f) Method 6, Exacta (n) With Minor Pool Based Upon Any One Exacta, and No Carryover: The major share of the net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those (including recipients of the major share of the net Exacta (n) pool) who selected the first and second place finishers, in exact order, in at least one of the Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the major share of the net Exacta (n) pool shall be combined with the minor share for distribution as a single price pool to those who selected the first and second place finishers, in exact order, in at least one of the Exacta (n) contests. If there are no winning wagers, the pool is refunded.
- g) Method 7, Exacta (n) With Minor Pool Based Upon Any One Exacta, and Carryover: The major share of the net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those (including recipients of the major share of the net Exacta (n) pool) who selected the first and second place finishers, in exact order, in at least one of the Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in each of the Exacta (n) contests, the major share shall be added to the carryover. If there are no wagers selecting the first and second place finishers, in exact order, in any of the Exacta (n) contests, the minor share of the net Exacta (n) pool shall also be added to the carryover.
- h) Method 8, Exacta (n) With Minor Pool Based Upon Individual Exactas, and No Carryover:

Revised January 28, 2003

1. The major share of the net Exacta (n) pool shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool based upon the sum of the dollar value of wagers for each of the Exacta (n) contests considered separately, in which the first and second place finishers, in exact order, were correctly selected, based on the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those (including recipients of the major share of the net Exacta (n) pool) who selected the first and second place finishers, in exact order, in one or more of the Exacta (n) contests. Each recipient of a minor share shall receive an amount equal to the single price times the number of Exacta (n) contests in which the recipient correctly selected the winning combination.
 2. If there are no wagers selecting the first and second place finishers, in exact order, in all Exacta (n) contests, the major share shall be combined with the minor share and the entire net Exacta (n) pool shall be distributed in accordance with the rules in the preceding paragraph for distributing the minor share of the net Exacta (n) pool. If there are no winning wagers, the pool shall be refunded.
- i) Method 9, Exacta (n) With Minor Pool Based Upon Individual Exactas, and Carryover:
1. The major share of the net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool based upon the sum of the dollar value of wagers, for each of the Exacta (n) contests considered separately, in which the first and second place finishers, in exact order, were correctly selected, based on the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those (including recipients of the major share of the net Exacta (n) pool) who selected the first and second place finishers, in exact order, in one or more of the Exacta (n) contests. Each recipient of a minor share shall receive an amount equal to the single price times the number of Exacta (n) contests in which the recipient correctly selected the winning combination.
 2. If there are no wagers selecting the first and second place finishers,

Revised January 28, 2003

in exact order, in all Exacta (n) contests, the minor share shall be distributed in accordance with the rules in the preceding paragraph for distributing the minor share of the net Exacta (n) pool, and the major share shall be added to the carryover; except that if there are no winning wagers in either the major or the minor pool, today's pool shall be refunded and the carryover shall be carried over.

j) Method 10, Exacta (n) With Minor Pool Based Upon Individual Exactas, and Carryover; 100% Carryover if no Winning Wagers

1. The major share of the net Exacta (n) pool and the carryover, if any, shall be distributed as a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool based upon the sum of the dollar value of wagers, for each of the Exacta (n) contests considered separately, in which the first and second place finishers, in exact order, were correctly selected, based upon the official order of finish. The minor share of the net Exacta (n) pool shall be distributed as a single price pool to those (including recipients of the major share of the net Exacta (n) pool) who selected the first and second place finishers, in exact order, in one or more of the Exacta (n) contests. Each recipient of a minor share shall receive an amount equal to the single price times the number of Exacta (n) contests in which the recipient correctly selected the winning combination.
2. If there are no wagers selecting the first and second place finishers, in exact order, in all Exacta (n) contests, the minor share shall be distributed in accordance with the rules in the preceding paragraph for distributing the minor share of the net Exacta (n) pool, and the major share shall be added to the carryover. If there are no winning wagers, the minor share shall also be added to the carryover.

3. Dead Heats

In the event of a dead heat in any of the Exacta (n) contests, the net Exacta (n) shall be distributed to winning wagers in the following precedence, based upon the official order of finish, for each exacta contest within the Exacta (n) wager:

- a) If there is a dead heat for first in any of the Exacta (n) contests involving contestants representing the same betting interest, the Exacta (n) pool shall be distributed as a single price pool to those selecting the coupled entry or mutuel field combined with the next separate betting interest in the official order of finish

Revised January 28, 2003

for that contest.

- b) If there is a dead heat for first in any of the Exacta (n) contests involving contestants representing two or more betting interests, the Exacta (n) pool shall be distributed as a single price pool with a winning wager including each betting interest involved in the dead heat.
- c) If there is a dead heat for second in any of the Exacta (n) contests involving contestants representing the same betting interest, the Exacta (n) pool shall be distributed as if no dead heat occurred.
- d) If there is a dead heat for second in any of the Exacta (n) contests involving contestants representing two or more betting interests, the Exacta (n) pool shall be distributed as a single price pool with a winning wager including the betting interest which finished first together with any betting interest involved in the dead heat for second.

4. Scratches

- a) Should a betting interest in any contest of the Exacta (n) be scratched or excused from the contest prior to the first Exacta (n) contest being declared official, all money wagered on combinations including the scratched betting interest shall be deducted from the Exacta (n) pool and refunded.
- b) Should a betting interest in any contest of the Exacta (n) be scratched or excused from the contest after the first Exacta (n) contest has been declared official, all money wagered on combinations including this betting interest shall remain in the Exacta (n) pool and the ticket holder may still collect the monetary value of any distribution evidenced by the ticket containing the scratched betting interest.
- c) If, due to a late scratch, the number of betting interests in a contest of the Exacta (n) is reduced to fewer than two, for purposes of the Exacta (n) such contest shall be declared "no contest" and the Exacta (n) pool shall be subject to the rules set forth in Subsection 5 of these rules.

5. Canceled Contests

- a) If any of the Exacta (n) contests are canceled or declared "no contest" prior to the first Exacta (n) contest being declared official, the entire Exacta (n) pool shall be refunded on Exacta (n) wagers for those contests.
- b) If all remaining Exacta (n) contests are canceled or declared "no contest" after the first Exacta (n) contest is declared official, the net Exacta (n) pool shall be distributed as a single price pool to wagers selecting the winning combination in the first Exacta (n) contest, but not the Exacta (n) carryover. However, if there are no wagers selecting the winning combination in the first Exacta (n) contest, the entire Exacta (n) pool shall be refunded on Exacta (n) wagers for those contests.

Revised January 28, 2003

- c) If any of the remaining Exacta (n) contests are canceled or declared "no contest" after the first Exacta (n) is declared official, the net Exacta (n) pool shall be distributed in accordance with rules governing distribution of the minor share where there is no winner of the major share.

6. Mandatory Distribution

- a) A written request for permission to distribute the Exacta (n) carryover on a specific performance may be submitted to the Commission. The request shall contain justification for the mandatory distribution, an explanation of the benefit to be derived, and the intended date and performance for the distribution. The association must notify the Commission at least 10 days prior to implementation. If the Exacta (n) pool cannot be distributed during a designated performance, the mandatory distribution shall resume on the next scheduled mandatory distribution performance.
- b) If the Exacta (n) carryover is designated for distribution on a specified date and performance, the following precedence shall be followed in determining winning tickets for the net Exacta (n) pool and the carryover pool:
 - 1. As a single price pool to those who selected the first and second place finishers, in exact order, in each of the Exacta (n) contests, based upon the official order of finish. If there are no wagers selecting the first and second place finishers, in exact order, in all Exacta (n) contests, then
 - 2. As a single price pool to those who selected the first and second place finishers, in exact order, in at least one of the Exacta (n) contests, based upon the official order of finish.
 - 3. If there are no wagers which correctly selected the first and second place finishers, in exact order, in at least one of the Exacta (n) contests, based upon the official order of finish, then all Exacta (n) tickets shall become winners and receive 100% of that day's net Exacta (n) pool and the Exacta (n) carryover pool as a single price pool.
- c) If, for any reason, the Exacta (n) carryover must be held over to the corresponding Exacta (n) pool of a subsequent meet, the carryover shall be deposited in an interest-bearing account approved by the Commission. The Exacta (n) carryover plus accrued interest shall then be added to the net Exacta (n) pool of the following meet on a date and performance so designated by the Commission.

XI.

A. OFFICIAL PROGRAM

Section 1. Contents.

1. For each racing performance, the Association shall prepare and print an official program. The official program must contain the order of the races on that day, the distance and track record for each distance and the names of the greyhounds in order of their post positions scheduled to run in each race. The post positions must be designated by numbers placed at the left and in line with the names of the greyhounds.
2. The official program must contain a least two past performances for each greyhound scheduled to race. The program must also contain, for each greyhound schedule to race:
 - a) the name;
 - b) color;
 - c) sex;
 - d) date of whelping;
 - e) breeding;
 - f) established racing weight;
 - g) number of starts in official races;
 - h) number of times finishing first, second, third and fourth;
 - i) name of owner and/or lessee;
 - j) name of trainer; and
 - k) other information to enable the public to properly judge the greyhound's ability.
3. If the name of a greyhound is changed, the new name, together with the former name, shall be published in the official program until the greyhound has started six (6) times under the new name.

XII.

A. DRUGS AND MEDICATION

Section 1. Greyhounds to be Tested.

Urine, blood, and other specimens shall be taken and tested from such greyhounds as the Judges of the meet or the Racing Commission Veterinarian may designate. Such tests shall be supervised by the Racing Commission Veterinarian and shall be collected by either the Racing Commission Veterinarian or his duly authorized assistant, both of whom must be employed by the Racing Commission.

Section 2. Prohibition Against Foreign Substance and Medications.

1. No greyhound participating in a race shall carry in its body any drug, stimulant, depressant, tranquilizer, steroid or local anesthetic or any other foreign substance or medication, irrespective of when administered or injected. No person shall administer or attempt to administer or cause to be administered or cause an attempt to administer or conspire to administer any of the foregoing prohibited substances in violation of this rule. Notwithstanding any of the foregoing, if the testing laboratory detects the following substances at or below the levels indicated, the laboratory shall not report the results as a positive finding which indicates the presence of a foreign substance:
 - a) Procaine and its metabolites in an amount less than or equal to .1 microgram per milliliter.
 - b) Sulfa drugs and their metabolites in an amount less than or equal to 100 micrograms per milliliter.
 - c) Polyethylene glycol, if the laboratory determines, in its sole discretion, that an amount detected is insufficient to interfere with the laboratory's ability to detect the presence of other medications or foreign substances.
2. If the Judges shall find that any prohibited substance has been administered or attempted to be administered internally or externally to a greyhound, said Judges shall impose such punishment and take such other action as they deem proper under any of the rules against every kennel owner and/or lessee and/or trainer responsible for the proper care and protection of the greyhounds involved, and against every person found by them to have administered or to have attempted to administer or to have caused to be administered or to have caused an attempt to administer or to have conspired with another person to administer such prohibited substances.

Section 3. Duty to Protect Against Unlawful Administration.

1. The kennel owner, trainer, helpers, or any other person having charge, custody, or care of the greyhound is obligated to protect the greyhound properly and guard it against such administration or attempted administration. If the Judges shall find that any such person has failed to show proper protection and guarding of the greyhound, or if the Judges find that any kennel owner and/or lessee and/or trainer is guilty of negligence with respect thereto, they shall impose such punishment and take such other action as they deem proper under any of the Racing Commission Rules of Greyhound Racing.
2. The kennel owner and/or lessee of a greyhound found to have received such administration shall be denied or shall promptly return any portion of the purse or sweepstakes together with any trophy in such race and the same shall be distributed as in the case of a disqualification. If a greyhound shall be disqualified in a race because of this rule, the eligibility of other greyhounds which ran in such race and which have started in a subsequent race before announcement of such disqualification shall not be affected in any way.

Section 4. Hearings.

Hearings concerning the violation of Medication and Drug rules shall be held by and before the Judges according to Rule XIII, Section 5 herein. A representative of the Racing Commission and/or the Association may be present as an observer at all such hearings. The Judges shall rule and issue fines and/or suspension as is in the best interest of Greyhound Racing.

Section 5. Duty to be Present in the Testing Area.

1. The kennel owner, trainer, or authorized representative shall be present in the testing area when a urine or other specimen is taken from his/her greyhound and shall remain until the sample tag is signed by the kennel owner, trainer or authorized representative as witness to the taking of the specimen.
2. Willful failure to be present, refusal to allow the taking of any such specimen, refusal to sign the specimen tag identifying a specimen or any act or threat to impede or prevent or otherwise interfere therewith shall be reported to the Judges who shall subject the person or persons guilty thereof to immediate suspension and the incident shall be reported to the Racing Commission for such further action as it may determine.

Section 6. Samples of Medicine.

The representative of the Racing Commission may take samples of any medicine or other materials found on the Association grounds or in the possession of any person connected with racing on the Association grounds if said medicine or other materials are suspected of containing improper medications or drugs which could affect the racing condition of a greyhound.

Section 7. Redistribution of Purse.

Any portion of the purse, if not already paid, which is to be redistributed in accordance with the provisions of this Section, shall be held until such redistribution is approved by the Racing Commission. This rule shall apply only to the amount payable to the owner or owners of the disqualified greyhound and shall not prevent payment due to other owner or owners of greyhounds in the race.

Section 8. Record of Medication Administration.

Daily reports of any treatment of any greyhound registered for racing with any medication, drug, or substance shall be submitted by the veterinarian administering or prescribing such treatment and the greyhound trainer, to the Chief Commission Veterinarian at a time and place designated by the Chief Commission Veterinarian. Detection of any medication, drug, or substance by the Commission Chemist in a pre-race or post-race test may be grounds for disciplinary action.

1. Such daily reports shall be signed by the veterinarian and the trainer and shall accurately reflect the identity of the greyhound treated, time of treatment, type of dosage of medication, drug, or substance, the method of administration, and the place of administration.
2. Such daily reports shall remain confidential except that the Chief Commission Veterinarian may compile general data therefrom to assist the Commission in formulating policies or rules, and the Judges and Commission investigators may review same in investigating a possible violation of these rules.

XIII.

A. HEARINGS, FINES AND SUSPENSIONS

Section 1. Authority of the Judges.

1. The Judges may suspend for no greater period than sixty (60) days anyone whom they have authority to supervise, or they may impose a fine not exceeding \$1,000 or both. They may also suspend any person declared guilty of any corrupt or fraudulent practices by Greyhound Racing authorities of any other State or Judges of any recognized race meeting.
2. The Judges shall have principal authority in imposing a fine or suspension on all matters pertaining to the violation of Racing Commission Rules of Greyhound Racing, however nothing in these rules shall prohibit the Commission from commencing a disciplinary action.
3. All fines and suspensions may be rescinded by the Judges and may be increased, decreased or revoked by action of the Racing Commission. The Racing Commission may bar an individual or rule off a greyhound, whose violation of the rules warrants this action.
4. All fines and suspensions imposed by the Judges shall be promptly reported to the Racing Commission on forms provided for this purpose.
5. Fines must be paid within seventy two (72) hours of the issuance of the ruling directly to the Racing Commission or its designated representative. Failure to pay fine within 72 hours of service will result in immediate suspension of license until fine is paid.
6. A greyhound or kennel under suspension by the Racing Commission of any other jurisdiction or by any recognized Association shall not be permitted to race at the facility where these rules are in force during the continuance of such ruling.
7. The permit of anyone ejected from any track for fraud or fraudulent practices shall be subject to revocation by the Racing Commission.
8. The permit of anyone ejected from any track for violation of any of the rules of racing may be revoked at the discretion of the Racing Commission.
9. When a licensee is ejected from the track for any fraudulent practice in relation to a particular greyhound wholly or partly belonging to him, he shall return all money and/or prizes such greyhound has fraudulently won.
10. When a trainer is ejected or suspended, every greyhound which is under his care, management, training or superintendent shall not be qualified to be entered or to start in any race until said greyhound has been made eligible by the rescinding of said trainer's penalty or by the placement of the greyhounds in the hands of a licensed trainer with the

approval of the transfer by the Director of Racing and notification to the Racing Commission.

Section 2. Disciplinary Jurisdiction.

For disciplinary purposes, the Judges and the Commission shall have jurisdiction over all persons, whether or not holding a permit from the Commission, whose conduct violates any rule or regulation of the Commission, or whose conduct or reputation is such that his presence on association grounds is deemed to reflect on the honesty and integrity of the sport of Greyhound Racing or interfere with the orderly conduct thereof.

Section 3. Disciplinary Measures by Judges.

Upon the finding of a violation of these rules, or of Alabama law, or of an attempted violation thereof, the Judges may:

1. Suspend any permit holder from racing for a specific number of racing days, or until a specific condition has been met.
2. Suspend the permit of any person involved in such rule violation for any length of time deemed appropriate by the Judges as in keeping with the seriousness of the rule violation.
3. Cause any person, whether or not holding a Commission permit, found to have interfered with, or contributed toward the interference of, the orderly conduct of a race or race meeting, or person whose presence is found by the Judges to be inconsistent with maintaining the honesty and integrity of the sport of Greyhound Racing, to be excluded or ejected from association grounds or any portion of association grounds.
4. In the case of a permit suspension, the Judges may fix in the alternative a forfeiture not to exceed \$1,000, which sum the permittee may, if he so chooses, pay to the Commission in lieu of such imposed permit suspension.
5. Impose upon any person involved in a rule violation a fine not to exceed \$1,000.00.
6. Declare ineligible for racing or disqualify in any race or permitted person.

Section 4. Disciplinary Measures by Commission.

Upon the finding of a violation of these rules, or an attempted violation, on any association grounds during the conduct of a race meeting in the City, the Commission may:

1. Declare ineligible for racing or disqualify in a race any greyhound or permitted person.
2. Deny, suspend, revoke, or declare void any permit applied for or issued by the Commission.
3. Cause any person, whether or not holding a Commission permit, found to have interfered with, or contributed toward the interference of, the orderly conduct of a race or race meeting or any person whose presence is found by the Commission to be inconsistent with maintaining the honesty and integrity of the sport of Greyhound Racing, to be excluded or ejected from all association grounds or any portion of any association grounds for any length of time the Commission may deem the presence of such person remains inconsistent with maintaining the honesty and integrity of the sport of Greyhound Racing in the City.
4. Upon appeal and hearing de novo of a matter determined by the Judges, the Commission may reverse or revise such Judges' ruling in all respects, except as to findings of fact by the Judges as to any occurrence during or incident to the running of a race, and as to the extent of disqualification fixed by the Judges for interference in a race.
5. Impose upon any person involved in a rule violation a fine, not to exceed \$1,000.00, deemed appropriate by the Commission as in keeping with the seriousness of the rule violation.
6. Nothing herein shall prevent the Commission from investigating, hearing, and issuing any appropriate order with respect to any clearly established error made, or purely arbitrary act by any Commission appointed official on petition to the Commission by a party affected, or on the Commission's initiative.
7. All fines shall be paid within seventy two (72) hours unless time is extended by the Commission, or the Executive Secretary.

Section 5. Notice of Hearing.

1. Before holding any Judges hearing provided for under these rules, notice in writing must be given to any party charged with a violation other than a routine riding offense occurring in a race unless waived in writing by the person charged.
2. The notice required by Section 5, subsection (1), of this rule shall:
 - a) Notify the party of the specific rule or rules, the infraction for which he is charged, and a brief statement of the facts supporting said charge.
 - b) State the time and place of hearing.
 - c) State that the party charged may be represented by legal counsel, or by a

representative of any racing trade organization of which he is a member, or other person of his choice.

3. Unless otherwise requested by the party charged with a violation, all Judges' hearings shall be closed and the Stewards shall cause no public announcement to be made concerning a matter under investigation until conclusion of the hearing, and notification of the party charged.
4. The Senior Commission Judges, or a Judge designated by him, shall conduct the hearing in such a manner as to ascertain and determine the substantial rights of the parties involved. Such Judge shall not be bound by technical rules of procedure and evidence in making his determination.
5. All testimony of such hearings shall be given under oath, and a record shall be made of the hearing, either by use of a tape recorder or by court reporter's transcript. The party charged with the violation may, however, waive the recording and the transcription of the testimony. If a transcript is requested, all costs of preparing the transcript shall be paid by the party requesting the transcript. The Judges will not be required to receive testimony under oath in cases where their ruling is based solely upon a review of the videotapes of a race.
6. If, at the conclusion of said hearing the Judges shall find that a rule has been violated, they shall promptly issue a written ruling which sets forth the full name, and address of every person charged with the rule violation, identification of such person, if a permit holder, by the permit classification, the rule number and pertinent parts of the rule violated, the finding by the Judges as to the violation of such rule, and the penalty affixed by the Judges. Copies of such rulings shall be delivered to each party in interest, delivered to the Commission, and thereafter posted in the Racing Secretary's office, and forwarded to the office of the Association of Racing Commission International.
7. At least one Commission Judge and the Association Judge shall be present at all times at the hearing.

Section 6. Informal settlements

Nothing in these racing regulations shall preclude informal settlements of matters that may make a hearing unnecessary. Informal dispositions includes disposition by stipulation, agreed settlements, consent order and default.

Section 7. Summary Suspension

1. If the judges determine that a licensee's actions may constitute an immediate danger to the integrity of racing, public health, safety or welfare, the judges may summarily suspend

the license pending a hearing.

2. The judges shall summarily suspend a licensee's license pending a hearing for violation of the following acts:
 - a) Fail to obey ruling of the Birmingham Racing Commission Board of Judges
 - b) Refusal to submit to alcohol or drug test.
 - c) Evidence of blood alcohol contest (bac) of .05% by volume or greater.
 - d) Person under the age of 19 entering any part of the racing facility where wagering is being conducted.
3. A licensee whose license has been summarily suspended is entitled to a hearing on the summary suspension not later than 48 hours after the license has been summarily suspended.
4. The Steward/Judges shall conduct a hearing on a summary suspension in the same manner as other disciplinary hearings. At a hearing on a summary suspension, the sole issue is whether the licensee's license should remain suspended pending a final disciplinary hearing or ruling.

XIV.

A. COMMISSION HEARINGS AND APPEALS

Section 1. Procedure for Appeal.

1. Any party who is the subject of any order or ruling of the Judges may apply to the Commission for a review of such order or ruling other than as to extent of disqualification of a foul in a race or as to a finding of fact as to an occurrence during, or incident to, the running of a race.
2. An application to the Commission for review of a Judges' order or ruling must be made within ten (10) days after such order or ruling is issued in writing, and shall:
 - a) Be in writing and addressed to the Commission Secretary at the Commission general office.
 - b) Contain the signature of the applicant, and the address to which notices may be mailed to applicant.
 - c) Set forth the order or ruling requested to be reviewed and the date thereof.
 - d) Set forth the reasons for making such application, including any error which is relied on.
 - e) Request a hearing, if such be desired.
3. The Judges may amend a ruling to change the penalty or any finding, upon request of any party affected, or upon their own motion. Such amended shall relate back to the time of the ruling amended, and shall be subject to any appeal taken prior to such amendment without the taking of a new appeal. Upon such amendment, any party may withdraw any appeal taken from ruling appealed.

Section 2. Commission Hearings.

1. Before holding any Commission hearing on an appeal from a Judges' ruling, or upon any charge of violation made by the Commission, the Commission, or its Executive Secretary, shall give written notice forthwith to all parties or their attorneys or record personally or by mail. If indispensable and necessary parties compose a large class, notice shall be served upon a reasonable number thereof as representatives of such class. Such notices shall include a statement of:
 - a) Time and place of such hearing, such time to be not less than ten (10) days, and no more than twenty (20) days after the mailing of notice unless a different time or place is set at the request of a party in order to provide a fair hearing and having

due regard for the convenience of parties and their representatives; provided, however, that in the case of a default by any licensee in payments to the Commission or any fraud controlled by the Commission required by law, Commission rule, or written agreement between the Commission and such licensee, only five (5) days notice delivered to the track office of the licensee shall be required;

- b) The legal authority and jurisdiction under which the hearing is to be held;
 - c) Specific designation of the particular rules or statutes alleged to have been violated;
 - d) A clear and concise factual statement sufficient to inform each party with reasonable definiteness of the type of acts or practices alleged to be in violation of the act or rules promulgated thereunder.
2. On request of any party, or any Judge, the Executive Secretary of the Commission may, and upon request of any Commissioner, Judge, party, or attorney participating in a hearing shall, issue subpoenas for witnesses or other evidence, wherever such is deemed by him, or the Commission, to be appropriate or necessary.
 3. Special Prosecutor. The Commission may appoint a special counsel to carry the burden of proof showing a rule violation if the matter involves a rule violation and requires a proceeding of an adversary nature. The Commission also may appoint another member of the Alabama Bar to serve as law officer for the Commission to assist the presiding officer in rendering decision of a judicial nature. The special counsel may request the services of law enforcement and private security agencies for investigatory purposes.
 4. The Commission shall permit all parties that so desire to be represented by counsel or other representative; shall permit all parties to respond and present evidence and argument on all issues involved; and shall permit all parties to examine Commission memoranda and data and all other information which is or has been considered by the Commission in investigating and hearing the matter or which may be offered as evidence.
 5. The Commission shall administer oaths and issue subpoenas or orders for testimony or other evidence upon its own motion, or when requested by an appearing party. Each party shall pay the cost of its subpoenas and the expenses of its witnesses. When a subpoena is disobeyed, any party may apply to the Circuit Court of Jefferson County for an order requiring abeyance; failure to comply with such order may be cause for punishment as a contempt of the court under Alabama law.
 6. Unless varied by the Commission for a particular hearing, the order of proof in the de novo hearing may be:
 - a) Evidence presented by the special counsel as to alleged violations of a rule;

- b) Cross-examination of special counsel's witnesses; redirect examination;
 - c) Evidence presented by a party charged, in defense or explanation;
 - d) Cross examination of party charged, and his redirect examination;
 - e) Rebuttal or other evidence, on behalf of the prosecution or any other party in interest as deemed pertinent by the presiding officer;
 - f) Closing argument by party charged; and
 - g) Closing argument by special counsel.
7. The Commission shall keep a record of each hearing which shall include:
- a) All pretrial and trial pleadings, motions, and interlocutory rulings;
 - b) All evidence received or considered;
 - c) A statement of matters officially noticed;
 - d) Questions and offers of proof and rulings thereon;
 - e) Proposed findings and exceptions;
 - f) All Commission memoranda or data submitted to the Commission in connection with the Commission's consideration of the case.
 - g) All electronic or stenographic recordings taken and transcriptions made of oral proceedings. Any part thereof, shall be reported stenographically upon request of any party, at the expense of such party. Copies of testimony or proceedings shall be provided any party if paid for by such parties desiring copies and a deposit of estimated cost, approved by the Executive Secretary, is made with such request.
 - h) Final adjudication including findings of fact, based exclusively on evidence presented at the hearing and matters officially noticed, and any decision, opinion, and ruling by the Commission on the matter.
8. The Commission may exclude evidence that is irrelevant, immaterial, or unduly repetitious and may admit evidence that would be inadmissible by a court of law but is evidence of the type commonly relied upon by reasonably prudent men in the conduct of their affairs.
- a) Objections to evidentiary offers may be made and shall be noted in the record; and
 - b) All or part of the evidence may be received in written affidavit form if the interest of the appearing parties will not be substantially prejudiced thereby, and an opportunity to submit counter affidavits is afforded.
9. Transcripts of testimony taken before a Judge at a Judges' hearing, where the person against whom such is introduced was present and afforded the right to cross examine, shall be admissible at any hearing by the Commission.

10. The Commission may take official notice of technical facts or customs or procedures common to racing, but all appearing parties to the hearing shall be duly notified. Appearing parties shall have an opportunity to contest facts so noticed, including Commission memoranda or Commission data.
11. Members of the Commission participating in the adjudication of a matter before it shall not, directly or indirectly:
 - a) In connection with any issue of fact in the matter before the Commission, consult with any person or party who was engaged in the investigation or prosecution of the matter before the Commission, or conduct any personal investigation outside the record, without giving an opportunity for all appearing parties to participate.
 - b) In connection with any issue of law, consult with any party or representative without giving all parties an opportunity to participate.
12. The Commission may make an informal disposition of the matter by stipulation, agreed settlement, consent order, or by default.
13. Upon conclusion of the hearing, the Commission shall take the matter under advisement, shall render a decision as promptly as possible, and shall issue a ruling in final adjudication of the matter. Such ruling shall set forth the full name of every person charged with a rule violation; identification of such person, if permitted, by permit classification and address; the rule number and pertinent parts of the rule alleged to have been violated; a separate statement of findings of fact; a separate statement of conclusions of law; a separate statement of reasons for the decisions; and penalties fixed by the Commission, if any. Copies of such rulings shall be delivered to each party in interest Posted in the Racing Secretary's office of the association where the matter arose, and forwarded to the office of the National Association of State Racing Commissioners.

Section 3. Stays Pending Appeals.

1. Upon the taking of an appeal of any Judge's ruling to the Commission, the Judges may, on their own motion, or upon the written request accompanied by a copy of the appeal, of the person or licensee, or their attorney or personal representative designated in writing, grant a stay of the imposition of any Steward's ruling.
2. If such stay be denied by the Judges, or if no action has been taken thereon by the second racing day after the request is made to the Judges, application for stay may be made to the Commission by filing within three days (Saturdays and Sundays and holidays excepted) a written application therefor with the Commission and setting forth the grounds assigned for such stay, and any evidence by affidavits or copies of records or other matters, and any written argument which the appellant desires the Commission to consider in support of the application for stay and stating the address and telephone number or numbers to

which notice of action taken or of a hearing thereon should be directed.

3. Not later than the second business racing day after such filing, the Executive Secretary must either grant the stay, or issue notice to the appellant of a hearing thereon not later than seven days after the date of the issuance of such notice. Such hearing may be held before either the Commission, a panel of at least three members thereof, or a Hearing Officer appointed by the Chairman of the Commission. Such notice of hearing shall be delivered to the office of the Judges and mailed by first-class mail or delivered to the address stated in the application, and/or by telephone to the telephone numbers stated in the application.
4. If a stay be granted as authorized above, the decision to grant the stay shall be final. If denied by a Hearing Officer, the stay may be appealed to the Commission and granted by three members of the Commission signing an order granting the stay, or denied after hearing before the Commission, of which at least three days' notice (Saturdays and Sundays and holidays excepted) is given to the appellant by telephone or written notice delivered to the appellant, or to the office of appellant's attorney or designated personal representative.
5. Applications for stay pending disposition of an appeal of any order of the Commission to the Circuit Court of Jefferson County, shall be filed with the Executive Secretary, and may be granted, in whole or in part, or denied, by any three members of the Commission who participated in a decision, or, after hearing with at least three days notice, by any three members of the Commission. Any such stay pending appeal granted hereunder shall expire on the thirty-first day after the entering of the order stayed if no appeal to such Circuit Court is filed within the thirty days provided by law.
6. Any hearing provided for herein may be continued for up to five days (Saturdays and Sundays and holidays excepted) by the Executive Secretary upon request of the applicant or any member of the Commission for good cause stated in the notice of continuance.
7. Any stay provided for by this section may be granted at any time by any three members of the Commission signing an order granting the same.

Section 4. Appeals to Circuit Court of Jefferson County.

1. Pursuant to Section 11-65-12, Code of Alabama, 1975, as amended, an appeal may be taken to the Circuit Court of Jefferson County, Alabama, by any person aggrieved by a refusal of the Commission to issue any license or permit, or suspension or revocation of a license or permit, imposition of a fine, or any other action of the Commission, by filing a notice of appeal with the Clerk of the Jefferson County Circuit Court.

Section 5. Continuances.

1. All applications for continuance made prior to a hearing shall be in writing, shall set forth the reasons therefor, and shall be filed with the Commission after giving notice of such application by mail or otherwise to all parties or their attorney. At the time of the hearing, applications for continuance may be made orally. If requested, and in the manner prescribed by the Commission, the party applying for the continuance shall substantiate the reasons contained in such application.
2. When application is made for continuance of a cause because of the illness of an applicant, permittee, witness, or counsel, such application shall be accompanied by a medical certificate attesting to such illness and inability.
3. An application for continuance of any Commission hearing must be received by the Commission at least ninety-six (96) hours prior to the time fixed for a hearing. An application received by the Commission within the ninety-six (96) hour period will not be granted unless a satisfactory arrangement in writing is made with the Commission for the payment of all expenses resulting from such continuance. However, the Commission may waive payment of such expenses for extenuating circumstances.
4. If the Commission approves the application for continuance, the Commission forthwith shall set a date for the continued hearing.

Section 6. Commission Hearing Officer.

1. Any hearing may be conducted by the Commission, or a member of the Commission designated by its Chairman, or may be conducted by a Hearing Officer appointed by the Commission to serve in its place. Such a hearing shall be conducted in the name of the Commission at any time or place designated by the Chairman. The Hearing Officer may, in receiving evidence on behalf of the Commission, make such rulings affecting the competency, relevancy, and materiality of such evidence to be presented and upon motions presented during the taking of evidence as will expedite the preparation of the case. The Commission may require the entire record to be certified to it for initial decision, and the Hearing Officer shall submit written findings of fact, conclusions of law and recommendations which shall be incorporated in and become a part of the record. In the absence of a requirement by the Commission that a record be certified to it for initial decision, the Hearing Officer shall render a decision, and in the absence of either an appeal to the Commission, or a review upon motion of the Commission, the Hearing Officer's decision shall become the decision of the Commission.
2. A Hearing Officer may be a full-time employee, serve by contract, or be paid upon a per diem basis in the discretion of the Commission.
3. The decision of a Hearing Officer may be appealed to the Commission in the same

manner as appeals of decisions of the Board of Stewards.

Section 7. Disqualification of Commission Members and Hearing Officer.

A Commission member or Hearing Officer may at any time withdraw from the proceeding if he deems himself disqualified, and upon the filing in good faith before the termination of the hearing of an affidavit of personal bias or disqualification of any such member or Hearing Officer, the Commission shall determine the matter as a part of the record and decision in the case. In the event of such withdrawal or disqualification, any other member of the Commission, if there be such participating in the hearing, shall have the authority to complete the hearing and to participate in the decision. In cases where the hearing is conducted by the Commission, members participating in the decision shall hear all the evidence, or shall read, or listen to a recording of, the evidence prior to making a decision thereon. At least a majority of the members of the Commission must hear all the evidence or read the record before making a final decision.

Section 8. Frivolous Appeals.

Except as otherwise provided in this section, any Judges ruling appealed to the Commission the Commission may, as part of its ruling and in addition to any other penalty otherwise assessed, assess a reasonable fine not to exceed one thousand dollars (\$1,000.00), (in the case of a permittee) or not to exceed five thousand dollars (\$5,000.00), (in the case of a licensee) who, without reasonable justification (as determined by the Commission), fail or refuse to appear before the Commission after having been properly notified (in accordance with these rules) to appear before said Commission.

I.		I-1
A.	<i>RACING COMMISSION</i>	<i>I-1</i>
Section 1.	Definitions, Greyhound Racing and pari-mutuels.	I-1
II.		II-7
A.	<i>GREYHOUND RACING</i>	<i>II-7</i>
Section 1.	Greyhound Racing.	II-7
Section 2.	Racing Officials.	II-7
Section 3.	Director of Racing.	II-7
Section 4.	Judges.	II-8
Section 5.	Racing Secretary.	II-12
Section 6.	Veterinarians	II-12
Section 7.	Paddock Judge.	II-13
Section 8.	Clerk of Scales.	II-15
Section 9.	Kennel Master.	II-16
Section 10.	Patrol Judge.	II-17
Section 11.	Lure Operator.	II-17
Section 12.	Starter.	II-17
Section 13.	Leadouts.	II-18
Section 14.	Chartwriter.	II-19
Section 15.	Photofinish Operator and Timer.	II-19
Section 16.	Field Judge.	II-19
Section 17.	Track Superintendent.	II-20
Section 18.	Brakeman.	II-20
III.		III-21
A.	<i>KENNELS AND KENNEL OWNERS</i>	<i>III-21</i>
Section 1.	Kennel Owners.	III-21
Section 2.	Authorized Agent.	III-22
IV.		IV-24
A.	<i>REGISTRATION, SCHOOLING AND ENTRIES</i>	<i>IV-24</i>
Section 1.	Greyhounds, Registration of	IV-24
Section 2.	Schooling.	IV-24
Section 3.	Entries.	IV-25
Section 4.	Declarations.	IV-27
Section 5.	Qualifying Criteria.	IV-27
V.		V-28
A.	<i>GRADING</i>	<i>V-28</i>
Section 1.	Grading.	V-28
VI.		VI-30

A.	<i>RUNNING OF THE RACE</i>	VI-30
Section 1.	The Race.	VI-30
Section 2.	Scratches.	VI-30
Section 3.	Dead Heats, Prize Distribution.	VI-31
Section 4.	Objections.	VI-31
Section 5.	Greyhounds To be Tested.	VI-32
VII.		VII-33
A.	<i>KENNEL COMPOUND AND GREYHOUND CARE.</i>	VII-33
Section 1.	Kennel Compound.	VII-33
Section 2.	Greyhound Care.	VII-33
Section 3.	Euthanasia.	VII-34
Section 4.	Vaccinations.	VII-34
VIII.		VIII-36
A.	<i>DUTIES OF THE ASSOCIATION</i>	VIII-36
Section 1.	Duty to Cooperate.	VIII-36
Section 2.	Reports Required.	VIII-36
Section 3.	Association Grounds and Facilities.	VIII-37
Section 4.	Communications.	VIII-38
Section 5.	Comfort and Safety.	VIII-39
Section 6.	General Security.	VIII-39
Section 7.	Greyhound Adoption Program.	VIII-41
IX.		IX-43
A.	<i>PERMITS</i>	IX-43
Section 1.	Permits Required.	IX-43
Section 2.	Conditions Precedent to Issuance of Permit.	IX-43
Section 3.	Standards for Granting Permits.	IX-44
Section 4.	Ineligible to File Application for Permit.	IX-44
Section 5.	Restrictions on Permits of Minors.	IX-45
Section 6a.	Grounds for Refusal, Suspension, or Revocation of a Permit.	IX-46
Section 6b.	Prohibited Acts	IX-46
Section 7.	Permit Application for Participants in Racing.	IX-48
Section 8.	Temporary Permits.	IX-53
Section 9.	Term of Permit.	IX-53
Section 10.	Possession of Permit Required.	IX-53
Section 11.	Applicability of Rules and Rulings to Household.	IX-53
Section 12.	Permits for Law Enforcement Personnel.	IX-54
Section 13.	Human Drug Testing	IX-54
X.		X-59
A.	<i>GENERAL PROVISIONS</i>	X-59
Section 1.	General	X-59
Section 2.	Records	X-59
Section 3.	Pari-Mutuel Tickets	X-59

Section 4.	Pari-Mutuel Ticket Sales _____	X-60
Section 5.	Advance Performance Wagering _____	X-61
Section 6.	Claims for Payment from Pari-Mutuel Pool _____	X-61
Section 7.	Payment for Errors _____	X-61
Section 8.	Betting Explanation _____	X-62
Section 9.	Display of Betting Information _____	X-62
Section 10.	Canceled Contests or Changes in Wagering Format. _____	X-62
Section 11.	Refunds _____	X-62
Section 12.	Coupled Entries and Mutuel Fields _____	X-63
Section 13.	Pools Dependent Upon Betting Interests. _____	X-63
Section 14.	Prior Approval Required For Betting Pools _____	X-64
Section 15.	Closing of Wagering in a Contest _____	X-64
Section 16.	Complaints Pertaining to Pari-Mutuel Operations _____	X-64
Section 17.	Licensed Employees _____	X-65
Section 18.	Unrestricted Access _____	X-65
Section 19.	Emergency Situations _____	X-65
<i>B.</i>	<i>ACCOUNT WAGERING</i> _____	X-65
Section 1.	General _____	X-65
Section 2.	Account Opening _____	X-66
Section 3.	Refusals _____	X-66
Section 4.	Patron Information _____	X-66
Section 5.	Deposits _____	X-66
Section 6.	Sufficient Account Balance _____	X-66
Section 7.	Account Credits _____	X-67
Section 8.	Account Operation _____	X-67
Section 9.	Account Closure _____	X-67
<i>C.</i>	<i>SIMULCAST WAGERING</i> _____	X-68
Section 1.	General. _____	X-68
Section 2.	Duties of a Simulcast Host. _____	X-68
Section 3.	Duties of Simulcast Guests. _____	X-69
Section 4.	Hub Systems Requirements. _____	X-70
Section 5.	Emergency Situations. _____	X-73
<i>D.</i>	<i>INTERJURISDICTION COMMON POOL WAGERING</i> _____	X-76
Section 1.	General _____	X-76
Section 2.	Guest Jurisdiction Participation in Interjurisdiction Common Pools _____	X-76
Section 3.	Host Jurisdiction Participation in Merged Pools _____	X-77
Section 4.	Takeout Rates in Interjurisdiction Common Pools _____	X-78
<i>E.</i>	<i>CALCULATION OF PAYOUTS AND DISTRIBUTION OF POOLS</i> _____	X-79
Section 1.	General _____	X-79
Section 2.	Win Pools _____	X-81
Section 3.	Place Pools _____	X-83
Section 4.	Show Pools _____	X-86
Section 5.	Double Pools _____	X-92
Section 6.	Win Three Pools _____	X-97
Section 7.	Pick (n) Pools _____	X-99
Section 8.	Place Pick (n) Pools _____	X-105
Section 9.	Quinella Pools _____	X-108
Section 10.	Quinella Double Pools _____	X-109

Section 11.	Show Quinella Pools _____	X-111
Section 12.	Exacta Pools _____	X-113
Section 13.	Trifecta Pools _____	X-114
Section 14.	Superfecta Pools _____	X-115
Section 15.	Twin Quinella Pools _____	X-116
Section 16.	Twin Trifecta Pools _____	X-120
Section 17.	Tri-Superfecta Pools _____	X-123
Section 18.	Twin Superfecta Pools _____	X-126
Section 19.	Exacta (n) Pools _____	X-130

XI. _____ XI-137

A.	<i>OFFICIAL PROGRAM</i> _____	XI-137
Section 1.	Contents. _____	XI-137

XII. _____ XII-138

A.	<i>DRUGS AND MEDICATION</i> _____	XII-138
Section 1.	Greyhounds to be Tested. _____	XII-138
Section 2.	Prohibition Against Foreign Substance and Medications. _____	XII-138
Section 3.	Duty to Protect Against Unlawful Administration. _____	XII-139
Section 4.	Hearings. _____	XII-139
Section 5.	Duty to be Present in the Testing Area. _____	XII-139
Section 6.	Samples of Medicine. _____	XII-139
Section 7.	Redistribution of Purse. _____	XII-140
Section 8.	Record of Medication Administration. _____	XII-140

XIII. _____ XIII-141

A.	<i>HEARINGS, FINES AND SUSPENSIONS</i> _____	XIII-141
Section 1.	Authority of the Judges. _____	XIII-141
Section 2.	Disciplinary Jurisdiction. _____	XIII-142
Section 3.	Disciplinary Measures by Judges. _____	XIII-142
Section 4.	Disciplinary Measures by Commission. _____	XIII-142
Section 5.	Notice of Hearing. _____	XIII-143
Section 6.	Informal settlements _____	XIII-144
Section 7.	Summary Suspension _____	XIII-144

XIV. _____ XIV-146

A.	<i>COMMISSION HEARINGS AND APPEALS</i> _____	XIV-146
Section 1.	Procedure for Appeal. _____	XIV-146
Section 2.	Commission Hearings. _____	XIV-146
Section 3.	Stays Pending Appeals. _____	XIV-149
Section 4.	Appeals to Circuit Court of Jefferson County. _____	XIV-150
Section 5.	Continuances. _____	XIV-150
Section 6.	Commission Hearing Officer. _____	XIV-151
Section 7.	Disqualification of Commission Members and Hearing Officer. _____	XIV-152
Section 8.	Frivolous Appeals. _____	XIV-152